This material has been published in revised form in *Ecotoxicology* by Peter G.C. Campbell, Peter V. Hudson, Pamela M. Welbourn, and David A. Wright https://doi.org/10.1017/9781108819732. This version is free to view and download for private research and study only. Not for re-distribution or re-use. © Cambridge University Press, 2022.

**Radiological Protection of the Environment** 1 2 Nicholas A. Beresford (UK Centre for Ecology & Hydrology, Lancaster Environment Centre, LA1 4AP, United Kingdom) 3 4 David Copplestone (University of Stirling, FK9 4LA, United Kingdom) 5 6 The need for radiological environmental protection? 7 The system for ensuring the protection of humans from ionising radiation is internationally 8 well established (ICRP, 2007), having begun development early in the twentieth century. 9 However, there was an assumption that the control (or regulation) required to protect 10 humans would ensure that other species were not put at risk. The 1990 Recommendations of the ICRP (International Commission on Radiological Protection) stated: 'The Commission 11 12 believes that the standard of environmental control needed to protect man to the degree 13 currently thought desirable will ensure that other species are not put at risk. Occasionally, 14 individual members of non-human species might be harmed, but not to the extent of 15 endangering whole species or creating imbalance between species. At the present time, the 16 Commission concerns itself with mankind's environment only with regard to the transfer of radionuclides through the environment, since this directly affects the radiological protection 17 of man.' (ICRP, 1991). This assumption was generally accepted and adopted by those 18 19 national authorities responsible for radiation protection and the impact of authorised 20 releases of radioactivity on the environment (or wildlife) was not routinely assessed. 21 Consequently, there were no commonly used models for conducting radiological 22 environmental impact assessments. 23 From about 1990, the statement of the ICRP with regard to protection of the environment 24 was increasingly questioned. Criticisms included: a lack of supporting data for the 25 statement, potential scenarios where wildlife may be more exposed than humans and the 26 need to demonstrate protection of the environment from any human activity. At about the 27 same time some countries began to establish requirements and guidelines for the protection of wildlife (often termed 'non-human species'). To support these requirements, 28 29 approaches and models were developed to assess the potential impact of authorised 30 releases of radionuclides on the environment 31 Subsequently, the ICRP amended its recommendations to include the consideration of radiological protection of the environment with the objective 'to maintain biological 32 diversity, conservation of species, protection of the health and status of natural habitats, 33 34 communities and ecosystems, with targets related to populations or higher organisational levels rather than individual organisms' (ICRP, 2007). The revised ICRP recommendations 35 acknowledged the need for the development of a framework to assess non-human species, 36 37 which the ICRP has subsequently begun. The International Atomic Energy Agency (IAEA) has 38 a role in transforming the ICRP's recommendations into practical guidance for application in 39 regulatory frameworks and the latest IAEA Safety Fundamentals acknowledges the need to 40 consider the environment within radiological assessment: 'People and the environment, 41 present and in the future, must be protected against radiation risks' (IAEA, 2006). In response to changes in international recommendations, radiological environmental impact 42

- 43 assessments are being conducted in many countries for a wide range of facility types (e.g.,
- 44 see Brown *et al.* (2016)).

### 45 Environmental radiological assessment approaches

- 46 A number of assessment approaches have been developed over the last 20 years. In
- 47 common with approaches considering other non-radioactive environmental stressors, the
- 48 more comprehensive methodologies use a tiered assessment approach beginning with a
- 49 simplistic screening tier that requires comparatively little input and is highly conservative.
- 50 An aim of this initial tier is to identify sites of negligible concern and remove them from the
- 51 need for more refined higher tier assessments that require increasingly more data and
- 52 resources. Software implementing two of these tiered assessment approaches has been
- made freely available: the ERICA Tool (<u>http://www.erica-tool.com/</u> Brown *et al.* (2016)) and
   RESRAD-BIOTA (<u>https://resrad.evs.anl.gov/codes/resrad-biota/</u>, US DOE (2004)). The goal of
- 54 RESRAD-BIOTA (<u>https://resrad.evs.anl.gov/codes/resrad-biota/</u>, US DOE (2004)). The goal of 55 radiological assessment, in common with other areas of environmental regulation, is usually
- 56 to protect populations.
- 57 The developed approaches are primarily for the assessment of 'planned releases' (i.e., from
- 58 operating or planned sites) and existing contamination scenarios (e.g., sites contaminated
- 59 by historical activities). The approaches are not designed to assess the dynamic nature of
- 60 accidental releases, although the Fukushima accident demonstrated the desire to predict
- the potential exposure of wildlife following large-scale accidents (Strand *et al.*, 2014). Below
- 62 we give an overview of how the approaches estimate the exposure and risk of wildlife from
- 63 ionising radiation.

