

Emerging indicators of fish welfare in aquaculture

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Abstract

As aquaculture continues to grow and intensify, there is increasing public concern over the welfare of farmed fish. Stress and production-related pathologies and repressed growth are examples of the challenges facing aquaculture, and their impacts could be minimised by effective identification of the early signs of impaired welfare. Many welfare monitoring methods have been recommended, however, continuous and reliable welfare monitoring in aquaculture is not yet widespread and commonplace. The aim of this scoping review was to present an overview of the most recent developments in fish welfare assessments with a specific focus on practical translation to the aquaculture industry. A keyword-based search was undertaken to identify peer-reviewed papers published between 2014-2020 in which a novel method with the potential to be used for the assessment of fish welfare in aquaculture was introduced. The results were sorted into two categories: non-invasive and invasive methods. All methods were assessed for their advantages and disadvantages, potential applicability to aquaculture. Invasive methods were also ranked on their degree of impact. It is concluded that increased interest into fish welfare, in combination with more intelligent modern technology, has resulted in the development of newer and more refined alternatives to traditional methods of welfare assessment such as behaviour monitoring by 2D cameras and plasma cortisol evaluation. Although, in many cases, more research is needed before these methods are suitable for widespread industry use, studies that focus on increasing the precision, automation and practical applicability of these methods are a promising avenue for future research.

Keywords

Aquaculture; monitoring; novel; stress; welfare

Introduction

Global scale of aquaculture

Over the last 50 years, global fish consumption has increased at twice the rate of population growth, reaching 156 million tonnes in 2018 with 52% of this sourced from aquaculture ¹, which is defined as “the farming of aquatic organisms, including fish, molluscs, crustaceans

43 and aquatic plants”². As demand has risen, the aquaculture industry has expanded and
44 intensified³, contributing to growing political, economic and public concern about
45 sustainability and related issues including animal welfare^{4,5}. Given this immense global
46 scale, and the vast number of individual animals being utilised, it is critical that the welfare
47 of fish in aquaculture is adequately assessed and addressed. China alone produces more
48 farmed fish than the rest of Asia as a whole, as well more than the Americas, Europe, Africa
49 and Oceania¹, although little has been published on the welfare of fish within Chinese
50 aquaculture systems in English. Terrestrial livestock welfare has been studied extensively
51 over the last four decades, however, fish welfare has only recently been given serious
52 attention⁶⁻⁹.

53

54 *The welfare of fish*

55 Animal welfare is a multi-dimensional concept, which has been defined in several ways but
56 can generally be considered as “the physical and mental state of an animal in relation to the
57 conditions in which it lives and dies”¹⁰. Animals experience poor welfare from challenges to
58 their physical or psychological health, often separated into five overlapping and inter-
59 connected ‘domains’ (nutrition, physical environment, health, behavioural interactions, and
60 mental state)¹¹. To maintain adequate welfare, animals should not experience severe or
61 chronic challenges in any domain, and should have opportunities for sufficient positive
62 experiences^{12,13}. Historically, there has been considerable debate about the ability of fish to
63 have conscious experiences such as distress and pain, and therefore, whether they deserve
64 the same welfare considerations that are applied to other agricultural animals like mammals
65 and birds^{9,14}. The fish brain lacks a mammalian-like neocortex¹⁵, which in mammals is the
66 region predominantly responsible for complex thoughts, consciousness and pain¹⁶. This is
67 important as sentience is the basis for animal welfare¹⁷ and only sentient organisms have
68 the potential to experience emotions, pleasure and suffering¹⁸. However, a lack of
69 homology is not synonymous with a lack of function, as similar processes can occur in other
70 parts of the fish brain, such as the forebrain^{9,19,20}. Furthermore, the neocortex is not the
71 only region of the mammalian brain involved in consciousness²¹. Research from the last two
72 decades suggests that fish are sentient, conscious and have the capacity to suffer^{9,22-24}.
73 Consequently, government bodies, such as the European Food Safety Authority (EFSA), now
74 acknowledge that fish are capable of suffering²⁵. It is therefore imperative that fish welfare
75 is adequately monitored, measured, and attended to within aquaculture as it is for other
76 livestock species.

77

78 Exposure of livestock to stressful conditions is prevalent in intensive farming, and
79 monitoring the existence of stress responses is a key part of evaluating welfare²⁶. Stress is a
80 biological response elicited when an animal is confronted with a ‘stressor’- a threat, or
81 perceived threat, to its wellbeing²⁷, that interferes with the dynamic equilibrium
82 (‘homeostasis’) of the animal²⁸. It should be noted that all stress is not inherently dire to an
83 animal’s wellbeing, and for this reason stress is often divided into two definitions: ‘eustress’

84 and 'distress'. Eustress is an everyday experience useful for survival (e.g. avoidance of
85 predators, searching for food) and does not necessarily have a detrimental effect on the
86 animal unless it occurs often enough²⁹. Distress, on the other hand, is experienced
87 consciously as an aversive experience²⁷. This response can be recorded using behavioural,
88 physiological, or production-based measures amongst others³⁰⁻³³. Stress in fish has three
89 stages: primary, secondary and tertiary³⁴. The primary stage involves a cascade of
90 neuroendocrine responses such as release of catecholamines and glucocorticoids³⁵. The
91 secondary stage involves a range of physiological reactions such as an increase in heart rate
92 and utilisation of metabolic energy stores³⁶. The tertiary stress response is activated by
93 recurrent or chronic stressors and influences whole-body performance^{34,37}, manifesting
94 physically (e.g. impaired growth)^{37,38}, and behaviourally, (e.g. developing unusual swimming
95 patterns)⁸. Long-term effects of stress include elevated mortality rates and increased
96 susceptibility to disease from compromised immune function³⁴. Therefore, the control of
97 animal stress within farming systems is important from both a welfare and an economic
98 perspective³⁹. Common stressors within aquaculture include, but are not limited to, poor
99 water quality⁴⁰, hypoxia⁴¹, transport⁴²⁻⁴⁴, overcrowding⁴⁵⁻⁴⁷, handling⁴⁸, starvation⁴¹,
100 disease³⁰, method of slaughter⁴⁹ and predation or aggressive attacks from other fish
101 including conspecifics^{50,51}. While it is impossible to eliminate all stressors in aquaculture,
102 welfare monitoring methods can allow for the detection and quantification of these
103 stressors, and minimisation of their impact.

