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# **Drivers of bat activity at wind turbines advocate for mitigating bat**

## 2 exposure using multicriteria algorithm-based curtailment

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#### 22 Abstract

23 Wind turbine development is growing exponentially and faster than other sources of renewable energy worldwide. While multi-turbine facilities have small physical footprint, they are not free 24 from negative impacts on wildlife. This is particularly true for bats, whose population viability 25 26 can be threatened by wind turbines through mortality events due to collisions. Wind turbine curtailment (hereafter referred to as "blanket curtailment") in non-winter periods at low wind 27 speeds and mild temperatures (i.e. when bats are active and wind energy production is low) can 28 reduce fatalities, but show variable and incomplete effectiveness because other factors affect 29 fatality risks including landscape features, rain, turbine functioning, and seasonality. The 30 combined effects of these drivers, and their potential as criteria in algorithm-based curtailment, 31 have so far received little attention. We compiled bat acoustic data recorded over four years at 32 34 wind turbine nacelles in France from post-construction regulatory studies, including 8,619 33 34 entire nights (251±58 nights per wind turbine on average). We modelled nightly bat activity in relation to its multiple drivers for three bat guilds, and assessed whether curtailment based on 35 algorithm would be more efficient to limit bat exposure than blanket curtailment based on 36 various combinations of unique wind speed and temperature thresholds. We found that 37 landscape features, weather conditions, seasonality, and turbine functioning determine bat 38 activity at nacelles. Algorithm-based curtailment is more efficient than blanket curtailment, and 39 has the potential to drastically reduce bat exposure while sustaining the same energy production. 40 Compared to blanket curtailment, the algorithm curtailment reduces average exposure by 20 to 41 29% and 7 to 12% for the high-risk guilds of long- and mid-range echolocators, and by 24 to 42 31% for the low-risk guild of short-range echolocators. These findings call for the use of 43 algorithm curtailment as both power production and biodiversity benefits will be higher in most 44 situations. 45

- 46 Key words: acoustic monitoring; chiroptera; cut-in speed; collision risk; mitigation hierarchy;
- 47 wind energy

#### 48 **1. Introduction**

Wind power generation produces near-zero greenhouse gas emissions during the operational 49 phase, has short greenhouse gas payback time, and constitutes an efficient and sustainable way 50 for the transition towards reduced global greenhouse gas emissions (Dammeier et al., 2019; 51 Veers et al., 2019). As a consequence and in line with international treaties such as the 2016 52 53 Paris agreement to reduce global greenhouse gas emissions, wind turbine installation has grown exponentially over the last 20 years and currently represents the most rapidly expanding form 54 55 of renewable energy worldwide (GWEC, 2021). While wind farm installation can have a relatively small footprint in terms of land conversion compared to other development projects, 56 it still entails negative impacts on wildlife, particularly for insectivorous bats through mortality 57 58 events by collision. Such increases in mortality are likely to impinge on the viability of 59 populations (Friedenberg and Frick, 2021; Frick et al., 2017). This is especially true for migratory and long-range echolocating bat species, which are the most sensitive to collisions 60 61 as they fly more often at the height at which turbines operate (Roemer et al., 2017). In addition to mortality, some bat species avoid areas adjacent to wind turbines leading to a reduction of 62 habitat availability (Barré et al., 2018). 63

64 In the European Union and in many countries worldwide, wind energy developers must carry out an Environmental Impact Assessment (EIA) prior to any wind farm installation to evaluate 65 potential environmental consequences and the measures required to avoid impacts. Developers 66 must also monitor impacts during the operational phase (e.g. decree no. 0198 of August 27, 67 2011, in France). However, guidelines to avoid "areas where high bat activity has been 68 determined by impact assessment" (EUROBATS; Rodrigues et al., 2015) appear to be poorly 69 implemented (Barré et al., 2022). When impacts cannot be avoided, measures fot their reduction 70 or, as a last resort, offsetting, must be implemented to achieve a state of no net loss of 71 biodiversity (i.e. the mitigation hierarchy framework; Business and Biodiversity Offsets 72

Programme (BBOP), 2012). Wind turbine curtailment at low wind speeds and mild 73 74 temperatures – when bats are highly active and energy production is low, hereafter referred to as "blanket curtailment" - is a reduction measure that offers promising opportunities to 75 reconcile bat conservation and wind energy (Adams et al., 2021; Whitby et al., 2021; Voigt et 76 al., 2015; Arnett et al., 2011; Baerwald et al., 2009). One of the most common blanket 77 curtailment strategies is based on a simple combination of a maximum wind speed threshold 78 (most often between 3.5 and 8 m/s) and a minimum temperature threshold (most often around 79 10°C). Respectively below and above those thresholds, the blades are turned to a different angle 80 (i.e. feathered) to limit their rotation rate to less than one per minute, due to expected favourable 81 82 conditions for bats. Blanket curtailment is mostly limited to non-winter periods. This approach 83 can significantly reduce the fatality risk, but shows variable and incomplete effectiveness (Voigt et al., 2022; Adams et al., 2021; Whitby et al., 2021; Măntoiu et al., 2020). Besides wind 84 85 speed and temperature, landscape features and other weather factors such as rain also drive bat fatality risk (Thompson et al., 2017; Santos et al., 2013). Indeed, bat activity at wind turbine 86 nacelles, which links to fatality risk (Peterson et al., 2021; Korner-Nievergelt et al., 2013), also 87 depends on the weather, season, landscape features, and wind turbine dimensions and rotation 88 speed (Roemer et al., 2019; Behr et al., 2017; Cryan et al., 2014; Brinkmann et al., 2011; Horn 89 90 et al., 2008). Consequently, curtailment strategies based on multifactor algorithms have the 91 potential to be more efficient in reducing the fatality risk. Indeed, the use of an algorithm to curtail wind turbines in real-time based on weather factors, date, and nightly time, should allow 92 93 avoiding most collisions while minimizing the loss of production (Behr et al., 2017).