# 64 Simplifying the ecosystem

- 65 It would be impossible to have screening tier assessment approaches that considered every
- 66 potential species in any potential ecosystem. Therefore, the approaches make
- 67 simplifications; ecosystems are usually simplified as generic marine, freshwater or
- 68 terrestrial. Similarly, simplifications are made with regard to how organisms are represented
- 69 within the approaches. The USDOE RESRAD-BIOTA approach simplifies 'organism' as a
- 70 generic plant or generic animal (US DOE, 2004). The ICRP (2008) proposed a set of
- 71 Reference Animals and Plants which they defined as: 'A hypothetical entity, with the
- assumed basic biological characteristics of a particular type of animal or plant, as described
- to the generality of the taxonomic level of family, with defined anatomical, physiological,
- 74 and life history properties, that can be used for the purposes of relating exposure to dose,
- 75 *and dose to effects, for that type of living organism*'. The ERICA Tool (Brown *et al.*, 2016)
- vuses an approach similar to that of the ICRP with 13 'Reference Organisms' for each of the
- 77 three generic ecosystems. Reference Organisms are not specific species but are
- representative of an organism type (e.g., 'amphibian', 'reptile', 'macroalgae', 'mammal',
- 79 'tree', etc.). The ERICA Tool Reference Organisms encompass organism types that are: likely
- to be highly exposed; radiosensitive organisms; representative of different ecological niches;
- 81 and representative of (European) protected species.
- 82 Dosimetry
- 83 In order to estimate the exposure (or dose rate) of organisms, dose coefficients (DCs), which
- relate dose rate (e.g.,  $\mu$ Gy/h), to the activity concentration (e.g., Bq/kg) in environmental
- 85 media (water, soil, air, sediment) or within an organism, are used to calculate external or
- 86 internal dose rate respectively (Vives i Batlle *et al.*, 2011). To calculate the DCs, organisms

- 87 are typically assumed to have a homogenous geometry (usually an ellipsoid). The ERICA Tool
- and ICRP approaches select geometries (dimensions and masses) representative of a 88
- representative species for the reference organism type. RESRAD-BIOTA takes a more 89
- 90 conservative approach in its initial screening tier by assuming a small geometry for the
- 91 external DC and a large geometry for the internal DC; these assumptions maximise both
- external and internal dose rate estimates respectively. Weighted dose rates are estimated 92
- 93 by applying a radiation-weighting factor to account for the relative biological effectiveness
- 94 of different types of radiation. An overview of factors influencing DC values can be found in
- 95 Vives i Batlle et al. (2011).
- More complex and more realistic models (i.e., including individual organs) have been 96
- 97 generated for a number of wildlife types (e.g., see Ruedig et al. (2015)). These are not
- 98 proposed for regulatory assessments, but they have been useful in demonstrating whether
- 99 simple homogenous geometry assumptions are generally fit for purpose in the available
- 100 regulatory assessment models. Voxel models could also have a useful role in interpreting wildlife dose-effect studies.
- 101

#### 102 Estimating organism activity concentrations

- 103 If organism activity concentrations need to be predicted, equilibrium concentration ratios
- 104 (CR<sub>wo-media</sub>) relating whole organism radionuclide activity concentration to those in media
- (typically soil, water or air) are commonly used (Beresford et al., 2008). This approach is 105
- pragmatic being simple to apply and some data are available (IAEA, 2014). However, 106
- 107  $CR_{wo-media}$  values can be highly variable, ranging over four orders of magnitude for a given
- radionuclide-organism combination, leading to considerable uncertainty in predictions. 108
- 109 **Benchmarks**
- 110 For assessments, estimated dose rates need to be put into context with some form of risk
- criteria (i.e., we need to be able to judge if the estimated dose rate will potentially cause 111
- 112 harm or not). Prior to the development of radiological environmental protection
- approaches, a number of publications had compiled 113
- data on the effects of radiation on wildlife from the 114
- 115 available literature considering population relevant
- 116 endpoints such as mortality, fertility and fecundity
- 117 (NCRP, 1991; IAEA, 1992; UNSCEAR, 1996). Through
- 118 'expert judgement', these reviews reached broadly
- 119 similar conclusions (Text Box 10.x; see original
- 120 references for exact wording). Although these values
- 121 were not originally proposed as benchmarks for
- environmental assessment, they are now sometimes 122
- 123 being used as such.
- The ICRP have proposed 'derived consideration 124
- reference levels' (DCRLs) for their suite of Reference 125
- Animals and Plants (ICRP, 2008). These are defined as 126
- 127 'one order of magnitude broad bands of dose rates
- 128 covering the level where the dose rates warrant a
- 129 more considered level of evaluation of the situation'.

#### Text Box 10.x: Early estimates of dose rates below which population level effects would not be expected in wildlife.

