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1 **Diablo/SMAC; a novel biomarker of pollutant exposure in European flounder**  
2 **(*Platichthys flesus*).**

3  
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5  
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9  
10 **Abstract**

11  
12 Diablo (or SMAC) is a protein released from mitochondria following apoptotic stimuli and  
13 inhibits the actions of Inhibitors of Apoptosis (IAP) proteins. IAPs regulate the activity of  
14 caspases and NFκB, the primary executioners of apoptosis and of inflammation respectively.  
15 Thus, Diablo is important for the regulation of cellular responses to damage. In Northern  
16 Europe, statutory governmental marine monitoring programs measure various biomarkers  
17 in flounder to indicate biological effects of pollutant exposure. More recently transcriptomic  
18 techniques have been applied in flounder to gain a more comprehensive understanding of  
19 pollutant effects, and to discover novel biomarkers. In most of these studies utilising  
20 flounder, Diablo was amongst the most highly increased transcripts identified. The aim of  
21 this study was to further examine piscine Diablo, at the gene level and mRNA level, after  
22 exposure to prototypical pollutants, and in flounder caught from polluted environments.  
23 The results show that two genes encoding Diablo exist in fish species, and in flounder one of  
24 these genes is increased in liver after exposure to polycyclic aromatic hydrocarbons and  
25 polychlorinated biphenyls, and also in livers from fish living on contaminated estuarine  
26 sediments. Therefore, Diablo measurement has potential as a biomarker of pollutant  
27 exposure, and could indicate damaging effects of chemical contaminants.

28  
29 **Keywords:** Diablo, SMAC, pollutant, environment, biomarker, flounder, *Platichthys flesus*

30

## 31 1. Introduction

32

33 The assessment of chemical pollutant status in coastal waters and sediments is an important  
34 aspect of environmental protection. Various government agencies have embarked upon the  
35 development of methodologies for setting limits for individual priority contaminants, these  
36 limits being set on the basis of results from laboratory toxicological tests on test species  
37 (Lyons et al., 2010). Examples of this approach include those used by OSPAR in Europe  
38 (Thain et al., 2008) and NOAA in North America (Long et al., 1998) whereby Environmental  
39 Assessment Criteria (EAC) and Sediment Quality Guidelines (SQG) have been in continuous  
40 development over a number of years. Thus, priority substance-specific EACs and SQGs are  
41 defined as a level of chemical contamination in the environment below which it is unlikely  
42 that unexpected or unacceptable biological effects will occur in marine species. However,  
43 this approach, based on data from single chemical acute laboratory exposures on a limited  
44 number of compounds and test species, may not accurately predict pollutant-related  
45 biological effects in the field, where much broader ranges of species are often exposed long-  
46 term to multiple pollutants. For this reason many monitoring programs also include a  
47 variety of biological effects measures on sentinel species such as European flounder  
48 (*Platichthys flesus*), and can include measurements of vitellogenin (which is responsive to  
49 endocrine disrupting chemicals), cytochrome P401A (CYP1A, responsive to polyaromatic and  
50 polyhalogenated aromatic hydrocarbons), and liver histopathology among others. One of  
51 the advantages of using these techniques is that they can indicate links between  
52 contaminant exposure and biological effects, as well as detecting the impact of substances  
53 (or combination of substances) that may not be analysed as part of routine chemical  
54 monitoring programmes (van der Oost et al., 2003; Thain et al., 2008).

55

56 More recently the measurement of global gene expression profiles using *P. flesus* high  
57 density DNA microarrays has been tested as a means of inferring a more comprehensive  
58 assessment of fish health in coastal environments, and also as an unbiased method for  
59 discovering novel biomarkers of pollutant exposure (Williams et al., 2008; Falciani et al.,  
60 2008; Leaver et al., 2010). The deployment of these microarrays in *P. flesus* and in other  
61 species has not always generated the gene expression profiles that might be predicted from  
62 knowledge of contaminants, their acute toxic effects, and their associated biomarkers. The  
63 reasons for this may include the likelihood that global gene expression profiles may be site-  
64 specific, varying in response to natural environmental variables such as salinity, food  
65 preference, temperature and genetics, all effects which could mask pollutant signals or  
66 confound analyses. In addition, in the wild, organisms are usually exposed to complex  
67 mixtures of pollutants over longer periods than the laboratory studies of single chemical  
68 exposures which have been the main method for the discovery of biomarkers. However, at  
69 least three separate studies utilising a cDNA microarray on *P. flesus* exposed to sediments  
70 containing complex mixtures of pollutants have shown that the mRNA for a pro-apoptotic  
71 gene, Diablo, is amongst the most significantly increased features (Williams et al., 2008;  
72 Falciani et al., 2008; Williams et al., 2011). In addition, in a long-term mesocosm  
73 experiment, designed to eliminate confounding environmental variables during exposure to  
74 multiply-polluted sediments, Diablo was the most highly increased transcript of all  
75 microarray features (Leaver et al., 2010) despite a lack of response in other “traditional”  
76 biomarker genes.

77

78 Diablo (direct IAP binding protein with low pI), also known as SMAC (second mitochondria-  
79 derived activator of caspase), is one of several proteins released into the cytoplasm from  
80 mitochondria following an apoptotic stimulus. Diablo/SMAC binds to and blocks the activity  
81 of members of the IAP (inhibitor of apoptosis proteins) family of proteins (Du et al., 2000;  
82 Verhagen and Vaux, 2002; Verhagen et al., 2000). IAPs inhibit the primary effectors of  
83 apoptosis, caspase 3 and caspase 7, as well as initiator caspases, such as caspase 9 (Salvesen  
84 and Duckett, 2002). Thus, the effect of an increase in cytoplasmic Diablo/SMAC is to  
85 potentiate or sensitize cells to apoptotic stimuli by removing the inhibiting effect of IAPs  
86 (Hunter et al., 2007). IAPs also influence other cellular processes, in particular pathways  
87 leading to the activation of nuclear factor  $\kappa$ B (NF $\kappa$ B) transcription factors, which in turn drive  
88 the expression of genes important for inflammation, immunity, cell migration and cell  
89 survival (Gyrd-Hansen and Meier, 2010). Both apoptotic and NF $\kappa$ B-dependent cellular  
90 processes are frequently deregulated in cancer and contribute directly or indirectly to  
91 disease initiation, and tumour maintenance and progression (Hunter et al., 2007). The  
92 importance of Diablo/SMAC and IAPs in carcinogenic processes had led to the development  
93 of compounds which are structural mimics of Diablo/SMAC. These have been shown to  
94 increase the sensitivity of cancer cells to drug treatment and are showing considerable  
95 promise as anticancer drugs (Flygare and Fairbrother, 2010).