Behr et al. (2017) and Brinkmann et al. (2011) are two of the few studies that propose this type
of multicriteria framework to curtail wind turbines. These studies were based on data sampled
in 2008 in Germany covering six months at 70 wind turbine nacelles and 35 different sites. To
our knowledge, no peer-reviewed study has examined simultaneously and on a

spatiotemporally extensive dataset all drivers of bat exposure (i.e. landscape features, weather
conditions, date, and wind turbine characteristics), nor assessed the possibility to use them in
guild specific algorithms to inform wind turbine curtailment.

101 To assess the potential of multicriteria curtailment algorithms, we compiled bat acoustic data recorded at wind turbine nacelles in France by wind energy developers in a context of post-102 103 construction regulatory studies, while homogeneously re-analysing acoustic data (i.e. using the same automated bat call identification software). Reprocessed bat acoustic data allowed us to 104 build a standardised bat activity metric at nacelle height known to be a good predictor of fatality 105 risk (Peterson et al., 2021; Korner-Nievergelt et al., 2013). Given the absence of national 106 107 guidelines in France concerning the characteristics and settings of bat recorders for bat monitoring at nacelles and the large number of engineering consultants involved in data 108 collection, we expected a large variation in the methods (Coly et al., 2017). Thus, our first 109 objective was to assess whether monitoring methods (devices and settings) or confounding 110 effects with landscape features, date, weather and wind turbine characteristics would bias the 111 112 comparison of bat activity between wind turbines. This assessment was intended to filter out data from some wind turbines if necessary, and highlight the need for better national or 113 international cooperation in the choice of materials and parameters in the case where the current 114 situation would not allow meta-analyses. Once any method bias was controlled for, our second 115 objective was to determine the main factors influencing bat activity at nacelles. We expected 116 bat activity to increase with increasing landscape quality (e.g. by an increasing amount of 117 forests, proximity to wetlands, or land use heterogeneity; Put et al., 2019; Sirami et al., 2013; 118 Boughey et al., 2011a) and decreasing blade rotation speed (Cryan et al., 2014; Horn et al., 119 120 2008), and to be higher during nights with good weather conditions (i.e. high temperature, low wind speed and no rain; Voigt et al., 2015; Erickson and West, 2002) and at the end of summer 121 (Heim et al., 2016). Finally, our third objective was to compare on a per-night scale the 122

performance of a curtailment algorithm based on multiple factors to that of a blanket curtailment method based on various combinations of unique wind speed and temperature thresholds, in terms of both bat activity exposure and energy production. We expected the algorithm-based curtailment to be more efficient in reducing bat exposure compared to blanket curtailment, by avoiding a larger percentage of bat activity occurring when blades are moving, while involving smaller losses of energy production.

#### 129 **2. Methods**

#### 130 *2.1. Acoustic data collection and processing*

We compiled existing raw acoustic data (i.e. sound files in raw or wav format) of 14,937 131 complete recording nights at 59 wind turbine nacelles (including 20 models) located on 55 wind 132 farms in France (Fig. 1; Table S1). These data were provided by nine wind farm developers and 133 produced by 12 consulting firms and non-governmental organizations as part of regulatory post-134 implementation impact monitoring studies. Each of the 59 wind turbines was monitored on 135 average for 251 nights (min: 103; max: 514). The monitored nights covered all months of the 136 137 year and spanned four years between 2017 and 2020; 10% of the sites were monitored for more than one year. The year 2017 represents 2% of nights, 2018 18% of nights, 2019 79% of nights 138 and 2020 0.4% of nights (Fig. S1). Depending on the analyses conducted, the complete set or 139 140 subset of these data were used (see Statistical analysis section).

Three types of recorders were used: Batcorder at 18 wind turbines (versions 1, 2 and 3; ecoObs), Batmode S+ at 34 wind turbines (bat bioacoustics technology GmbH), and Song Meters at eight wind turbines (SM3BAT and SM4BAT; Wildlife Acoustics). All recorders were positioned at the bottom of the nacelle. Each was associated with one to three triggering thresholds, i.e. a built-in recording control algorithm which started the recording when a sound event exceeded a given sound level (see Supporting information S1 for more details).

Acoustic monitoring was always performed throughout the night, from sunset to sunrise. We used the number of bat passes (hereafter referred to as "activity") or the presence/absence (hereafter referred to as "occurrence") recorded during a night as a measure of bat visits with exposure (see section 2.3 for more details). We defined a bat pass as one or more echolocation calls within a five-second interval (Kerbiriou et al., 2019). All 731,717 bat passes were automatically classified to the closest taxonomic level using the Tadarida software (Bas et al., 2017). Since most bat species had very low occurrence (Table S1), we pooled together species

into three guilds based on their similar echolocation call structures and therefore similar 154 foraging strategies: long-range echolocators (LRE), mid-range echolocators (MRE) and short-155 range echolocators (SRE) (Frey-Ehrenbold et al., 2013), see Table S2 for species composition. 156 Long-range echolocators are especially sensitive to fatality risks with wind turbines due to the 157 great part of the time they spend at height (i.e. 20 to 45 m above ground level), followed by the 158 mid-range echolocators (Table S2; Roemer et al., 2017). Although grouping species into these 159 three guilds prevented misidentification problems between cryptic species, noise in the nacelle 160 due to wind turbine functioning generated many false positives, especially at very high blade 161 speeds. We followed the approach of Barré et al. (2019) and applied a maximum false positive 162 163 tolerance of 50% to discard these interferences (see Barré et al. (2019) for more details), which 164 reduced the dataset to 98,627 bat passes. This reduction led to discard 6.55 to 9.93% fewer false positives for Batmode data compared to other recorders. 165

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#### 167 2.2. Environmental and wind turbine variables

To determine which factors influence bat activity and occurrence at wind turbine nacelles, we collected or computed variables related to landscape composition and heterogeneity, weather conditions, and wind turbine functioning and dimensions.