104

105 *Assessing welfare and study aims*

106 Scientific assessment of animal welfare is dependent on valid and standardised tools, and
107 'Operational Welfare Indicators' are those which are relevant, easy to use, reliable,
108 comparable, suitable for aquaculture, and appropriate for specific systems or routines⁵².
109 Methods that can be applied to on-farm use may also be valuable for translating to
110 aquaculture contexts⁵³. Novel methods or those in development should ideally minimise
111 production costs, time requirements and impact on animals, while maintaining reliability
112 and accuracy⁵⁴. Tools or techniques that are costly, unreliable, or time consuming are
113 unlikely to be supported by industry⁵⁴, however, they may still be useful in an experimental
114 context. The aim of this paper was to conduct a scoping review in order to identify,
115 summarise and appraise novel methods of welfare assessment in fish, with a focus on
116 translation to the aquaculture industry. Methods solely applicable to wild fish welfare were
117 outside the scope of this review.

118

119 **Methods**

120 Literature was identified using ScienceDirect, Scopus and Google Scholar databases. This
121 process identified research articles published in English. Search keywords included
122 combinations of the following: farmed fish AND/OR aquaculture; stress; welfare monitoring
123 AND/OR behaviour AND/OR method AND/OR indicators AND/OR assessment.

124

125 Inclusion criteria for research discussed in this review were the following:

- 126 1. *Welfare*:- Fish welfare assessment or monitoring was an explicit aim of the research
- 127 2. *Novel*:- the authors explicitly stated that they were proposing a new method
- 128 3. *Recent*:- All corresponding articles were published between January 2014 and
- 129 January 2020
- 130 4. *Peer-reviewed*:- Article was published within a peer-reviewed scientific journal
- 131 5. *Applicability*:- Methods were developed for aquaculture or had the possibility to be
- 132 adapted. Due to the wide range of fish species used in aquaculture, the methods
- 133 selected were all applicable or potentially applicable for most commonly farmed
- 134 teleost species
- 135 6. *Non-lethal*:- lethal methods and techniques applied during harvest were excluded

136

137 This review is discussed in two sections, separating non-invasive and invasive methods.

138 Where details are available, methods were assessed on the following criteria: invasiveness
139 and potential severity, equipment required, practicality, cost, time requirement, expertise
140 and translation to other fish species found in aquaculture.

141

142 *Invasive vs non-invasive*

143 No fixed definitions exist for the terms 'invasive' and 'non-invasive' when applied to animal-
144 based methods^{55,56}. Non-invasive sampling may refer to methods which avoid any direct
145 physical contact including handling, capture and restraint^{57,58}. However, the same term may
146 also refer to procedures in which the integument of an animal has not been breached⁵⁵.

147 According to the latter definition, methods that collect samples from the outside of the
148 body, such as hair or skin mucous^{41,59,60}, would be considered non-invasive even if capture
149 and/or handling of the animal is involved. For the purpose of this review, invasive methods
150 include those that physically disturb the fish by handling or capture and/or affect the
151 physical integrity of the fish, and/or had an impact on fish fitness or behaviour (Table 1).

152 Inclusion of methods as invasive or non-invasive was based on the likelihood of impact if no
153 empirical assessment was available. Invasive methods were also evaluated on the potential
154 severity of their impact on fish welfare and suffering (Table 2). It is a key principle of animal-
155 based research that protocols should minimise the impact on animal welfare⁶¹, and
156 therefore non-invasive or minimally-invasive methods should be used whenever possible.

157 However, this is not only important for welfare, but also for assessing and maintaining data
158 quality⁶² as many animal-based measures including physiology, production and behaviour
159 are affected by aversive experiences such as pain and distress⁶³.

160

161 **Results**

162 Our literature search using ScienceDirect, Scopus and Google Scholar databases yielded 17
163 primary research articles fitting our inclusion criteria. Of these, 10 introduced a non-invasive
164 method and 7 introduced an invasive method.

165

166 **Part 1: Non-invasive methods**

167

168 *1.1 Introduction to behaviour monitoring of fish*

169 Behaviour is a commonly used, non-invasive measure of animal welfare ^{18,64}, which can
170 provide external signs of an animal's internal experience including negative mental states,
171 perception of their environment, and coping responses ⁶⁵⁻⁶⁷. For example, fish experiencing
172 stressful conditions may alter feeding behaviour and other self-maintenance activities ⁶⁶.
173 Behaviour monitoring can be carried out using several methods including direct human
174 observation, manual coding of video recordings, or automated methods such as computer
175 vision techniques ⁶⁸⁻⁷¹. In aquaculture, monitoring focuses primarily on group observations
176 rather than individuals ^{26,72-74}). This is because production animals are generally managed at
177 the group level, and it is challenging to track individual fish within a group non-invasively
178 due to view obstruction and the complex swimming motion of fish shoals ⁷⁵. Additionally,
179 farmed fish are rarely housed individually ⁷⁶ and social isolation for the purposes of
180 monitoring can itself be detrimental to welfare ⁷⁷ and impractical. However, identification of
181 individuals within groups is also advancing through techniques such as facial recognition,
182 even for fish ^{78,79}, which means that options may be available in the future for better
183 individual-level monitoring. This would create important opportunities for the monitoring of
184 welfare, because group-level behaviour does not always accurately reflect the experiences
185 of individual animals, nor the amount or range of individual-variation existing within the
186 group ⁸⁰.