96  
97 The objective of this study was to extend the transcriptomic studies described above by  
98 assessing the potential of Diablo as a biomarker of pollutant exposure in *P. flesus*. This was  
99 achieved by identifying and comparing Diablo genes in *P. flesus* and other fish species, and  
100 by measuring mRNA expression in *P. flesus* tissues, in experimentally treated fish and in *P.*  
101 *flesus* caught in clean and polluted UK estuaries.

## 102 103 **2. Materials and methods**

### 104 *2.1. Tissues, experimental treatments and environmental samples*

105 For experimental procedures, gonadally immature male *P. flesus* (mass, 96-115g; length 20-  
106 23cm) were obtained by trawl from an unpolluted area of the Scottish East coast and  
107 maintained in filtered seawater in 1.5 m<sup>2</sup> fibreglass tanks for 3 months at 10°C prior to use.  
108 During this period fish were fed with with commercial pellets (Bio-Optimal START 1.5mm,  
109 Biomar, 1% of body weight/day). For experimental treatments with prototypical pollutants  
110 fish were injected intraperitoneally with 1 mL kg<sup>-1</sup> olive oil containing 100 mg ml<sup>-1</sup>  
111 perfluorooctanoic acid (PFOA; n=4), 50 mg ml<sup>-1</sup> Arochlor 1254 (ARO; n=3), 5 mg ml<sup>-1</sup> Lindane  
112 (LIND; n=3), or 25 mg ml<sup>-1</sup> 3-methylcholanthrene (3MC; n=3). Control fish were treated with  
113 1 mL kg<sup>-1</sup> olive oil alone (CON, vehicle control; n=3). These amounts and numbers of animals  
114 were chosen based on previous reports indicating minimum numbers of animals and doses  
115 required to establish the effects of prototypical pollutants (Williams et al., 2008). Injected  
116 fish were transferred to aerated static 0.5m<sup>2</sup> tanks, (3-5 fish per tank) containing filtered  
117 seawater (10°C) which was changed daily for three days. The flounder were not fed during  
118 this period. After three days animals were killed by a blow to the head and a set of organs  
119 was dissected to evaluate the expression profile of investigated genes: liver, kidney,  
120 intestine, gill, heart, spleen, muscle and brain. Tissues were homogenised in 10 volumes of  
121 TriReagent (Sigma, UK) before storage at -80°C until required for RNA preparation. These  
122 procedures were performed under license to, and in accordance with United Kingdom

123 Home Office regulations governing animal experimentation, and following oversight by an  
124 institutional ethics review committee.

125 For environmental samples, livers were collected from male *P. flesus* (mass, 41-241g; length  
126 15-30cm) living in four different UK locations of differing pollutant status (Tyne, Morecambe  
127 Bay, Alde, and Mersey). Fish were selected for analysis on the basis of being indentifiably  
128 male, but with undeveloped (or resorbed) testes. Sampling was from two sites in the Irish  
129 Sea; the Mersey estuary, at Eastham Sands, Liverpool (lat 53°19N, long 2°55W) and  
130 Morecambe Bay (lat 54°10N, long 2°58W), and two sites In the North Sea; the Alde estuary,  
131 Suffolk (lat 52°95N, long 01°33E) and the Tyne estuary at Howdon, Tyne and Wear (lat  
132 54°57N, long 01°38W). Fish were caught using beam trawls during statutory monitoring  
133 programs carried out by the UK Centre for Environment, Fisheries and Aquaculture Science  
134 (CEFAS) in April 2006. Liver samples (100 mg) from 10 male *P. flesus* from each site were  
135 immediately dissected and flash frozen in liquid nitrogen, then stored at -80°C until required  
136 for RNA preparation.

## 137 2.2 Liver Histopathology

138 Flounders were examined for external lesions, liver gross appearance and parasite infection.  
139 Sections of liver tissue were removed, placed into individual histological cassettes,  
140 transferred to 10% neutral buffered formalin and processed for histopathology as described  
141 previously Stentiford et al, 2003. Liver pathology was assessed according to the criteria of  
142 Feist et al., 2004.

## 143 2.3. Total RNA extraction and synthesis of *P. flesus* cDNA

144 Total RNA extraction was performed for all the samples using TriReagent RNA extraction  
145 buffer (Sigma UK) according to the manufacturers protocol. Final RNA concentrations were  
146 measured using ND-1000 Nanodrop spectrophotometer (Labtech Int., UK) and integrity  
147 checked (ratio of 28S:18S rRNA banding intensity) by electrophoretic separation and  
148 visualization of 1 µg of total RNA from each sample using an agarose gel.

## 149 2.4. Synthesis of *P. flesus* Diablo cDNAs

150 By sequence similarity with mammalian Diablo/SMAC, several candidate European *P. flesus*  
151 ESTs (EC377887, DV567420, DV566149, DV566726, AJ580508, DV567320), and ESTs from  
152 related Pleuronectid flatfish (eg. EU412105) were identified in Genbank/EMBL databases.  
153 Using these flatfish sequences, oligonucleotide primers were designed to amplify *P. flesus*  
154 cDNAs.