Landscape variables - We considered variables representing the surface cover of five land-use 171 types known to positively or negatively affect bats: impervious surfaces (Azam et al., 2016; 172 Dixon, 2011), arable lands (Put et al., 2019), grasslands (Froidevaux et al., 2017; Roeleke et 173 al., 2016; Lentini et al., 2012), forests (Heim et al., 2017; Boughey et al., 2011a) and water 174 175 bodies (De Conno et al., 2018; Sirami et al., 2013). These variables were computed around the 59 wind turbines as proportions of the total area for variables presenting enough variations 176 (impervious surfaces, arable lands, grasslands and forests), in ten area buffers around wind 177 turbines (50, 100, 250, 500, 1000, 2000, 3000, 4000, 5000 and 10000 m radius) to use the most 178

relevant scale for each variable (Kalda et al., 2015, see Statistical Analysis section for more 179 180 details). We also calculated the Euclidean distance to the nearest impervious surfaces, forests and water bodies. Moreover, we computed landscape metrics depicting landscape 181 configurational and compositional heterogeneity (Monck-Whipp et al., 2017), including edge 182 density (i.e. the density of ecotones in m/ha), conditional entropy (i.e. an increasing index with 183 increasing landscape complexity), patch richness density (i.e. the number of patch types 184 standardised by the surface), and Shannon diversity index of habitat patches. These landscape 185 metrics were computed using the R package landscapemetrics (Hesselbarth et al., 2019), for 186 the ten radius sizes presented above. All landscape variables were extracted from the high-187 188 resolution CES OSO land cover map 2018 available at https://www.theialand.fr/en/ceslist/land-cover-sec/ (Derksen et al., 2020). 189

190 Weather variables - We collected the average wind speed (m/s) and temperature (°C) recorded by wind turbine nacelle weather stations in 10-minute intervals and averaged them on a nightly 191 192 scale at each wind turbine (i.e. on the same scale as acoustic data). Since the amount of rainfall was not recorded by the nacelle weather stations, we collected the daily cumulated rain (mm) 193 (i.e. over the 24-hour period from midnight of the day when the recording night started) using 194 weather E-OBS 195 the database from (https://surfobs.climate.copernicus.eu/dataaccess/access\_eobs.php#datafiles). 196

Wind turbines variables - We collected dimensions of wind turbines which measured 45 to 139 m (92 m on average) in nacelle height and 44 to 126 m (94 m on average) in rotor diameter (Table S1). We also collected the average rotation speed (km/h) at the tip of the blade in 10-minute intervals, and averaged it on a nightly scale at each wind turbine.

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202 2.3. Statistical analysis

We assessed drivers of measures of bat activity and occurrence around wind turbine nacelles, 203 204 including factors related to landscape composition and heterogeneity, weather conditions, the Julian day, wind turbine functioning and dimensions and recording methods (i.e. the recorder 205 type and the trigger sensitivity). In the first step, since we compiled data produced by different 206 contributors, we expected the existence of multiple combinations between the recorder type and 207 the trigger sensitivity. However, these recording methods deeply affect the number of bat passes 208 209 recorded (Adams et al., 2012). Confounding effects between recording methods and factors of interest (e.g. landscape composition) could prevent modeling them simultaneously. Using all 210 compiled data, we therefore tested for trends in the landscape composition and heterogeneity, 211 212 weather conditions, and wind turbine functioning and dimensions between recording methods 213 (i.e. a discrete variable including seven combinations between the recorder type and the trigger sensitivity), using Kruskal-Wallis tests and box plots. We then computed the proportion of 214 215 variance explained by each variable (*pseudo-R*<sup>2</sup>) to assess whether the importance of the factors of interest (actual drivers of bat activity) was biased by the different recording methods. To 216 properly study the drivers of bat activity at wind turbine nacelles, a prerequisite was that the 217 recording methods do not capture an overwhelming part of the variance compared to the factors 218 219 known to affect bat activity. To achieve this, we built one full Generalised Linear Mixed Model 220 (GLMM, R package *glmmTMB*; Brooks et al., 2017) per bat guild, using LRE and MRE activity and SRE occurrence as response variables, and the landscape (see Supporting information S2 221 for landscape variable selection and composition), weather conditions (i.e. average wind speed, 222 223 average temperature and cumulated rain), the Julian day, and wind turbine functioning (i.e. average blades rotation speed) and dimensions (i.e. nacelle height and rotor diameter) as fixed 224 225 effects (hereafter referred to as "explanatory variables"). Since we had a relatively small number of sites, we restricted the number of landscape variables to three; i.e. the same number 226 as the other types of variables (i.e. three weather variables and three wind turbine functioning 227

and dimension variables available). With such approach, models were always constituted of ten 228 229 variables, allowing to avoid overparameterization. For landscape variables we pre-selected the best computing area buffer and in a second step selected the three ones - within the five 230 landscape variables – with the best conjoint contributions (see Supporting Information S2 for 231 more details). We used the wind turbine identifier and year as random effects to account for 232 pseudo-replication (i.e. many recording nights per wind turbine) and inter-year variations in 233 activity, associated with a negative binomial distribution for LRE and MRE guilds and a 234 binomial distribution for SRE guild (see Supporting information S2 and Table S4 for the 235 composition of full models). Then, we computed the *pseudo-R*<sup>2</sup> of each variable by subtracting 236 237 the marginal  $R^2$  of the full model and that of the model without the target variable, using the R 238 package sjstats.