187

188 *1.1.1 Avoidance and anticipation testing*

189 Fish exhibit self-preservation behaviours, such as threat avoidance and 'anticipatory
190 behaviour', and these can provide insight into their perceptions and affective states and
191 therefore, welfare ⁷⁶. Two welfare tests, originally developed for terrestrial animals, have
192 recently been adapted for teleost fish species ⁷⁶. These tests measure human avoidance ⁸¹
193 and food-anticipatory behaviour ⁸² in juvenile rainbow trout (*Oncorhynchus mykiss*) ⁷⁶. Both
194 tests were conducted in two experimental conditions: 6 groups of fish housed in normal
195 oxygenated conditions, and 6 groups in hypoxic conditions ⁷⁶, which is known to be
196 detrimental to health and welfare ⁸³. In the human avoidance test, an experimenter stood
197 20 cm away from a 1 m x 1 m x 0.2 m tank, housing 83-85 fish, for a five-minute period and
198 the distribution of the group within the tank was recorded at 1-minute intervals ⁷⁶. Uniform
199 distribution of fish indicated low-level human-avoidance, congregation of around half of the
200 fish to the far side of the tank indicated medium-level avoidance, and complete
201 congregation as a shoal indicated high-level avoidance ⁷⁶. Hypoxic fish demonstrated less
202 avoidance than controls, suggesting that self-preservation behaviour, and thus welfare, was
203 impaired. During the food-anticipatory test, fish were conditioned to associate a neutral
204 stimulus (water flow) with feed provision in a particular area of the tank ⁷⁶. Food
205 anticipation was assessed by group distribution towards the feeding area after exposure to
206 the conditioned stimulus, with more clustering in the feeding area indicating higher levels of

207 anticipation. Fish housed in hypoxic conditions displayed less anticipatory behaviour,
208 suggesting that impaired associative learning ⁷⁶. An alternative explanation is that food may
209 have been less rewarding to hypoxic fish than to their control counterparts, as acute stress
210 is known to reduce feeding motivation in fish ⁸⁴, which also has significant welfare
211 implications. An advantage of these behavioural tests is that they can be easily replicated,
212 and require few tools, easily trainable skills and short amounts of time. However, an initial
213 control group may be necessary to compare responses of unstressed and stressed fish, and
214 this can be difficult on farms and would add to the time required for these tests. In some
215 farming systems, such as recirculating aquaculture systems, cameras can be used to monitor
216 feeding activity ^{85,86}, although in commercial sea cages these methods are likely to be more
217 difficult to apply. In the absence of cameras, scoring of the fish behaviour can be hindered
218 by poor visibility from high stocking densities and water turbidity ⁷⁵ which restricts these
219 tests to smaller fish enclosures. Additionally, the consistency of the protocol is reliant on
220 effective staff training, which may be difficult to ensure or control ⁷⁵. It is currently unclear
221 whether these tests would be applicable to all species of fish in aquaculture.

222

223 *1.1.2 Fish visualisation*

224 In large aquaculture settings it may not be practical for each cage, tank or pond to be
225 individually or regularly observed ⁸⁷. Computer vision technology may offer a method for
226 welfare assessment in these conditions ⁵⁴. Computer vision uses artificial intelligence and
227 machine learning to process digital images, and can be applied in the aquaculture context
228 for the identification and analysis of fish behaviour ⁷¹. As technology has advanced,
229 computer vision has become increasingly available and affordable ⁸⁸. One limitation of
230 direct fish observation and early computer vision techniques is that it is difficult to observe
231 or analyse images in low light conditions ⁸⁹, however, artificial lighting can disrupt the
232 circadian rhythm of fish and cause health defects ^{90,91}. To overcome this limitation, sonar
233 and optic video imaging was recently used in combination with a Deep Neural Network
234 model to successfully generate clear images of a large group of sardines (*Sardinops*
235 *melanostictus*) housed with multiple other species, under a range of natural lighting
236 conditions ⁷¹. Techniques such as this can facilitate regular observations of fish groups under
237 sub-optimal lighting conditions and have the potential to be used for the detection of
238 behavioural parameters such as swim speed, social interactions and feeding behaviour ⁷¹,
239 although this would currently require post-image analysis involving either additional
240 software/programming requirements or labour. There are also practical limitations as the
241 sonar system (ARIS EXPLORER 1800 unit, Sound Metrics, Bellevue, WA, USA) used was
242 limited to a 15 m distance ⁷¹, therefore, future studies should also consider tank size when
243 selecting hardware to ensure image quality is maintained.