155 Total *P. flesus* cDNA was generated from 1µg of intestine total RNA using the SMART 3' and  
156 5' RACE kit according to the manufacturers instructions (Clontech). The resulting 3' and 5'  
157 RACE cDNA was then subjected to PCR using primers (Diablo1FullF1, Table 1), designed to  
158 target the 5' untranslated region predicted from a *P. flesus* EST, or designed from a halibut  
159 EST (*Hippoglossus hippoglossus*, hhDiablo2R, EU412105), each paired with the Universal  
160 Primer Mix supplied with the SMART RACE kit. The thermocycling program consisted of 5  
161 cycles at 95°C for 20 sec, 72°C for 2 min followed by 5 cycles at 95°C for 20 sec, 70°C for 30  
162 sec, 72°C for 2 min, followed by 25 cycles at 95°C for 20 sec, 63°C for 30 sec, 2 min at 72°C  
163 with 5 min of final extension at 72°C. Following sequencing of products, further amplicons

164 were generated on 3' and 5' SMART cDNA templates using primers QfDiablo2F and  
 165 QfDiablo2R respectively, each paired with the Nested Universal Primer supplied with the  
 166 SMART RACE kit.

167 Following purification, amplicons were ligated into pCR 2.1 (Invitrogen, UK) and sequenced  
 168 using a Beckman 8800 autosequencer (Beckmann Coulter, UK) and contigs assembled using  
 169 Lasergene SEQman software (DNASTAR, USA).

170

171 **Table 1. Primers and gene IDs used for cDNA isolation and QPCR.**

PRIMER	SEQUENCE (5' – 3')	TARGET mRNA	REACTION TYPE
Diablo1FullF1	TATCCACCAGCAGACAGAAAG	DV567420	3' SMART RACE
QfDiablo1F	ATGTCGCTGCTGCCGAGCAG	JN686640	qPCR
QfDiablo1R	GCAGCGCTGGTCCATTCCCC	JN686640	qPCR
hhDiablo2R	TTAGTCTTCTCTCAGATAAGCTTCAGG	EU412105	5' SMART RACE
QfDiablo2F	GCGGTGGGCTGTGTGCTGTAC	JN686641	3' SMART RACE, qPCR
QfDiablo2R	ACCTCTGCCCGCTGGCCAAT	JN686641	5' SMART RACE, qPCR
b-ActinF	GACCACTGGGATGACATGG	AF135499	qPCR
b-ActinR	GCGTACAGGGACAGCACAGC	AF135499	qPCR
Cyp1AF	CAACCATGATCCAGAGCTGTG	AJ132353	qPCR
Cyp1AR	GATTATTCTTCTCCACTGACTCT	AJ132353	qPCR
Ugt1BF	CCTTCCCGCAGAGAGTCATA	AM746199	qPCR
Ugt1BR	AGAGAGCCCCATGACTGAGA	AM746199	qPCR
18SF	CTGCCCTATCAACTTTCGATGGTACT	DV566337	qPCR
18SR	AAAGTGTACTCATTCCAATTACAGGG	DV566337	qPCR

172

## 173 2.5. Phylogenetic analysis

174 TBLASTN in the Ensembl genome browser (<http://www.ensembl.org/index.html>) was used  
 175 to identify genes homologous to *P. flesus* Diablo in the genome sequences of medaka  
 176 (*Oryzias latipes*), pufferfish (*Tetraodon nigroviridis*), zebrafish (*Danio rerio*), and stickleback  
 177 (*Gasterosteus aculeatus*), as well as in human (*Homo sapiens*), frog (*Xenopus tropicalis*) and  
 178 bird (*Taeniopygia guttata*). The predicted proteins encoded by areas of genomic sequence  
 179 with high homology to *P. flesus* Diablo were then derived using Wise2  
 180 ([www.ebi.ac.uk/Wise2/](http://www.ebi.ac.uk/Wise2/)). The resulting Diablo polypeptide sequences were aligned using  
 181 ClustalW (Chenna et al., 2003), and phylogenetic relationships between Diablo from  
 182 different organisms were inferred from the similarities of all pairwise protein alignments by  
 183 the Neighbour Joining method (Saitou and Nei, 1987), as implemented by ClustalW.  
 184 Confidence in tree topology was estimated by bootstrapping permuted data through 1000  
 185 iterations.

## 186 2.6. Quantitative RT-PCR (qPCR)

187 Complementary DNA was prepared by first denaturing 1 µg of total RNA at 70°C for 5 min  
 188 and then adding, in a reaction volume of 20 µL, 300 ng of random hexamers, 125 ng of  
 189 anchored oligo-dT, 0.5 mM dNTPs, 4 µL ImProm-II™ 5X Reaction Buffer and 1µL of ImProm-  
 190 II™ Reverse Transcriptase (Promega). The synthesis reaction was carried out at 42°C for 1 h  
 191 and then stopped by heating at 75°C for 10 min. Finally, all the cDNA reactions were diluted

192 to 200  $\mu$ L total volume (dilution 1:10) with nuclease free water and stored at  $-20^{\circ}\text{C}$  until  
 193 required for qPCR. All primers used for qPCR are listed in Table 1. Quantitative RT-PCR was  
 194 performed on 2  $\mu$ L of each diluted experimental and environmental cDNA sample  
 195 (equivalent to 10 ng of input RNA) in reaction volumes of 20  $\mu$ L, containing 10  $\mu$ L of SYBR  
 196 Green mastermix (FastStart Universal SYBR Green Master, Roche, Germany), and 300 nM  
 197 each of gene-specific primer.  $\beta$ -actin was employed as a reference gene for both  
 198 experimental treatments and environmental samples, and 18S rRNA for tissue expression  
 199 profiles (as in Leaver et al., 2007). Reactions were initiated by heating to  $95^{\circ}\text{C}$  for 15 min  
 200 followed by 45 cycles of  $95^{\circ}\text{C}$  for 15s and annealing at appropriate temperatures (Table 1)  
 201 for 15 secs, followed by  $72^{\circ}\text{C}$  for 1min at which point fluorescence data was collected. After  
 202 45 cycles, a melt curve was generated by measuring sample fluorescence during heating  
 203 from 75 to  $95^{\circ}\text{C}$ . The specificity of reactions was checked by inspecting melting curve  
 204 profiles and by sequencing of amplicons from a random selection of samples. Determination  
 205 of amplification efficiencies was measured using a dilution series of a pool of cDNA samples.  
 206 All amplification efficiencies were over 95%. Relative expression was calculated by applying  
 207 the 'delta Ct' or the "delta-delta Ct" method (Pfaffl, 2001) with the reference gene,  $\beta$ -actin,  
 208 and using control samples as indicated in the results sections below.