239 The preliminary analysis showed that recording methods resulted in confounding effects with most other variables of interest and captured the largest variance part (Table S5; Figs. 2 & S2). 240 241 Thus, to model bat activity or occurrence as a function of explanatory variables, we selected in a second step only one combination between the recorder type and the trigger sensitivity that 242 243 removed any variation in recording methods. We chose the combination of the Batmode set to a trigger sensitivity of 37 dBSPL which had the largest dataset resulting in 34 wind turbines, 244 245 8,619 nights and 65,775 bat passes. Based on this subset, we performed the same GLMMs 246 workflow as presented above (see Supporting information S2 and Table S6 for more details) to assess the respective effects of explanatory variables on the LRE and MRE activity and SRE 247 occurrence. For each explanatory variable, we checked the potential need for adding a non-248 249 linear effect by visual inspection of Generalised Additive Mixed Models (GAMM, R package mgcv; Wood, 2011; see Table 1 for variables that required quadratic or cubic effects). We also 250 251 checked the absence of multicollinearity by calculating the Variance Inflation Factor (VIF) for each explanatory variable (R package performance; Lüdecke et al., 2021). All variables showed 252

a VIF<2, implying no evidence of multicollinearity (Chatterjee and Hadi, 2006). It should be 253 254 noted that wind speed and blade speed were not excessively correlated thanks to maintenance periods that stopped the turbines in all wind conditions (Fig. S3). Overall model validation was 255 performed using diagnostic plots (R packages DHARMa and performance; Lüdecke et al., 256 2021). Full models were compared to null ones using the Akaike information criterion (AIC) 257 (Burnham and Anderson, 2002), and goodness of fit was assessed using the marginal  $R^2$ 258 (variance explained by the fixed effects) and conditional  $R^2$  (variance explained by both fixed 259 and random factors) values (Nakagawa and Schielzeth, 2013). All analyses were performed 260 using a significance threshold of 5% in R statistical software v.4.0.3 (R Core Team, 2020). 261

262

# 263 2.4. Assessing the effectiveness of using model equations to limit bat exposure compared to 264 conventional curtailments

265 Using the same Batmode dataset, we assessed whether curtailment of wind turbines based on multiple-factor models could be more efficient in limiting bat activity exposure at the scale of 266 all wind turbines than commonly used blanket curtailment methods. For that, we trained full 267 268 models for each guild on a 50% fully random subset of the dataset (hereafter referred to as "training dataset") and predicted bat activity on the other 50% (hereafter referred to as 269 "prediction dataset"), and this 100 times. Then, we computed for each prediction dataset the 270 remaining percentage of bat activity (for LRE and MRE guilds) or occurrence (for SRE guild) 271 272 (i.e. the real bat activity or occurrence recorded while the blades were moving) and the 273 percentage of lost blade rotations (i.e. as a proxy of lost energy production) resulting of curtailing wind turbines following either of two methods: (i) curtailing above thresholds of bat 274 activity predicted from full models (hereafter referred to as "multicriteria curtailment 275 algorithm"), and (ii) curtailing below wind speed thresholds and this either without temperature 276 threshold or with different minimum temperatures required from 2 to 18°C (hereafter referred 277

to as "blanket curtailment"). Finally, we plotted the relationship between the remaining percentage of bat activity or occurrence and the percentage of lost blade rotations for both curtailment methods to evaluate their effectiveness in limiting exposure (Fig. 3A). The comparison of both curtailment methods was conducted for the non-winter periods only.

To assess whether the effectiveness was relevant for all wind turbines, we also plotted the 282 relationship between the remaining percentage of bat activity and the percentage of lost blade 283 rotations for each wind turbine independently. We computed Area Under Curve (AUC) values 284 285 for both curtailment methods to evaluate which one was the most effective (i.e. with the highest AUC value) (R package MESS). We also estimated to what extent the effectiveness of 286 curtailment methods was preserved when wind turbines included in the training dataset differed 287 288 from those in the prediction dataset. For that, we repeated the procedure explained above, but using a training dataset constituted of data from 33 out of 34 wind turbines and a prediction 289 dataset constituted of data from the 34<sup>th</sup> wind turbine, and we repeated it for each wind turbine 290 to present its results while computing AUC values for both curtailment methods. These turbine-291 by-turbine assessments were only conducted for LRE and MRE guilds for which we had enough 292 293 data for each wind turbines.

Finally, because the percentage of lost blade rotations did not constitute a perfect proxy of lost energy production, we assessed whether the relative comparison of lost blade rotations between curtailment methods as a proxy of energy production losses was biased (e.g. one method for a given level of lost blade rotations involving slower blade speeds, and in turn lower energy losses, than the other method). For that we compared the distribution of blade speeds inside lost blade rotations between the two curtailment methods.