244

245 *1.1.3 Automated behaviour detection and analysis*

246 Quantitative assessment of animal behaviour is time consuming and requires considerable
247 observer training ⁹². Automated systems to detect fish activity or behavioural changes via

248 computer imaging reduce the need for human labour to analyse footage⁹³. Such technology
249 has been recently used to systematically detect subtle behavioural changes indicative of
250 stress within a group (n = 52) of Nile tilapia (*Oreochromis niloticus*)⁶⁵, as unusual
251 behavioural patterns can be warning signs of poor mental and/or physical health⁷⁰. A
252 charge-coupled device camera was placed above a transparent fish tank with LED
253 illumination from below⁶⁵. Fish movement was detected by applying a 'motion influence
254 map' to raw video files. Motion influence maps integrate precise characteristics of moving
255 objects, such as acceleration, distance, direction, and visibility, at the pixel level using
256 optical flow analysis and can monitor the activity of multiple animals^{65,94}. Data is then
257 depicted as a heat map⁶⁵. Recognition of target tilapia behaviours was greater than 84%.
258 Target behaviours were characterised as distinctive sudden changes in motion or direction,
259 such as when a fish quickly moves away from an approaching conspecific or after physical
260 contact⁶⁵. Abrupt movements can be indicative of a stressed internal state⁹⁵, therefore this
261 technique may be very useful for welfare monitoring of fish in groups. An advantage of this
262 method is its efficiency after implementation: once set up no labour is required to derive
263 behavioural information, and action can be taken when the system detects unusually high
264 levels of fish activity. Additionally, automated analysis is not influenced by observer bias or
265 presence, which is useful in applied contexts such as fish farms^{54,96,97}. Generalisation of this
266 method to other fish species is feasible, although this would require species-specific target
267 behaviours to be identified and adjustments for body size and shape⁶⁵. One disadvantage of
268 this method is the equipment cost and expertise required for initial development and
269 implementation, and if full automation cannot be achieved then human labour may still be
270 required for some tasks such as image pre-selection. Another limitation is that it requires
271 adequate lighting, which may restrict monitoring to daylight hours, since nocturnal lighting
272 can be problematic for welfare⁹⁰. With further development and generalisation, this type of
273 technology may provide valuable and feasible monitoring that is useful for both animal
274 welfare and production.

275

276 *1.1.4 Quantification of feeding behaviour*

277 Appropriate food intake is important for good welfare as poor feeding patterns can result in
278 high mortality and disease susceptibility⁹⁸, or indicate the presence of disease⁹.
279 Furthermore, feeding competition is a common challenge arising in fish management that
280 can result in agonistic behaviour between fish or restricted access to food for sub-ordinate
281 individuals^{99,100}. Therefore, monitoring of feeding behaviour is useful for welfare purposes
282 as well as production. Intelligent feeding control methods have been summarised previously
283^{101,102} and are in products such as and are used by commercial companies in products such
284 as CageEye (CageEye AS, Oslo, Norway) and Akvasmart CCS Feed System (AKVA group,
285 Stavanger, Norway) in order to assist, autonomise and optimise feeding. However, feeding
286 behaviour is complex and cannot be used as an indicator of welfare on its own. Along with
287 welfare state and homeostatic control, feeding behaviour is also influenced by a range of
288 environmental factors such as temperature, reproductive season and the presence of

289 predators¹⁰³. Therefore, feeding behaviour should be measured along with other indicators
290 of welfare and should not be relied on as a sole indicator.

291

292 A combined behavioural/statistical method, using a computer vision system, was developed
293 to quantify feeding behaviour of a group (n=60) of juvenile tilapias⁷⁵. A CCD high-definition
294 camera was placed above an experimental tank, with an adjacent LED light source.

295 Behavioural characteristics were determined by swimming speed, angle of motion or
296 directional changes at the pixel level of the video using optical flow in combination with
297 MATLAB® (The MathWorks Inc., Natick, MA, USA). Feeding activity increases with appetite,
298 therefore this method could usefully determine hunger and satiety, identify appropriate
299 food quantities, or to ascertain when the release of feed into a tank should stop (Ye et al.,
300 2016), particularly if used in conjunction with automated feeding systems that are already
301 used in aquaculture⁷⁵. This system may improve welfare by avoiding underfeeding or
302 overfeeding, both of which have poor health outcomes¹⁰⁴. An advantage of this system is
303 that it is insensitive to water reflections⁷⁵, which can otherwise reduce image quality¹⁰⁵. It
304 is also practical for use in high density rearing tanks, which are typical in aquaculture¹⁰¹,
305 and only requires a minimal amount of technical equipment. Application to other fish
306 species is possible with adjustments to the mathematical models⁷⁵. Key limitations are the
307 system's reliance on a light source, as image processing requires light silhouettes against a
308 dark background, and the expertise required for the initial computational complexity of the
309 behavioural analysis.

310

311 1.1.5 Infra-red behavioural analysis

312 Many methods for automated analysis of fish behaviour rely on a light source, however,
313 unnatural illumination may be harmful to fish⁹⁰. Near-infrared (NIR) imaging is a low-light
314 option that uses the electromagnetic spectrum between visible and middle infrared light
315 (wavelengths of 780–2526 nm)¹⁰⁶. Infrared imaging is also resistant to electromagnetic
316 interference and relatively inexpensive¹⁰⁷. NIR imaging, using an NIR camera and NIR
317 850nm light source in conjunction with MATLAB® software, has been used to quantify
318 feeding behaviour of adult mirror carp from nocturnal images (*Cyprinus carpio var.*
319 *specularis*)¹⁰⁸. The key advantage of NIR imaging is that it is not reliant on natural or
320 artificial light sources and so it is not adversely affected by dim lighting¹⁰⁸. However, there
321 is some concern that NIR wavelengths may be harmful to animals¹⁰⁹, although, the carp
322 study used a wavelength of 850 nm, which is not known to have detrimental effects on fish
323 growth or welfare¹⁰⁸. While this method was developed using mirror carp, it is likely to be
324 effective with other common aquaculture species, such as salmon or tilapia, that display a
325 variety of feeding behaviours during their life cycle and feed 'actively', and may be able to
326 be combined with automated feeding systems¹⁰⁸. A limitation of this method is that
327 accuracy may be reduced from mist build up on the camera lenses, as was noted in the carp
328 study¹⁰⁸. This is a result of the damp aquaculture environment, which could also affect
329 equipment longevity.