209 **3. Results**

210 *3.1. Sequence and phylogenetic analysis of P. flesus Diablo/SMAC*

211 *In silico* assemblies of all *P. flesus* cDNA fragments clearly indicated the existence of two  
 212 distinct genes for Diablo in this species. The first of these, hereafter pfDiablo1, possessed a  
 213 complete reading frame encoding a polypeptide of 258 amino acids. The second, hereafter  
 214 pfDiablo2, was assembled from overlapping 3' and 5' RACE products and contained an open  
 215 reading frame encoding a polypeptide of 235 amino acids. Alignment of these proteins with  
 216 the single Diablo protein from human demonstrates extensive similarity (Figure 1).

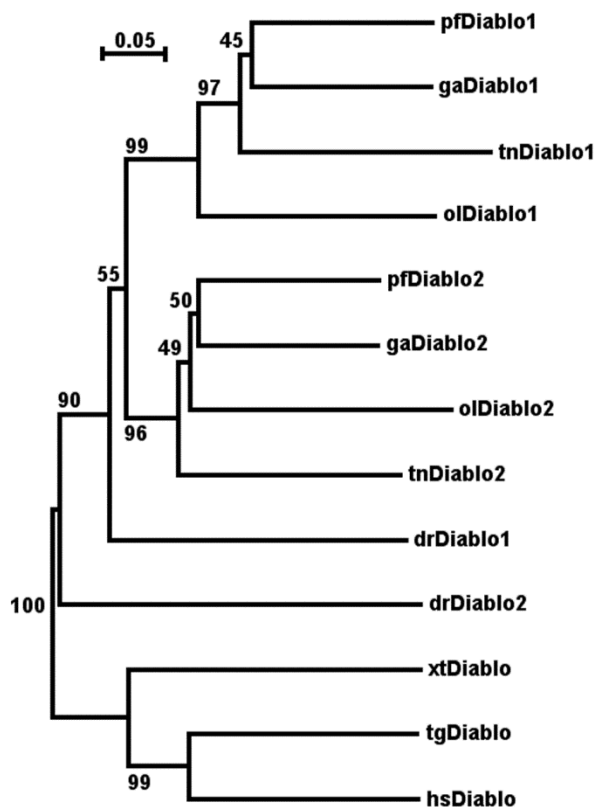
217	pfDiablo1	MQVVRQCSASASRAAGGFLRNPTDMSLLPSRRGAVCSRDL\$LESSPLSSRKEGVQKSGEWTSAAHMSIAS
218	pfDiablo2	MAAVR.....RGAAYFFRSSAR.VLFNCKNTAVHKPRKWTNVLYTSLAS
219	hsDiablo	MAALKSWLS.....RSVTSFFRYRQCLCVPVVANFKKRCFSEELIRPWHKTVT.
220		
221	pfDiablo1	LSVARGLEFT...QQVET...LTHDSLIRRAVSVVTDSSSTFLSQITLALIDALHYSKAVHTRIAVQRRY
222	pfDiablo2	LAVGGGLCAVPFFKQVEQ...LSHDSLIRRAASLVTDSSSTFLSQATLALIDAIDEYSKAVHILNALQRKY
223	hsDiablo	IGFGVTLCAVPIAQKSEPHLSSEALMRRRAVSLVTDSTSTFLSQTTTYALIEAITEYTKAVYTLTSLYRQY
224		\$\$\$\$
225	pfDiablo1	LASVGKLT\$FEEDSHKQAINAMRAEVTYRLDDCKRFESSWINAVNLCMAAEEAANTSGAEQASISVKTNI
226	pfDiablo2	LTSLGKLT\$KDEEDSIWQVIIIGQRAEVNDRQDECKRFESTWVS AVKMCEMAADAAYTSGADHASITMNSNL
227	hsDiablo	TSLLGKMN\$EEEEDEVWQVIIIGARAEMTSKHQEYLYLLET\$TWMTAVGLSEMAAEEAAYQTGADQASITARNHI
228		
229	pfDiablo1	QVAQSQVEEARRVSADAEK\$KLAETKVEEIQRMAEYAA\$FDDE.EHEVHEAYLRED
230	pfDiablo2	EVALSQVEK\$AQLKST\$EADK\$KLAETKVM\$EVQRMAQHSATVQNNDEEEMPEAYLRED
231	hsDiablo	QLVKLQVEE\$VHQLSRKAE\$TKLAEAQIEELRQKTQEEG...EERAESEQEAYLRED

232 **Figure 1. Diablo protein alignment.**

233 Residues identical in two of the three proteins are shaded. The IAP binding motif is underscored with \$. hs,  
 234 human; pf, *P. flesus*

236 Searches of the existing fish genome sequences (zebrafish, pufferfish and medaka) showed  
 237 the existence of homologous genes of both pfDiablo1 and pfDiablo2. In the genomes of the  
 238 tetrapods, *X. laevis*, *T. guttata* and *H. sapiens* only one Diablo gene is present. Phylogenetic  
 239 reconstruction (Figure 2) of the relationships between fish and Tetrapod Diablo genes is not

240 entirely unambiguous. Whereas all Acanthopterygian fish (*P. flesus*, pufferfish, medaka and  
 241 stickleback) have two well supported distinct clusters representing Diablo1 and Diablo2  
 242 which are in turn distinct from the sole Tetrapod Diablo, the Cyprinid (zebrafish) Diablo  
 243 genes are not so clearly separated. Examination of the chromosomal positions and the  
 244 adjacent genes to Diablo in Acanthopterygians and zebrafish (not shown) indicate that all  
 245 Acanthopterygians (ie medaka, pufferfish, stickleback) have duplicated Diablo genes side by  
 246 side on a single chromosome with extensive synteny in this region. In contrast the zebrafish  
 247 has two genes on separate chromosomes which exhibit synteny with each other and with  
 248 the Acanthopterygian species.