#### 300 **3. Results**

#### 301 *3.1. Bat monitoring*

A total 98,627 bat passes were recorded at 59 wind turbines and 14,937 nights. However, as 302 303 described above, in order to avoid confounding effects between recording methods and other explanatory variables, we only selected wind turbines monitored using Batmode recorders 304 which exhibited no trigger sensitivity variation, while including most of the data (i.e. 34 wind 305 turbines out of 59 and 8,619 nights out of 14,937). Data from Batmode resulted in a total of 306 307 65,775 bat passes recorded, with 43,519 passes of LRE, 22,135 passes of MRE and 121 passes 308 of SRE (see Table S3 for species composition). At least one pass of LRE, MRE and SRE was recorded in 35%, 18% and 1% of nights, respectively (Table S3). 309

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#### 311 *3.2. Drivers of bat activity around nacelles*

Full models of bat activity and occurrence showed smaller AIC than null models (delta AIC of full models from -50 to -1468), with 33%, 55% and 51% variance explained by fixed effects and 78%, 60% and 54% by both fixed and random effects, for LRE, MRE and SRE guilds, respectively (Table S6).

Regarding landscape variables, LRE activity was positively affected by the landscape Shannon 316 diversity index of habitat patches at the 10,000 m radius scale while MRE activity increased 317 with increasing patch richness density at the 1,000 m radius scale and forest proportion at the 318 319 10,000 m radius scale. We also found significant positive relationships between SRE occurrence and edge density at the 10,000 m radius scale and the proportion of impervious 320 321 surfaces at the 100 m radius scale (Fig. 4; Table 1). Concerning wind turbine functioning and dimensions, increasing average blade speed significantly reduced the activity/occurrence of all 322 guilds, while no effect of nacelle height and rotor size were found (Figs. 4; Table 1). Concerning 323

weather conditions, average temperature positively and non-linearly affected the activity of
LRE and MRE guilds, while the average wind speed and the cumulated rain negatively affected
(non-linearly and linearly, respectively) the activity/occurrence of all guilds (Fig. 4; Table 1).
Finally, we found seasonality in the activity of the LRE and MRE guilds, manifested as a cubic
and quadratic relationship, respectively, with the Julian date: increasing between January and
August, and decreasing from September to December (Fig. 4; Table 1).

330

331 3.3. Effectiveness of model equations to limit bat exposure compared to conventional
 332 curtailments

For the blanket curtailment, we found that increasing wind speed thresholds below which wind 333 turbines should be curtailed almost always linearly decreased the real remaining bat activity for 334 335 all guilds (Fig. S4A-C). For the multicriteria curtailment algorithm, we found that decreasing 336 the predicted bat activity above which wind turbines should be curtailed decreased the actual 337 bat activity or occurrence exposed: exponentially for LRE activity, logistically for MRE activity and linearly for SRE occurrence. (Fig. S4A-C). Moreover, expanding curtailment increased the 338 percentage of lost blade rotations differently between methods: with logistic increases when 339 340 using wind speed and temperature criteria, and exponential increases when using a multicriteria curtailment algorithm. (Fig. 4D-F). 341

When we linked the real bat activity or occurrence exposed with the percentage of lost blade rotations, we found that the multicriteria curtailment algorithm was more efficient than the blanket curtailment for all guilds (Figs. 3B & 5). We found that the multicriteria curtailment algorithm at the scale of all wind turbines exhibited on average 20% and 9% less bat activity exposed than blanket curtailment without temperature threshold for LRE and MRE guilds, respectively, and 24% less occurrence exposed for SRE guild (Fig. 3B1). When blanket curtailment included temperature thresholds, the multicriteria curtailment algorithm exhibited

on average 20 to 29%, 7 to 12% and 24 to 31% less exposure for LRE, MRE and SRE guilds, 349 respectively, depending on the temperature threshold considered in blanket curtailment (Figs. 350 3B2 & 5). The higher efficiency of the multicriteria curtailment algorithm was confirmed by its 351 AUC values which were higher than those of blanket curtailment for a 10°C threshold at 81 and 352 75% of wind turbines for LRE and MRE guilds, respectively (Figs. S5 & S6). Finally, when 353 the algorithm was trained on 33 out of 34 wind turbines and predictions made on the remaining 354 355 wind turbine(i.e. model training and predictions based on independent sites), algorithm curtailment had higher AUC values than blanket curtailment at 81 and 69% of wind turbines 356 for LRE and MRE, respectively (Fig. S7 & S8). 357

Finally, blade speed distributions did not differ between lost blade rotations of both curtailment methods, thus suggesting that the relative comparison of lost blade rotations between curtailment methods as a proxy of energy production losses was not biased (Fig. S9).

#### 361 **4. Discussion**

Identifying drivers of bat exposure to wind turbines from acoustic monitoring at nacelles, and 362 the possibility of their combined use as criteria in algorithm-based curtailment, have so far 363 received little attention in the scientific literature in the context of wind turbine impact 364 mitigation. Our study shows that recording methods should be accounted for when using 365 acoustic data continuously produced in post-construction regulatory studies, before analysing 366 the drivers of bat exposure. Once detection method biases were avoided, results showed that it 367 368 is possible to disentangle the main drivers. Our findings revealed that landscape features, weather conditions, seasonality, and wind turbine functioning determine the activity of all bat 369 370 guilds at nacelles. Algorithms including all these drivers to curtail wind turbines above a given 371 level of predicted bat activity are more efficient than common blanket curtailment methods 372 based on unique wind speed and temperature thresholds on the activity period of bats, as they reduce more exposure while sustaining the same energy production. 373

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#### 375 *4.1. Assessing bias in recording methods*