330

331 1.1.6 Three-dimensional fish tracking

332 Automated methods of behavioural assessment often use two-dimensions captured from a
333 single camera view⁵⁴, however, animals move within a three-dimensional (3D) space. 3D
334 tracking monitors animal movement within all three dimensions for a more detailed analysis
335 of motion characteristics and behavioural patterns¹¹⁰. This is particularly relevant when
336 monitoring aquatic, flying or arboreal animals¹¹¹, because depth or height changes in their
337 location can be determined, whereas strictly terrestrial animals may be sufficiently
338 monitored from a single top-down view¹¹⁰. For marine animals, 3D tracking can provide
339 information about their position, depth, trajectory and momentum¹¹², as well as important
340 behaviours such as habitat use and social interactions^{111,113}. This is important for welfare
341 monitoring since stress responses in fish can be conveyed behaviourally, through swimming
342 patterns, speed, and interactions with conspecifics³³. Freezing behaviour, for example, is a
343 stress response characterised by lack of movement and positioning on the floor of the
344 environment¹¹⁴. 3D tracking can also help overcome the issue of visual obstruction, which is
345 particularly prevalent in large sea cages where it can be impossible to monitor the entire
346 space using 2D visualisation methods⁵⁴.

347

348 A system recently developed for fish tracking is Microsoft Kinect™ I (Microsoft Corp.,
349 Redmond, WA, USA), a structured-light sensor system⁸⁹. Microsoft Kinect™ is “single-
350 point” 3D tracking technology that uses triangulation between a colour RGB camera, an NIR
351 camera and an NIR projector^{54,89}. This system was tested on a small group (n=4) Nile tilapias
352 in tank (60 x 30 with 10 cm water depth). Although this study contributes to the feasibility of
353 new sensors for monitoring fish behaviour in 3D space, this may be challenging to scale up
354 to real aquaculture especially since this system has only been tested with a low stocking
355 density. The Kinect™ was placed below the tank, which was illuminated by two lamps lit at
356 low intensities. This system was able to identify individuals and evaluate their velocity,
357 trajectory and spacing in real time even when some visual obstruction occurred (e.g.
358 overlapping of fish)⁸⁹. Another advantage of this method is that it requires less calibration
359 than stereo-vision systems and less computational power for imaging matching and analysis
360⁸⁹. A disadvantage is that sensor size restrictions limit the ability to remote sense with a
361 maximum distance from the sensor to the fish of 3 m for adequate resolution, thus
362 decreases its applicability to commercial aquaculture settings⁸⁹.

363

364 When measuring fish depth during 3D tracking light distortion can occur from the water. To
365 overcome this, a recent study used an infra-red camera system and modelling of the
366 relationship between depth and light intensity from the fish, to calculate 3D trajectories
367 from a single top-down IR camera view¹⁰⁷. This model successfully tracked four banded
368 rainbowfish (*Melanotaenia trifasciata*) in a small tank (60 x 50 x 40 cm) with a mean depth
369 error measurement of around half a centimetre. Measurements taken in daylight were
370 slightly more accurate than nocturnal measurements. The maximum depth at which this

371 could be used was less than 1 m, but this may be improved using more sensitive equipment
372 ¹⁰⁷. The infrared reflection system for indoor 3D tracking of fish has lower hardware costs
373 and fewer requirements for a 3D estimation algorithm, but is not yet suitable for real-world
374 application ¹¹⁵.

375

376 **1.2 Fish size monitoring**

377 Poor welfare may inhibit animal growth in several ways, and therefore growth rates can be
378 useful indicators for stress and welfare ^{116,117}. Physiological stress responses increase energy
379 mobilisation, which can decrease energy availability for growth ⁴⁰, and inhibit the
380 production of growth hormone ^{118,119}. Stress may suppress feed intake ^{120,121} and fish may
381 also experience competition from other fish ¹²¹, and hypoxia ¹²², which can arise from
382 overfeeding leading to polluted water and reduced dissolved oxygen levels ¹²³. Body size
383 and growth patterns differ between individuals in a population, and this has been
384 associated with specific coping styles ¹²⁴. Therefore tracking changes in fish size over time
385 may provide one approach to welfare monitoring in aquaculture ⁹, and can also benefit
386 production and management as the growth stage informs feeding regimes, the accuracy of
387 oxygen consumption data and administration of medicine dosages, especially when
388 delivered via water (e.g. anaesthesia) ¹²⁵. Accurate fish size measurements also help
389 determine the most profitable time to harvest ¹²⁵. The relationship between stress, body
390 weight and size is not simple ⁴⁷, and some species height and weight are quadratically
391 associated ¹²⁶. Resultingly, weight is the most important variable for identifying deviations
392 in normal fish growth. Fish weight and growth have traditionally been monitored by physical
393 weighing of individual fish on scales, which is time-consuming and requires capture and
394 handling so may induce stress ¹²⁵. As for feeding behaviour, a limitation of growth
395 measurements as a welfare indicator is that growth is affected by many factors other than
396 welfare state, and is not always impeded when welfare is poor ^{127–129}. Therefore, growth
397 should be measured in conjunction with other indicators of welfare or stress.