249

250 **Figure 2. Phylogenetic comparison of vertebrate Diablo proteins.**

251 The tree was constructed from a Clustal multiple alignment of the deduced polypeptide sequences of Diablo  
 252 coding sequences. Coding sequences were taken from Ensembl genomic databases. *T. nigroviridis* sequences  
 253 have not been previously annotated and were predicted from the gene sequences by homology with other fish  
 254 proteins. Numbers represent the percentage of times the tree topology was returned after computing trees  
 255 from 1000 random samplings of the alignment. hs, human; dr, zebrafish; tn, pufferfish; ol, medaka; ga,  
 256 stickleback; pf, *P. flesus*; xt, frog; tg, bird. Diablo1 and 2 are two different isoforms in fish.

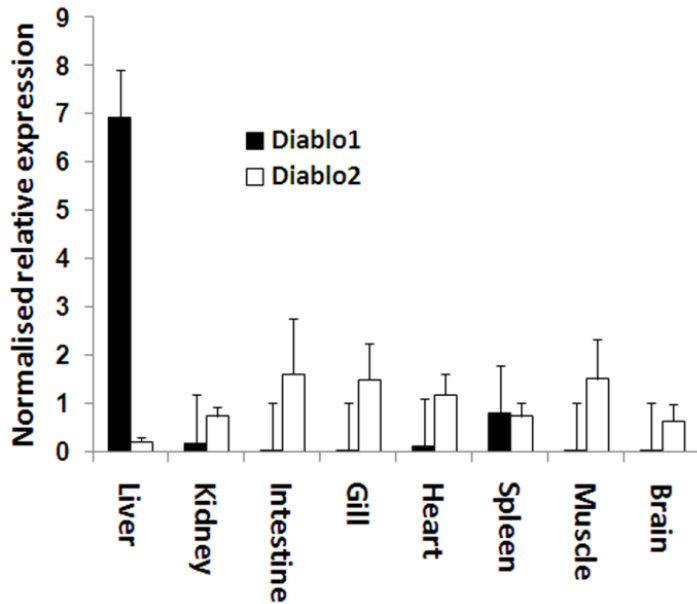
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258 **3.2. Tissue expression of *P. flesus* Diablo genes**

259 The mRNA expression of each Diablo gene was measured in several different tissues from 4  
 260 individual male *P. flesus* maintained in filtered seawater for 3 months (Figure 3). Expression  
 261 levels of both pfDiablo1 and pfDiablo2 between individuals tended to be quite variable, and  
 262 levels of Diablo1 varied greatly across tissues. However it was clear that the highest  
 263 expression level of any gene was that of pfDiablo1 in liver. Kidney, heart and spleen also  
 264 showed measureable Diablo1 expression, although less than liver. In contrast, pfDiablo2 was



265 expressed at lowest level in liver, and showed a higher and similar expression in all other  
 266 tissues tested. In tissues other than liver Diablo2 tended to be expressed at higher levels  
 267 than Diablo1, although in any particular tissue this difference was not statistically significant  
 268 (T-test,  $p < 0.05$ ).



269

270 **Figure 3. Tissue expression of *P. flesus* Diablo 1 and 2.**

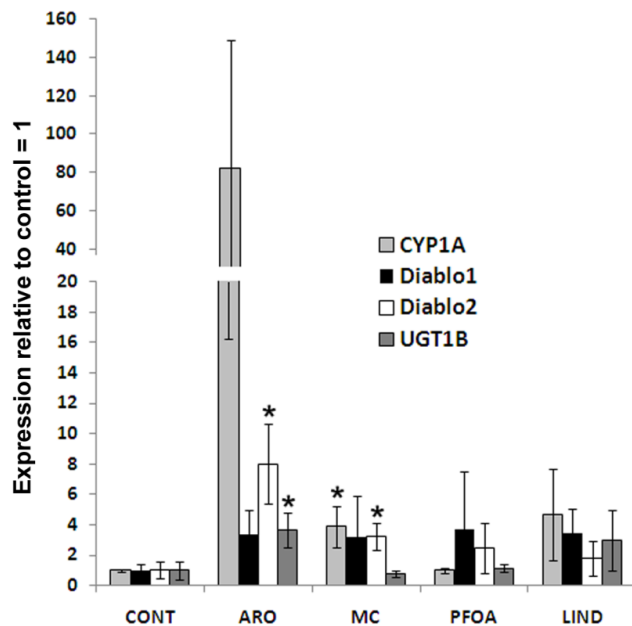
271 Generated from qPCR as described in the text. Diablo mRNA values are normalized to 18S rRNA. Arbitrary  
 272 expression levels are calculated according to the “delta Ct” method. Error bars represent standard deviations  
 273 of the mean (n=4 fish) normalized to the median expression level across tissues for each individual.

274

### 275 3.3. Gene expression in experimental treatments and environmental samples

276 Expression of the two pfDiablo mRNAs in liver after intra-peritoneal injection of different  
 277 chemical contaminants is shown in Figure 4. Data were also compared with CYP1A and  
 278 UGT1B mRNA expression values. PfDiablo1 gene expression after treatments was not  
 279 significantly different from the control group in all the pollutants investigated. In contrast  
 280 pfDiablo2 mRNA was significantly elevated after Arochlor1254 and 3MC treatments,  
 281 approximately 8- and 3-fold respectively. No significant effects on pfDiablo2 were observed  
 282 after lindane or PFOA treatment. As expected, the expression of CYP1A, and UGT1B,  
 283 biomarkers of polyaromatic and polyhalogenated hydrocarbon exposure, were increased  
 284 after 3MC (CYP1A) and Arochlor (UGT1B) treatment. The increase in CYP1A expression in  
 285 the Arochlor treatment, although not statistically significant ( $p = 0.06$ ), is likely to be  
 286 biologically significant given the magnitude of effect and previous evidence (Williams et al,  
 287 2008).