# IAEA (1992)

Terrestrial plants: 10 mGy/d Terrestrial animals: 1 mGy/d Aquatic organisms: 10 mGy/d

NCRP (1991) Aquatic organisms: 10 mGy/d

# **UNSCEAR (1996)**

Terrestrial plants: < 10 mGy/d Terrestrial animals: 400  $\mu$ Gy/h (mortality) Terrestrial animals: 40-100 µGy/h (reproduction)

Aquatic organisms: 400 µGy/h

- 130 The DCRLs range from 0.1 - 1 mGy/d (for Reference Deer, Rat, Duck and Pine tree) to 10 –
- 131 100 mGy/d (for Reference Seaweed, Bee, Crab and Earthworm). As for the UNSCEAR, IAEA

and ICRP reviews the DCRLs were based on expert judgement, though the decision processwas better documented.

134 To be consistent with approaches used for chemical regulation, Garnier-Laplace et al. (2010) 135 applied the species sensitivity distribution approach as described in Chapters 3 (Section 3.) 136 and 12 (Section 12.) to derive a screening dose rate (equating to a predicted no-effect 137 concentration as used for risk assessment of chemical stressors). This approach provided a framework for a more transparent and objective derivation of the screening dose rate than 138 the previous derivation of benchmarks using expert judgement. The resultant estimated 139 screening dose rate was 10  $\mu$ Gy/h and this value is used as the default in the ERICA Tool. 140 The screening dose rate derived was generic across all ecosystem and organism types. It 141 142 would be beneficial to be able to derive organism-specific (e.g., at the level of terrestrial 143 vertebrates, plants, fish, etc.) screening dose rates as the application of a single screening 144 dose rate identifies the most exposed and not necessarily the most at-risk organism. 145 However, data availability precluded Garnier-Laplace *et al.* (2010) from being able to derive 146 organism-specific values. The screening dose rate is for use in screening assessments to help 147 screen sites out from the requirement for further assessment and to identify those that 148 need more detailed consideration; it is not a regulatory 'limit'. The screening dose rate is applicable to the additional dose rate arising from the source(s) under assessment and not 149 the total dose rate including natural background exposure; this is consistent with the 150 151 radiological protection of humans. For comparison, weighted dose rates to terrestrial and aquatic wildlife due to naturally occurring radionuclides of the <sup>238</sup>U and <sup>232</sup>Th series, and <sup>40</sup>K 152 are typically in the region of  $1 \mu Gy/h$  or less; this does not include the exposure of 153 burrowing animals to  $^{222}\text{Rn}$  and daughter products which may be of the order of 10's  $\mu\text{Gy/h}$ 154 155 (Beresford et al., 2012).

#### 156 **The scientific controversy**

157 Three accidents, Chernobyl (Ukraine, 1986), Fukushima (Japan, 2011) and Kyshtym (Russian 158 Urals, 1957) have resulted in releases of radioactivity sufficient to result in radiation induced 159 effects in local wildlife. Such sites provide an ideal opportunity to obtain data under realistic conditions of exposure with the potential to investigate population to ecosystem level 160 impacts, and to improve and test our environmental assessment approaches. However, 161 whilst it is accepted that radiation-induced effects have occurred in these areas, there are a 162 163 number of reports of significant impacts on wildlife at extremely low dose rates, for example below the proposed screening dose rate or DCRLs discussed above, and in the 164 range of typical background exposure rates (Beresford et al., 2020a). There are many factors 165 that might contribute to the reported observations at low dose rates including: poor 166 estimates of exposure; lack of consideration of confounding factors; residual influence of 167 acute/high exposures soon after the accident; or interpretation of statistical results. 168 169 Furthermore, some studies directly conflict in the findings, e.g., for mammals and leaf litter decomposition rates (Beresford et al., 2020b). These scientific disagreements on the 170 impacts of radiation at contaminated field sites have a relatively high media profile and the 171 potential to impact on public opinion. This controversy needs to be resolved to maintain 172 confidence in the environmental radiation protection approaches that have been developed 173 174 over the last 20 years and are now being used for the regulation of radioactive releases into the environment from sources ranging from hospitals to nuclear power facilities. Recent 175 studies have attempted to start to address these uncertainties with priorities for future 176 177 research being identified (Beresford et al., 2020a).