398

399 Ultra-sonic technology has been recently used to measure the heights, and estimate the
400 weights, of individual free-swimming gilt-head sea breams (*Sparus aurata*) without
401 disturbance ¹²⁶. In this system, a single beam transducer is placed down-facing on the
402 water's surface with another placed up-facing at the bottom of the tank capturing dorsal
403 and ventral body measurements, respectively. Sound signals are sent and received by the
404 transducers then analysed using MATLAB® to construct an echo shape for each individual
405 fish. Measurements were captured automatically when fish swam perpendicular to the
406 acoustic beam axis. Modelling was used to derive height measurements, and body weight
407 was then estimated based the known relationship between height and weight for this
408 species ¹²⁶. This method was tested in a small aquacultural environment (small tanks of 3.0 x
409 2.7 m with low stocking density of 0.3–0.6 fish/m³). For higher stocking densities, fish on the
410 periphery of a shoal could be used to establish average weights and heights for a group and
411 a split beam transducer may improve performance during non-horizontal swimming,

412 although data obtained in this way may be less accurate ¹²⁶. For use in larger tanks,
413 adjustments to transducer size may help translate these results to other contexts ¹²⁶. These
414 possibilities warrant further exploration.

415

416 Machine learning techniques and Kinect™ technology have been successfully used in
417 combination with NIR hardware to automatically estimate the size and weight of individual
418 seabass (*Dicentrarchus labrax*) ¹²⁵. Total dorsal area, total dorsal length and fish width were
419 measured at six different points of the body ¹²⁵ based on images captured by an Infrared
420 Reflection system (IREF) when sufficient NIR light was reflected from fish. Modelling was
421 used to estimate fish depth based on the brightness of the silhouette, and to convert image
422 pixel size into a true pixel size for size estimation. This system is not dependent on optical
423 lighting, and can function in dim environments as long as there is NIR illumination.

424 Additionally, if the fish overlap each other the system can detect this using pixel intensity
425 and can separate the data from multiple individuals ¹²⁵. Although the developed model was
426 based on seabass, the system could be explored with other species of fish. A limitation is
427 that due to placement of the camera above the tank, only fish swimming above the shoal
428 during image acquisition can be assessed.

429

430 Estimation techniques of fish size measurements are reliant on the accuracy of the models
431 used and their ability to take into account variations in conditions, movement and body
432 shape particularly when applied on-farm. Muñoz-Benavent et al. ¹³⁰ introduced three novel
433 geometric models that could estimate the length of freely swimming adult bluefin tuna
434 (*Thunnus thynnus*) in aquaculture farms using a stereovision system. These models were
435 able to account for bending of the fish body during locomotion, which alters the silhouette
436 of the fish and can affect size calculations ¹³¹. This system recorded 3D biometric
437 measurements from individual fish in a large group (n = 312), using two synchronised optical
438 cameras placed in a sea cage 15 m below the water surface. Cameras were placed
439 underwater to capture the ventral silhouettes of the fish and the footage was analysed
440 semi-automatically, thus reducing some human intervention. Snout-fork-length
441 measurements using this method accurately compared with data from the fish at harvest.
442 This method is dependent on light for image capture however as size and biomass do not
443 fluctuate during the day, this is not a limitation. This system could be adapted for other fish
444 species by adjusting the geometric models accordingly.

445

446 Stereo-vision systems and other automated technologies have also been applied in
447 aquaculture to manage other health and welfare challenges ¹⁰². For example, a machine
448 vision system was recently tested for the monitoring of sea lice burdens ¹³², which is a major
449 welfare ¹³³ and economic issue ¹³⁴ in aquaculture. Within an experimental system,
450 populations of sea lice were able to be monitored at the group level ¹³², although even in
451 the research context the small louse size creates a significant challenge, particularly when
452 they are stationary. The major challenge of these systems is in visual obstruction either from

453 water movement, objects (e.g. food particles) in the water, and appropriate placement of
454 cameras in order capture relevant images (e.g. feeding events) that are useful for making
455 management decisions ¹⁰².
456

457 Table 1: Summary of non-invasive welfare monitoring methods including their suitability to intensive aquaculture, assessed by how well the method works
 458 in larger and/or more densely populated sea cages and tanks.
 459

1.1 Behaviour monitoring					
Method	Test species	Strengths	Weaknesses	Suitability to intensive aquaculture	Reference
Direct human observation of human avoidance and feed anticipation	Rainbow trout	Little to no costs as no equipment and minimal training is required.	Subject to worker compliance. Subject to poor visibility and thus only feasible in smaller fish enclosures. More trials are required to test suitability of these tests to other species of fish.	Low	Colson <i>et al.</i> ⁷⁶
Sonar and optic imaging	Sardines	Applicable at day or night-time. Translatable to other species and more densely populated fish enclosures.	Limited to distance limitation of the imaging devices used. Additional labour and possible technological costs associated with post image analyses.	Moderate	Terayama <i>et al.</i> ⁷¹
Computer based monitoring of unusual behaviours	Nile tilapia	Can recognise more subtle changes which could be useful for less overt changes in behaviour and thus, more accurate evaluations of welfare. Once the system is installed and established, minimal labour is required as the system detects behaviour changes on its own.	Complex computer system, which requires large annotated datasets for training purposes. High cost of equipment. Requires adequate lighting. More research is needed to establish translational relevance to other fish species.	High	Zhao <i>et al.</i> ⁶⁵
Model for assessment of feeding behaviours	Tilapia	Not susceptible to water reflections. Once the system is installed and established, minimal labour is required as the system monitors behaviour on its own.	Complex computer system. Reliant on light source. Adjustments to mathematical models would be required before this method is used on other species. Some reliability impediments yet to be overcome.	High	Ye <i>et al.</i> ⁷⁵