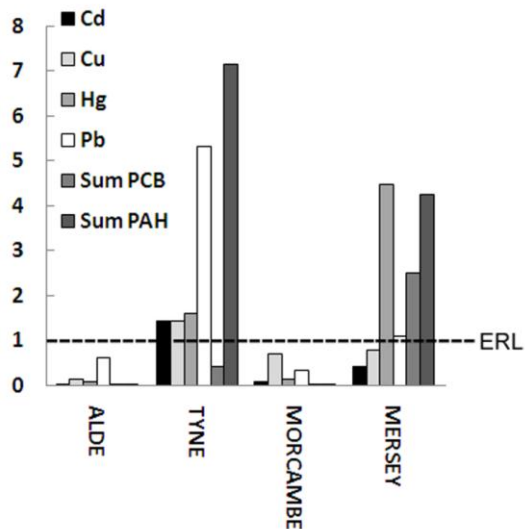
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289 **Figure 4. Expression of *P. flesus* CYP1A, Diablo 1 and 2 mRNA after treatment with**  
 290 **prototypical chemical pollutants.**

291 Figure is generated from qPCR data as described in the text. Relative expression level is calculated using the  
 292 “delta-delta Ct” method using expression of  $\beta$ -actin as a reference gene. Values are plotted relative to control  
 293 set to 1. Effects of i.p.-injection of, Arochlor 1254 (ARO, n = 3 individuals), 3-methylcholanthrene (3MC, n = 3  
 294 individuals,) perflurooctanoic acid (PFOA, n = 4 individuals) or lindane (LIND, n = 3 individuals). Vehicle control  
 295 is olive oil (CON, n = 3 individuals). Asterisks indicate significant difference from control ( $p < 0.05$ , Student’s t-  
 296 test).  
 297

298  
 299 Expression of CYP1A and both pfDiablo mRNAs in flounder livers from UK estuaries of  
 300 differing pollutant status is shown in Figure 6. The locations known to be the most polluted,  
 301 Tyne, Mersey, and Morecambe Bay were compared with Alde, the least polluted. At the  
 302 time of fish sampling levels of a variety of sediment contaminants were also determined by  
 303 Cefas UK. These results have been reported previously (Williams et al., 2011) and are  
 304 summarized for the major contaminants in Figure 5. The most contaminated sites are the  
 305 Mersey and Tyne, both industrialized estuaries, and levels of lead, polyaromatic  
 306 hydrocarbons and polychlorinated biphenyls exceed the effects range low (ERL) threshold  
 307 for biological effects (Long et al., 1998). Pathology and histopathology results showed that  
 308 high numbers of fish (80% of each group) in Alde, Morcambe Bay and Mersey were infected  
 309 by *Lepeophtheirus* and *Acanthochondria* copepod parasites, whereas at the Tyne site these  
 310 parasites were much lower (20%). Gross liver appearance showed no abnormalities and no  
 311 tumours were observed. Liver histopathology showed a variety of possible early neoplastic  
 312 lesions, foci of cellular alteration and non-specific inflammatory lesions. In total 80% of Tyne  
 313 fish showed abnormality in at least one of these categories, 30% at the Alde site and 20% at  
 314 each of the Morcambe Bay and Mersey sites. The majority of these lesions were categorised  
 315 as fibrillar inclusions and melanomacrophage centres.

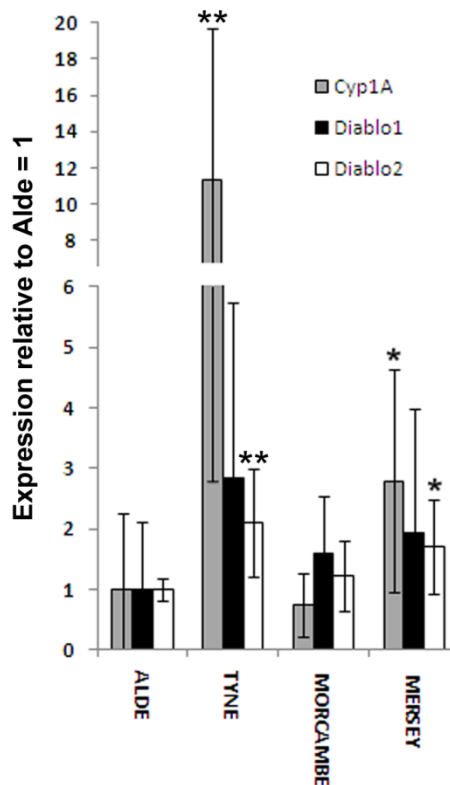


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**Figure 5. Relative levels of sediment contaminants at flounder sampling sites.**

Data are taken and adapted from Williams et al., 2011, and plotted relative to the Effects Range Low (ERL) sediment quality criteria (Long et al., 1998). Sum PAH is the sum of concentrations of 16 priority PAH compounds (USEPA, 1993). Sum PCB is the sum of concentrations of 7 priority chlorinated biphenyls (ICES, 2003). Actual concentrations (per Kg dry weight sediment) at the Tyne site were: Cd, 1.72 mg/Kg; Cu, 48.75mg/Kg; Hg, 0.24mg/Kg; Pb 248.20 mg/Kg, Sum PCB, 9.41 mg/Kg; Sum PAH 23562.3 mg/Kg.

324 In the case of Tyne, CYP1A and pfDiablo2 mRNA expression values were found to highly  
325 significantly different to control group ( $p < 0.01$ ) and were increased approximately 9-fold for  
326 CYP1A and 2-fold for pfDiablo2. No significant differences in pfDiablo1 were observed and  
327 the expression of this gene was highly variable within groups. Similarly in the Mersey  
328 location, CYP1A was increased up to 3-fold and pfDiablo2 up to 2-fold. At the Morecambe  
329 Bay site the results showed no significant differences to Alde in any of the genes analyzed.



331

332 **Figure 6. Expression of Cyp1A and Diablo mRNAs in different UK estuaries of differing**  
333 **pollutant status.**

334 Figure is generated from qPCR as described in the text. Expression levels are calculated according to the  
335 “delta-delta Ct” method using expression of  $\beta$ -actin as a reference. Values at sites (Mersey, n=10 individuals;  
336 Tyne, n=10 individuals; and Morecambe Bay n=10 individuals) are plotted relative to Alde (n=10 individuals).  
337 Asterisks indicate significant difference from Alde (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; Student’s t-test).