#### 178 References

- Beresford, N. A., Barnett, C. L., Brown, J. E., Cheng, J. J., Copplestone, D., Filistovic, V., Hosseini, A.,
  Howard, B. J., Jones, S. R., Kamboj, S., Kryshev, A., Nedveckaite, T., Olyslaegers, G., Saxén, R.,
  Sazykina, T., Vives i Batlle, J., Vives-Lynch, S., Yankovich, T. & Yu, C. (2008). Inter-comparison
  of models to estimate radionuclide activity concentrations in non-human biota. *Radiation and Environmental Biophysics*, 47, 491-514.
- Beresford, N. A., Barnett, C. L., Vives i Batlle, J., Potter, E. D., Ibrahimi, Z. F., Barlow, T. S., Schieb, C.,
   Jones, D. G. & Copplestone, D. (2012). Exposure of burrowing mammals to <sup>222</sup>Rn. *Science of The Total Environment*, 431, 252-261.
- Beresford, N. A., Horemans, N., Copplestone, D., Raines, K. E., Orizaola, G., Wood, M. D., Laanen, P.,
  Whitehead, H. C., Burrows, J. E., Tinsley, M. C., Smith, J. T., Bonzom, J. M., Gagnaire, B.,
  Adam-Guillermin, C., Gashchak, S., Jha, A. N., de Menezes, A., Willey, N. & Spurgeon, D.
  (2020a). Towards solving a scientific controversy The effects of ionising radiation on the
  environment. *Journal of Environmental Radioactivity*, 211, 106033.
- Beresford, N. A., Scott, E. M. & Copplestone, D. (2020b). Field effects studies in the Chernobyl
   Exclusion Zone: Lessons to be learnt. *Journal of Environmental Radioactivity*, 211, e105893.
- Brown, J. E., Alfonso, B., Avila, R., Beresford, N. A., Copplestone, D. & Hosseini, A. (2016). A new
   version of the ERICA tool to facilitate impact assessments of radioactivity on wild plants and
   animals. *Journal of Environmental Radioactivity*, 153, 141-148.
- Garnier-Laplace, J., Della-Vedova, C., Andersson, P., Copplestone, D., Cailes, C., Beresford, N. A.,
   Howard, B. J., Howe, P. & Whitehouse, P. (2010). A multi-criteria weight of evidence
   approach for deriving ecological benchmarks for radioactive substances. *Journal of Radiological Protection*, 30, 215-233.
- IAEA (1992). Effects of ionizing radiation on plants and animals at levels implied by current radiation
   protection standards. . International Atomic Energy Agency, Technical Reports Series No.
   332, Vienna, Austria.
- IAEA (2006). Fundamental safety principles Safety fundamentals. International Atomic Energy
   Agency, Safety Standards No. SF-1., Vienna, Austria.
- IAEA (2014). Handbook of parameter values for the prediction of radionuclide transfer to wildlife
   International Atomic Energy Agency, Technical Reports Series No. 479, Vienna, Austria.
- ICRP (1991). 1990 Recommendations of the International Commission on Radiological Protection.
   International Commission on Radiological Protection ICRP Publication 60, Oxford, UK.
- ICRP (2007). The 2007 Recommendations of the International Commission on Radiological
   Protection. International Commission on Radiological Protection, ICRP Publication 103,
   Oxford, UK.
- ICRP (2008). Environmental Protection: The Concept and Use of Reference Animals and Plants.
   International Commission on Radiological Protection, ICRP Publication 108, Oxford, UK.
- NCRP (1991). Effects of ionizing radiation on aquatic organisms. US National Commission on
   Radiological Protection, NCRP Report 109, Bethesda, MD, USA.
- Ruedig, E., Beresford, N. A., Gomez Fernandez, M. E. & Higley, K. (2015). A comparison of the
   ellipsoidal and voxelized dosimetric methodologies for internal, heterogeneous radionuclide
   sources. Journal of Environmental Radioactivity, 140, 70-77.
- Strand, P., Aono, T., Brown, J. E., Garnier-Laplace, J., Hosseini, A., Sazykina, T., Steenhuisen, F. &
   Vives i Batlle, J. (2014). Assessment of Fukushima-derived radiation doses and effects on
   wildlife in Japan. *Environmental Science & Technology Letters*, 1, 198-203.
- UNSCEAR (1996). Sources and effects of ionizing radiation. Report to the General Assembly with
   scientific annex. United Nations, United Nations Scientific Committee on the Effects of
   Atomic Radiation, New York, NY, USA.
- US DOE (2004). RESRAD-BIOTA: A tool for implementing a graded approach to biota dose evaluation.
   User's guide, version 1 United States Department of Energy, Office of Air, Water and

- Radiation Protection Policy and Guidance, ISCORS technical report 2004-02, Washington, DC,USA.
- Vives i Batlle, J., Beaugelin-Seiller, K., Beresford, N. A., Copplestone, D., Horyna, J., Hosseini, A.,
  Johansen, M., Kamboj, S., Keum, D. K., Kurosawa, N., Newsome, L., Olyslaegers, G.,
  Vandenhove, H., Ryufuku, S., Vives Lynch, S., Wood, M. D. & Yu, C. (2011). The estimation of
  absorbed dose rates for non-human biota: an extended intercomparison. *Radiation and Environmental Biophysics*, 50, 231-251.

235