Near-infrared imaging to quantify feeding behaviours	Mirror carp	Once the system is installed and established, minimal labour is required as the images are automatically processed and analysed. The system is functional under dim lighting. Eliminates adverse effects of splash and reflection on image quality.	Mist on lenses reduces visibility and recognition accuracy. Recognition accuracy may be subject to fish colour: high contrast colours = higher accuracy, while low contrast colours = lower accuracy.	Moderate	Zhou <i>et al.</i> ¹⁰⁸
3D tracking	Nile tilapia Rainbowfish	Avoids severe occlusion. Functional under dim lighting. System demonstrated high (98%) tracking accuracy.	Small distance limitation (1-3m) of the imaging system. Only tested in small tanks with small group sizes.	Low	Saberioon & Cisar ⁸⁹ Lin <i>et al.</i> ¹⁰⁷
1.2 Fish size monitoring					
Method	Test species	Strengths	Weaknesses	Suitability to intensive aquaculture	Reference
Pulse-echo waveform measurements	Gilt-head sea breams	Once the system is installed and established, minimal labour is required as the images are automatically processed. Model can be adjusted for application to other species.	Tested on a low density fish tank. More research is needed to determine efficiency in larger more densely populated tanks.	Moderate	Soliveres <i>et al.</i> ¹²⁶
Infrared reflection system (IREF) and geometric model	Seabass	Once the system is installed and established, the images are automatically processed. Functional under dim lighting.	Susceptible to occlusion and therefore poor functionality in densely populated fish enclosures. More research is needed to establish translational relevance to other fish species.	Low	Saberioon & Cisar ¹²⁵
Stereovision system and geometric model	Bluefin tuna	Once the system is installed and established, the images are semi-automatically processed. Accurate in more densely populated fish enclosures.	Requires adequate lighting. Adjustments to mathematical models would be required before this method is used on other species.	High	Muñoz-Benavent <i>et al.</i> ¹³⁰

461 **Part 2: Invasive methods**

462 Measures such as behaviour and growth are useful indicators of welfare. However, this is
463 dependent on the nature of the specific welfare challenge and these variables may not
464 always reveal compromised welfare states (e.g. animals selected for fast growth may have
465 poorer health outcomes than slow growing counterparts ¹³⁵. Ideally, welfare states should
466 be assessed using a combination of indicators including, but not limited to, behaviour,
467 health, physiology, environment and psychology ^{53,136}. Not all animal welfare indicators are
468 non-invasive, and therefore, monitoring methods should be selected based on both the
469 expected benefits and potential impacts to the animal. The following sections outline novel
470 methods of measuring fish welfare, where some degree of impact to the animal is expected
471 but destruction of the fish or product quality is avoided. Potential for use within aquaculture
472 is considered, as well as severity (the intensity of potential suffering and the domain of
473 suffering), the duration of impact, and the potential for refinement, such as by including
474 analgesia use (Table 2). In practice, some methods can be combined with routine husbandry
475 events in aquaculture such as the capture/handling of fish for lice counts ³⁰.

476

477 **2.1 Extraction of physiological data**

478 Physiology can provide key insights into an animal's welfare state, and many established
479 welfare measures rely on physiological processes. For example, cortisol is commonly
480 measured as an indicator of hypothalamo–pituitary–interrenal axis (HPI) axis activity
481 because it is a fundamental part of the primary stress response, occurs rapidly with acute
482 stress, and is correlated with disease susceptibility, unusual behaviour and impaired
483 cognitive performance ^{30,34,137}. Blood sampling is a common technique for measuring
484 cortisol in fish ¹³⁷, however, cortisol elevations may occur from the collection process itself
485 e.g. removal from the water, handling, and needle puncture ^{42,50,138–140}. Other physiological
486 measures can have similar disadvantages, therefore, there is a need for sampling methods
487 that deliver robust results but are practical and aligned with good welfare practices.

488

489 **2.1.1 Cortisol measurements**

490 HPI activity can also be analysed from the measurement of excreted glucocorticoid (GC)
491 metabolites in saliva, hair, faeces, and urine, among others, and these techniques have been
492 widely used with non-aquatic species ¹⁴¹. Cortisol extraction from tank water has been
493 previously explored ^{32,142–144}, however, this method is generally impractical for aquaculture
494 as sampled fish should be individually housed for the most precise measurements, and
495 individual housing can be a stressful experience in itself ¹³⁷. While GC levels are commonly
496 determined from blood, other body tissues can also be used. A recent study investigated
497 whether gill tissue could be used to measure cortisol levels in rainbow trout ⁴². Three to five
498 filaments from an external gill of anaesthetised juvenile and adult fish were removed and
499 analysed. Filament cortisol levels were positively correlated with plasma concentrations,
500 suggesting this method could be effectively used as biomarker of stress ⁴². The impact of the
501 biopsy procedure was assessed in a second study by comparing plasma measures

502 (catecholamines, glucose, lactate and cortisol) across three groups of fish one hour after
503 either a gill biopsy, a blood sample, or a control (air exposure for 15 seconds). No
504 differences were found between the groups. Gill sampling was described by the authors as
505 relatively easy with few complications, and could be completed in less than 30 seconds by
506 personnel with minimal expertise⁴². Unlike for plasma cortisol, sample extraction was not
507 required prior to ELISA analysis, reducing processing time and cost, as well as the use of
508 potentially toxic extraction chemicals⁴². However, this method is only applicable as a non-
509 lethal procedure in larger fish, such as rainbow trout for which the required sample
510 constitutes only a small proportion of the gill⁴². It should also be noted that both blood
511 sampling and gill sampling require anaesthesia to reduce handling stress⁴², the long-term
512 effects of which are largely unknown³⁰.

513

514 The measurement of cortisol from fish scales has also been explored in a pioneer study¹⁴⁵
515 and refined in a later study¹⁴⁶. Cortisol from scales was used as a biomarker of chronic
516 stress in common carp. This method involves anaesthetising fish before ten scales are
517 removed from the left flank in a relatively brief procedure. An advantage of this method is
518 that these scales regenerate within days, and if done correctly permanent damage to the
519 fish is avoided¹⁴⁵. Furthermore, unlike blood sampling, the sampling procedure does not in
520 itself cause acute stress capable of influencing the results¹⁴⁵. Conversely, a disadvantage of
521 this method is that this method is not a useful indicator of acute stress and cannot be used
522 to identify the moment of stress.