338

339 **4. Discussion**

340 Examination of fish genome and cDNA sequence databases clearly shows that fish species  
341 have two genes with high similarity to the single Diablo/SMAC of humans, rodents (Du et al.,  
342 2000; Verhagen et al., 2000) and amphibians (Montesanti et al., 2007) . The major  
343 difference between the two fish genes is in the structure of the N-terminal region. In  
344 mammals this region contains a mitochondrial targeting sequence, which, following  
345 mitochondrial import, is cleaved and the mature protein sequestered in mitochondria.  
346 Apoptotic stimuli cause the release of mature SMAC, along with several other apoptotic  
347 proteins from the mitochondrion. The N-terminal amino acid residues of the mature  
348 mammalian Diablo/SMAC comprise an IAP binding motif, which enable complexation with  
349 cytosolic IAP proteins in turn suppressing the inhibitive effect of IAP on caspases (Du et al.,  
350 2000; Verhagen et al., 2000). Although several reports have indicated that IAP binding is  
351 dependent on the presence of an IAP binding motif (IBM) comprising four N-terminal amino  
352 acid residues (AVPF), recent evidence suggests that IAP binding extends beyond the four N-  
353 terminal IBM residues and that a truncated Diablo/SMAC lacking the IBM is also able to bind  
354 IAP and to potentiate apoptosis (Burke and Smith, 2010). Furthermore the identification and  
355 characterisation of Smac  $\beta$ , a cytosolic Smac/DIABLO splice variant that lacks the  
356 mitochondrial targeting sequence and IAP-binding domain (Roberts et al., 2001) has shown  
357 that proapoptotic activity can occur independently of IAP-binding. The activity of cytosolic  
358 Diablo/SMAC is also dependent on dimerisation which may be regulated by post-  
359 translational modification of a dimerisation interface (Burke and Smith, 2010; Chai et al.,  
360 2000).

361 One of the fish Diablo/SMAC forms, here termed Diablo2, is very similar to the mammalian  
362 protein, having an N-terminal mitochondrial targeting sequence, immediately before an IBM  
363 and, based on this structural similarity, can be inferred to function in the same way as the  
364 mammalian Diablo/SMAC. However the second fish form, here termed Diablo1, contains a  
365 longer N-terminal region which in most fish species does not contain a recognisable IBM. It  
366 also appears from the phylogenetic tree topology that the duplication of Diablo genes in  
367 Acanthopterygian fish post-dates the evolutionary split from Tetrapods. However in the  
368 Cyprinid, zebrafish, it appears that one form of Diablo clusters more closely with the  
369 Tetrapod sequences, while the other is an early branch of the Acanthopterygian cluster,  
370 suggesting an alternative evolutionary scenario where Tetrapods have lost a Diablo gene  
371 retained by Cyprinids. This scenario is supported by the chromosomal evidence in  
372 sequenced fish genomes. The presence of two Diablo genes on separate chromosomes with  
373 synteny, at least in the region of Diablo, suggest that it is likely that zebrafish have retained  
374 two Diablo genes which probably arose from a whole genome duplication at the base of the  
375 teleosts (Meyer and Peer, 2005). The intrachromosomal duplication of Diablo in  
376 Acanthopterygians suggest that they have lost one of these basal teleost chromosomal  
377 duplicates, but have then gained a second Diablo by a segmental duplication within the

378 other chromosome. It is also notable that both of the zebrafish Diablo forms have retained a  
379 recognizable IBM (not shown), whilst only Diablo2 of the Acanthopterygians has an IBM.

380 As discussed above, four human forms of Diablo/SMAC are expressed by alternative splicing  
381 and at least three of these forms can promote apoptosis to some extent, despite lacking,  
382 depending on form, IBMs, dimerization interfaces, or mitochondrial targeting sequences  
383 (Burke and Smith, 2010; Roberts et al., 2001; Fu et al., 2003). Importantly, all vertebrate  
384 Diablo/SMAC proteins have a conserved C-terminal domain. The C-terminal domain of  
385 Diablo/SMAC is functionally less well characterised than the N-terminal IAP-interacting  
386 domain, but the high degree of structural conservation of the C-terminal region of all  
387 Diablo/SMAC forms across all vertebrates suggests that an IAP-independent proapoptotic  
388 function is a common and important feature of this region of the protein.

389 The two *P. flesus* Diablo genes show considerable differences in expression across tissues in  
390 untreated fish. pfDiablo2, like human Diablo/SMAC (Du et al., 2000) has a broad tissue  
391 expression profile, whilst pfDiablo1 was restricted to liver, kidney, heart and spleen. In most  
392 tissues, pfDiablo2 mRNA is expressed at higher level than pfDiablo1. The exception is liver  
393 where pfDiablo1 is predominant. However, there was a relatively high level of  
394 interindividual variability in expression, particularly of pfDiablo1 in non-hepatic tissues,  
395 which meant that, other than in liver, it was not possible to conclude that expression levels  
396 of pfDiablo1 and pfDiablo2 were statistically different within or between tissues. In this  
397 study no alternatively spliced forms of *P. flesus* Diablo2 were detected, although there was  
398 some evidence for alternative *P. flesus* Diablo1 transcripts (not shown). The PCR primers  
399 used to determine expression of pfDiablo1 would not have amplified the alternative form,  
400 and we cannot rule out the presence of other alternatively spliced Diablo, and potentially  
401 differentially expressed transcripts giving rise to multiple protein products, as observed in  
402 humans.

403 In the livers of *P. flesus* treated with model environmental contaminants there were clear  
404 differences in mRNA expression levels of pfDiablo1 and pfDiablo2. Message for both genes  
405 was increased by Arochlor 1254 and 3MC, but not by PFOA or lindane. As positive controls  
406 we also measured the effects of these compounds on CYP1A and UGT1B, genes which are  
407 well known to be induced in flounder by planar polyaromatic and polyhalogenated  
408 hydrocarbons (Leaver et al., 2007; Leaver et al., 1993). As expected, these mRNAs were also  
409 increased after Arochlor and 3MC, but not by PFOA or lindane treatment. This suggests the  
410 possibility that pfDiablo may be part of the Ah gene battery. The Ah receptor is a vertebrate  
411 transcription factor which binds and is thus activated by planar polyaromatic and  
412 polyhalogenated hydrocarbons, resulting in the transcriptional up-regulation of genes  
413 containing Ah-responsive promoters, CYP1A being the prototypical example (Beischlag et  
414 al., 2008). However, there was no correlation between CYP1A expression and either  
415 pfDiablo gene (not shown), which would argue against a direct Ah receptor-mediated  
416 mechanism for *P. flesus* Diablo1 or Diablo2 transcription.