One of the aims of this study was to take advantage of the numerous pre-existing data from 376 377 post-construction monitoring studies instead of designing a field study that would require paramount monetary and time investments. A prerequisite for using all aggregated data was the 378 absence of biases related to the recording methods. Unfortunately, when considering data 379 collected using different recording methods, the combination of recorder type and triggering 380 sensitivity explained much more variance than all well-known drivers of bat activity (Roemer 381 382 et al., 2019; Behr et al., 2017; Cryan et al., 2014; Horn et al., 2008), minimizing their relative importance in the models. All gradients of drivers strongly varied among recorder type/trigger 383 sensitivity combinations, thus preventing any modelling of the effects of drivers on bat activity 384 based on the full dataset due to confounding effects. Indeed, different recorder type/trigger 385

sensitivity combinations can lead to very different levels of bat activity between sites due to the 386 387 different detection distances generated by the material specificities and settings (Darras et al., 2020; Adams et al., 2012). We opted to compensate for this problem by modelling the effect of 388 the drivers on bat activity after separating the recorder type/trigger sensitivity combinations. 389 390 However, harmonising monitoring methods across all sites would avoid such partitioning and loss of data. Alternatively, future studies could assess the possibility of using corrective 391 coefficients of activity between different recorder type/trigger sensitivity combinations, or 392 393 establish longer bat pass units, to make the sites monitored in different ways comparable.

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### 395 *4.2. Drivers of bat activity around nacelles*

Our results highlight the high importance of accounting for all drivers (i.e. landscape, wind turbine functioning, weather, and date) to better account for the variation of bat activity at nacelle height. As expected from previous studies looking at fatality risk or bat activity at nacelle height, we found a joint effect of all types of drivers on bat activity (Behr et al., 2017; Thompson et al., 2017; Cryan et al., 2014; Santos et al., 2013; Horn et al., 2008).

401 Specifically, LRE and MRE activity increased with the Shannon diversity index of habitat patches and patch richness density, respectively, as previously reported by (Froidevaux et al., 402 403 2022; Mendes et al., 2017; Monck-Whipp et al., 2017). Edge density also positively affected 404 the SRE guild occurrence, as previously documented for hedgerow density (Lacoeuilhe et al., 2016; Verboom and Huitema, 1997) or the density of all edge habitats (Ancillotto et al., 2017; 405 Mendes et al., 2017). We also found forest cover to positively affect MRE activity, consistent 406 407 with Roemer et al. (2019), who showed that bat activity at height decreased with the distance to the forest, and with Boughey et al. (2011a) who found higher bat activity at ground level 408 with an increasing proportion of forest. Unexpectedly, our model revealed a positive effect of 409 impervious habitat proportion on SRE activity, a relationship that is elsewhere described as 410

negative (Gili et al., 2020). Impervious habitat in this dataset corresponds to roads and the buffer
scale selected is very local (100m). This is likely an indirect positive effect related to
ecotone/hedgerow associated with roads (i.e. road access to a wind turbine), a favourable
context for foraging of narrow- and edge-space foragers of the SRE guild (Denzinger and
Schnitzler, 2013).

Increasing blade rotation speed logistically reduced LRE activity and SRE occurrence, and linearly decreased MRE activity, in accordance with previous studies (Cryan et al., 2014; Horn et al., 2008). It should be noted that it is unlikely that this result fully mirrors the effect of wind speed because wind speed and blade speed are not fully confounded (Fig. S3). In addition, the negative effect of blade rotation speed is preserved at both high and low wind speeds (Fig. S10).

Regarding weather conditions, increasing temperatures promoted the activity of LRE and MRE 421 guilds, while increasing wind speeds and cumulated rain suppressed the activity of all guilds. 422 These results corroborate studies of bat activity at height, showing very similar patterns (Wellig 423 424 et al., 2018; Behr et al., 2017; Horn et al., 2008; Arnett et al., 2006; Redell et al., 2006). 425 Interestingly, both LRE and MRE guilds exhibited some tolerance to unfavourable weather conditions, with a non-negligible proportion of remaining activity in such conditions (see Fig. 426 S11). For instance, above wind speeds of 8m/s, 9% of MRE activity and 12% of LRE activity 427 remained; below a temperature of 10°C, 2% of MRE activity and 7% of LRE activity remained 428 (Fig. S11), which is highly consistent with findings by Behr et al. (2017) in Germany. 429

With respect to seasonality, a peak in LRE and MRE activity was detected in August, thus
reinforcing previous studies reporting a peak in bat fatalities at wind turbines in this period
(Schuster et al., 2015; Arnett et al., 2008).

# 434 4.3. Assessing the effectiveness of using model equations to limit bat exposure compared to 435 conventional curtailments

The multifactor responses of bat activity and occurrence at wind turbine nacelles reported in this study highlight the crucial need for curtailment strategies based on all possible combinations of the driving factors, while proving that curtailment based on fixed environmental thresholds such as cut-in wind speed and temperature is not fully effective in avoiding bat exposure.

Based on the relationship between the percentage of recorded bat activity or occurrence and the 441 percentage of lost blade rotations entailed by each curtailment threshold (i.e. wind speed and 442 temperature values for blanket curtailment and a predicted bat activity and occurrence value for 443 multicriteria curtailment algorithm), multicriteria curtailment algorithm will save many more 444 bats from exposure to spinning blades (i.e. on average 20 to 29%, 7 to 12% and 24 to 31% less 445 exposure for LRE, MRE and SRE guilds, respectively, depending on temperature threshold 446 considered in blanket curtailment). This result corroborates conclusions from Behr et al. (2017) 447 who performed a similar assessment using the real loss of energy production and curtailment 448 449 thresholds based on a mean number of fatalities per turbine and per year. The fact that the 450 difference in efficiency is smaller for the MRE than for the LRE guild (both being at high risk of collision; Roemer et al., 2017), is mainly due to the fact that blanket curtailment is 451 significantly less efficient for LREs as they are more tolerant to non-optimal weather conditions 452 453 (Fig. S11). The increased effectiveness on LRE (the most collision-sensitive guild) reinforces the importance of moving from current blanket curtailments to a multi-criteria algorithm-based 454 approach. 455

456

457 *4.4. Limitations and recommendations* 

The study calls for prudence when using data from different recording methods that should be 458 459 controlled before any modelling as they could strongly bias the algorithm to use for curtailment. This requires regulatory databases (as is the case with the DEPOBIO tool in France; 460 https://depot-legal-biodiversite.naturefrance.fr/) to demand the input of metadata related to the 461 462 methods used, or ideally to harmonise these methods. Thus, in order to be generalised to all types of material and settings, the algorithm should either be adapted to each type using 463 appropriate data, or a ratio of equivalence in activity between pairs of material/settings should 464 be defined in future studies. 465

To go further in the modelling of bat exposure, the curtailment algorithm method we propose 466 should be adapted on an intra-night scale to account for the variation of bat activity during the 467 night and thus minimise even more production losses (Behr et al., 2017). In addition, our 468 efficiency assessment does not rely on a real loss of energy production as such information is 469 rarely available from wind energy developers. However, as blade speed distributions do not 470 differ between lost blade rotations of both curtailment methods, the relative comparison of lost 471 472 blade rotations between curtailment methods as a proxy of energy production losses is not 473 biased. Bat activity around nacelles was reported to be a good proxy for fatality risk (Peterson et al., 2021; Korner-Nievergelt et al., 2013), but we encourage further research on the 474 475 relationship between activity and mortality to refine algorithms towards an explicit reduction of the real collision risk, either by giving more weight to conditions in which activity is most 476 strongly correlated with mortality or by using mortality data directly. Acoustic-informed 477 blanket curtailment is another method practised in North America, notably using the Turbine 478 Integrated Mortality Reduction (TIMR) system which, in addition to a wind-speed threshold, 479 480 integrates a real-time bat activity criterion. Although this system is not directly comparable to our algorithm (intra-night timescale, effectiveness assessed using daily fatality surveys), it 481 seems to show similar effectiveness (i.e. a 37% reduction in exposure compared to blanket 482

curtailment) (Rabie et al., 2022; Hayes et al., 2019). Future studies could therefore compare 483 484 these two types of curtailment strategies on an equivalent basis to highlight the strengths and weaknesses of each, especially regarding technological constraints. Finally, the baseline data 485 used to train the algorithm should be updated on a regular basis with data from the latest wind 486 turbine models in order to explicitly incorporate their dimensional changes into the modelling. 487 The strategy of algorithm-based curtailment should be conceived on a large scale to save a 488 global percentage of the bat community from exposure, although on some sites the method may 489 currently be less effective. In the future, to capitalise on large-scale data, algorithms could be 490 developed using national data and applied site by site as it accounts for the landscape context, 491 and could be regularly updated with data from new post-construction monitoring. This will 492 require more years and sites of monitoring to account for the inter-annual stochasticity of the 493 responses and to cover larger landscape gradients, respectively, and would also require updating 494 algorithms with the most recent data to consider climate change and especially the gradual 495 increase in temperature. The large amount of regulatory post-implementation acoustic 496 497 monitoring performed each year could be included annually to update algorithms so that the exposure threshold defined by the central authority is continuously based on a predictive tool 498 accounting for climate change. Since temperate insectivorous bat species respond to a 499 500 documented set of landscape characteristics, weather conditions and seasonality, we expect the development of such curtailment algorithms to be efficient and of great relevance in most 501 temperate ecosystems. 502

Finally, our study calls for the use of multicriteria curtailment algorithms instead of basic
blanket curtailments as power production is clearly predicted to be higher and the benefit for
bats is high in most situations (Behr et al., 2017).

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516

#### 517 Author's contribution

518 K.B. with the support of C.K. conceived the ideas, designed the methodology, collected and 519 processed the data, and analysed the data; K.B. led the writing of the manuscript with the 520 support of all authors. JSPF computed landscape variables. All authors critically contributed to 521 the drafts and gave their final approval for publication.

522

#### 523 Data accessibility

524 Data used for analyses will be available on a dedicated platform.

525

## 526 **Conflict of Interest**

France Energie Eolienne (FEE) is an association of more than 300 members, professionals of the wind energy sector in France, who have built more than 90% of the turbines installed on the French territory and operate more than 85% of them. The scientific question was defined with FEE whose members provided the data. FEE had no role in data preparation and analysis,

interpretation and discussion of the results, and decision to publish. The Office Français de la 531 Biodiversité (OFB) is a public institution dedicated to the protection and restoration of 532 biodiversity in metropolitan and overseas France, under the authority of the French Ministry of 533 Ecological Transition and Agriculture and Food. OFB and FEE proofread an early version of 534 the manuscript and this proofreading did not influence the interpretations, discussions and 535 conclusions of the study. Corrections made to the first draft following these proofreadings can 536 be found at https://doi.org/10.5281/zenodo.6818161. Authors take complete responsibility for 537 the accuracy of their analysis and their interpretations and discussions. None of the authors was 538 employed by any of the funders prior to, or at the time of the submission of the article. We 539 540 declare having no other competing interest.