417 Although there was a response of pfDiablo1 and pfDiablo2 to acute challenge by certain  
418 model pollutants, the main aim of this study was to determine response in wild *P. flesus*  
419 chronically exposed at multiply polluted field sites. Variables such feeding status, sex, age,  
420 temperature, season etc. may influence gene expression and this is clearly recognised in  
421 biomonitoring studies of the type described here (Thain et al, 2008). We were careful to

422 control those variables over which we had influence. Accordingly, both the experimental  
423 treatments and fish sampling were carried out at the same time of year, and, as in standard  
424 monitoring exercises using flounder, only male fish which were either sexually immature, or  
425 had resorbed testes were used. Furthermore, in order to minimise any possible population  
426 genetic effects, we selected pairs of clean and polluted sites in the same geographical  
427 regions; Irish Sea (Mersey and Morecambe Bay) and southern North Sea (Tyne and Alde).  
428 Despite the large interindividual variability in fish collected from field sites, there was a  
429 significant increase in pfDiablo2 at the most polluted sites (Mersey and Tyne), compared to  
430 the least polluted (Alde and Morecambe Bay). The polluted sites each had multiple  
431 contaminants present at levels exceeding theoretical threshold limits for effects. In addition,  
432 at these polluted sites, and also at the relatively less polluted Morecambe Bay site, a higher  
433 level of hepato-toxicopathological lesions compared the Alde site was observed.  
434 Interestingly the prevalence of parasitic copepods was lower at the Tyne site. This pattern of  
435 pathology and parasite infection is consistent to that previously reported in other flounder  
436 taken from the same sites. (Stentiford et al., 2003; Williams et al., 2011).

437 Notably, whilst both the Tyne and Mersey sites had raised PCB and PAH, only the Tyne site  
438 showed higher CYP1A, whereas an effect on Diablo2 was observed at both polluted sites,  
439 indicating that pfDiablo2 may be more specific than CYP1A for detecting pollutant effects.  
440 However the response of CYP1A was greater than that of pfDiablo2, indicating that CYP1A  
441 may be more sensitive in some situations. The reasons for the lack of significant response of  
442 CYP1A in the Mersey site, despite similar PAH and PCB sediment levels to Tyne is not clear,  
443 but in a mesocosm experiment a similar lack of CYP1A response and an increase in Diablo1  
444 was observed despite higher levels of PAH and PCB in contaminated sediment (Leaver et al.,  
445 2010). In this mesocosm experiment mRNA levels were measured by microarray, and only  
446 pfDiablo1 was represented on the array, but, given the sequence similarities between  
447 regions of pfDiablo1 and pfDiablo2, the possibility of cross-hybridisation between pfDiablo  
448 forms cannot be excluded.

449 Taken together the results indicate that exposure to certain pollutants, and possibly  
450 mixtures of pollutants, cause an increase in the mRNA of pfDiablo2 in *P. flesus*. It is also  
451 possible from previous results (Leaver et al., 2010) that pfDiablo1 is similarly increased,  
452 although in the results reported here the inter-individual variability in the expression of this  
453 gene was too large to come to a conclusion that it was responsive to pollutants.

454 In most mammalian studies involving Diablo/SMAC, measurements are made of cytosolic  
455 protein following release from mitochondria after apoptotic stimuli, and we have not yet  
456 assessed the significance of *P. flesus* Diablo mRNA as regards protein levels. There have  
457 been some experimental studies where mammalian Diablo/SMAC mRNA and gene  
458 expression have been measured. Diablo/SMAC is deregulated in cancer (Martinez-Ruiz et al.,  
459 2008) and treatment with combinations of drugs increases mRNA expression in some  
460 cancerous cells (Lu et al., 2010). More direct evidence of transcriptional responses comes  
461 from analyses of the human Diablo/SMAC promoter which required transcriptional up-  
462 regulation via the cAMP/PKA/CREB pathway in order for cellular apoptosis to take place  
463 (Martinez-Velazquez et al., 2007). Diablo/SMAC has also been reported to be  
464 transcriptionally regulated by the transcription factor E2F1 and to potentiate apoptosis  
465 induced by 4-hydroxytamoxifen (Xie et al., 2006) Thus, there is mounting evidence that

466 cellular levels of Diablo/SMAC can be modulated transcriptionally and that this alters the  
467 cellular response to apoptotic stimuli.

## 468 **5. Conclusions**

469 Based on the results reported here and previous knowledge of mammalian Diablo/SMAC, it  
470 seems that *P. flesus* exposed to chemical pollutants, both acutely and chronically in the  
471 environment, increase the expression of Diablo in response to cellular damage. This increase  
472 in Diablo would likely have consequences for the regulation of apoptosis and of  
473 inflammatory processes, sensitising cells to programmed cell death and also causing  
474 changes in expression of inflammatory genes. In this regard it has also been observed that,  
475 along with Diablo, a group of genes involved in inflammation are upregulated in flounder  
476 liver following chronic exposure to sediments contaminated with multiple pollutants (Leaver  
477 et al., 2010).

478 Furthermore the increase in pfDiablo mRNA following pollutant exposure may provide a  
479 novel and useful biomonitoring tool which integrates multiple pathways of chemical  
480 damage and toxicity at the level of apoptosis and inflammation. However, several questions  
481 remain to be addressed, including the IAP-interactions and apoptotic functions of the two  
482 fish Diablo forms, their mitochondrial and cytosolic expression patterns, as well as the  
483 mechanisms by which chemical pollutants cause increases in Diablo mRNAs.

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