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Figure 1. Location of monitoring sites in France according to bat recorder types.



Figure 2. Percentage of bat activity and occurrence variance explained by each variable (i.e. pseudo- $R^2$ ) related to acoustic method, landscape, weather and time, and wind turbine features from generalized linear mixed models based on data from all recorder types (i.e. 59 sites, 14,937 nights and 98,627 bat passes).

(A) Method for assessing the effectiveness of curtailment methods



(B) Effectiveness of curtailment methods





Long-range echolocators Mid-range echolocators Short-range echolocators Recorded bat occurrence at nacelle (%) 0 07 09 09 08 00 Recorded bat activity at nacelle (%) 0 0 0 0 0 00 00 00 Recorded bat activity at nacelle (%) Lost blade rotations (%) Lost blade rotations (%) Lost blade rotations (%) Type of curtailment Blanket Algorithm \_\_\_\_

Figure 3. Panel A depicts the method to compare blanket (black) and algorithm-based (blue) 781 782 curtailment methods' effectiveness to limit bat activity exposure. One hundred iterations were performed to train generalized linear mixed models (GLMM) on a random selection of 50% of 783 the Batmode dataset and predict bat activity (for LRE and MRE guilds) and occurrence (for 784 SRE guild) as well as computing remaining recorded bat activity and lost blade rotations on the 785 remaining 50% (see section 2.4). The method first links the percentage of recorded bat activity 786 787 and the percentage of lost blade rotations, respectively, to the wind speed threshold below which the turbine is curtailed when no temperature threshold and various minimum temperature 788 thresholds were applied (blanket curtailment, black) and the predicted bat activity above which 789 790 the turbine is curtailed (curtailment algorithm, blue). Then the method links the percentage of remaining recorded bat activity and the percentage of lost blade rotations for both curtailment 791 792 methods to compare their effectiveness presented for the three guilds in panel B. For the blanket 793 curtailment, panel B shows the effectiveness of the method when no temperature threshold (B1) and a minimum temperature of 10°C (B2) were applied. 794



Figure 4. Predicted number of bat passes or probability of presence from generalized linear
mixed models and 95% confidence intervals as a function of significant variables related to
landscape (green), wind turbine (grey), and weather and date (blue), based on the Batmode
dataset.



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Figure 5. Average change in remaining percentage of bat activity exposed to spinning blades
and associated 95% intervals between blanket and algorithm-based curtailments for various
temperature thresholds in the blanket curtailment. Average change was computed using each
intra-iteration difference between curtailment methods.

Table 1. Estimates, standard errors and p-values from full models testing the effect of landscape, wind turbine and weather/time variables on LRE and MRE activity and SRE occurrence. Missing values indicate that the landscape variable was not selected in full models (only the three best explaining ones per guild were included, see Statistical analysis section for more details) or the no need for quadratic or cubic effects on weather/date variables. Significant effects (P<0.05) are shown in bold.

Variable	LRE		MRE		SRE	
	Estimate±SE	Р	Estimate±SE	Р	Estimate±SE	Р
Intercept	-0.670±0.733	0.361	-0.492±0.122	< 0.001	-6.614±0.537	< 0.001
Landscape variables						
Edge density (m/ha, 10,000 m)	-	-	-	-	1.884±0.304	<0.001
Patch richness density (Number per 100 ha, 1,000 m)	-	-	0.608±0.175	0.001	-	-
Arable land proportion (10,000 m)	-	-	-	-	$0.674 \pm 0.378$	0.075
Shannon diversity index (10,000 m)	0.894±0.283	0.002	-	-	-	-
Distance to impervious (m)	$-0.257 \pm 0.416$	0.537	$-0.194 \pm 0.204$	0.342	-	-
Impervious proportion (100 m)	-	-	-	-	0.355±0.137	0.010
Distance to forest (m)	-0.227±0.312	0.467	-	-	-	-
Forest proportion (10,000 m)	-	-	0.313±0.119	0.008	-	-
Wind turbine variables						
Rotor diameter (m)	$0.162 \pm 0.270$	0.549	$0.037 \pm 0.151$	0.805	$0.532 \pm 0.344$	0.122
Nacelle height (m)	$0.153 \pm 0.270$	0.572	$0.192 \pm 0.145$	0.185	-0.064±0.331	0.845
Average blade speed (km/h)	$0.155 \pm 0.128$	0.223	-0.751±0.221	<0.001	-1.148±0.508	0.024
Average blade speed^2	-0.761±0.155	<0.001	$0.402 \pm 0.259$	0.120	1.775±0.513	<0.001
Weather/date variables						
Julian day	0.227±0.040	<0.001	-1.516±0.364	<0.001	0.121±0.137	0.377
Julian day^2	$0.028 \pm 0.052$	0.585	1.736±0.365	<0.001	-	-
Julian day^3	-0.417±0.035	<0.001	-	-	-	-
Average temperature (°C)	-0.507±0.133	<0.001	2.030±0.222	<0.001	$0.225 \pm 0.141$	0.112
Average temperature^2	$1.044 \pm 0.126$	<0.001	-1.055±0.201	<0.001	-	-
Average wind speed (m/s)	-1.988±0.159	<0.001	-3.272±0.269	<0.001	-1.868±0.584	0.001
Average wind speed <sup>2</sup>	1.963±0.155	<0.001	2.751±0.272	< 0.001	$1.630 \pm 0.438$	<0.001
Cumulated rain (mm)	-0.178±0.031	<0.001	-0.330±0.052	<0.001	-0.422±0.185	0.022