523

524 The potential of faecal corticoid metabolites (FCMs) as a stress biomarker was recently
525 investigated using farmed Atlantic salmon (*Salmo salar L.*)³⁰. This study built on previous
526 knowledge of using faecal cortisol to measure stress in fish^{147,148} by developing a novel and
527 more feasible method of analysis using ELISA. Previously, a preliminary step in salmon FCM
528 determination involved drying and homogenized the sampled faeces, however, Cao *et al.*³⁰
529 reported that FCM could be measured directly from the liquid part of salmon faeces without
530 any extraction procedure³⁰. Faecal collection was carried out by “stripping”, where pressure
531 is applied to the abdomen from the pelvic fins to the anus in a smooth and systematic
532 manner, a procedure which should be done by trained aquaculture staff. Few tools were
533 required for sample analysis meaning that it may be practical for a fish farm context. The
534 disadvantage of this method is the need for handling and manual stripping, both of which
535 are known stressful experiences for fish^{48,149}. Furthermore this method is only applicable to
536 larger fish species, from which sufficient faecal volume is able to be stripped for analysis³⁰.
537 Additionally, there is a lag-time between cortisol secretion and subsequent elevation of
538 cortisol metabolites, and this time has not yet been quantified¹³⁷, therefore, further
539 validation is required before the impact of specific events can be determined. Because of
540 the lag-time, increases in FCMs are generated at a slower pace than for blood cortisol, and
541 therefore one advantage of this measure is that it is less likely to be influenced by the
542 sampling procedure itself³⁰.

543

544 2.1.2 Changes to skin mucosa

545 The skin mucosa of fish is sensitive to external conditions, and deteriorates when fish are in
546 poor health, have an inappropriate or insufficient diet, or experience psychological stress
547 ^{60,75}. Skin is the first line of defence from harmful microorganisms or parasites ¹⁵⁰, and if the
548 immune system is compromised the skin becomes more susceptible to infection ^{151,152}. For
549 these reasons, skin may be a useful indicator of fish welfare. Skin mucosa in juvenile fish has
550 been measured under varying stressful contexts: simulated capture with a 3-minute air-
551 exposure [meagre fish (*Argyrosomus regius*)], disease caused by a bacterial infection
552 [European sea bass (*Dicentrarchus labrax*)] and fasting [gilthead sea bream (*Sparus aurata*)]
553 ⁴¹. Skin mucus samples were collected using sterile glass slides slid two to three times over
554 the lateral line of the fish in a front-to-back direction. Samples were then analysed for
555 viscosity and metabolites (glucose, lactate, protein, cortisol) using an ELISA kit. The 3-min air
556 exposure increased glucose and cortisol metabolite levels by 1-hr post-treatment, with
557 elevations lasting for at least a further five hours ^{41,153,154}. Absolute metabolite levels were
558 also compared against protein levels, to control for variation in sample quality. The
559 glucose:protein ratio increased after air exposure and bacterial challenge, and decreased
560 after fasting. The cortisol:protein ratio increased after air exposure, and 7-day fasting, but
561 not after the 14-day fasting or bacterial infection. The direct impact of this technique on fish
562 welfare was not assessed in this study, but the primary challenge is likely to be handling of
563 the fish out of the water, which was carried out under 'light' anaesthesia. Sample collection
564 with a glass slide on the skin was gentle because of the necessity to prevent sample
565 contamination from blood and other cells ⁴¹. However, for some species a softer alternative
566 may be needed, such as a cell scraper. This procedure may be a viable refinement to blood
567 sampling for measures such as cortisol, and more work assessing the specific requirements
568 of its use, including the time between challenge and response, would make a useful
569 contribution to fish welfare science.

570

571 **2.2 Biosensors/bio loggers**

572 Bio-sensors and bio-loggers are devices which are attached to or implanted into animals to
573 record components of their environment, physiology or behaviour ¹⁵⁵. These devices allow a
574 large amount of systematic and detailed data to be collected. However, as attachments,
575 they may have short- or long-term impacts on the animal ¹⁵⁶. Moreover, the attachment of a
576 tag to a fish can also be a highly stressful procedure as it involves capture, handling,
577 anaesthesia and surgery, and a subsequent recovery period ¹⁵⁷, and tagged fish typically
578 have higher mortality rates than untagged fish ¹⁵⁶.

579

580 Bio-sensors/loggers have been used previously with fish, generally in an ecological context
581 ¹⁵⁸⁻¹⁶⁰, however they may also be useful to monitor welfare states in aquaculture,
582 particularly if they can be used to refine physiological monitoring methods. For example,
583 blood glucose in fish typically rises in response to a stressful event and can remain elevated

584 for more than a day ^{161,162}. Glucose levels have traditionally been determined by blood
585 sampling ^{163,164}, however, this only obtains a single data point for an individual, while on-
586 going monitoring provides more information on responses to stress ¹⁶⁵. A wireless,
587 implantable, real-time biosensor has been developed for free-swimming fish, to monitor
588 glucose as a stress response ⁵⁰. This system, consisting of a biosensor and potentiostat, a
589 transmitter [1.5 × 1.5 × 0.6 cm, 3 g (without battery)] and a receiver, and was recently
590 improved by the addition of a colour switching device which allows for the visualisation of
591 data in real-time ¹⁶⁶. The biosensor, which is comprised of 1.5 cm of wire and Ag/ AgCl paste
592 (BAS, Tokyo, Japan), is implanted within the interstitial sclera fluid of the eyeball, as glucose
593 levels at this site correlate highly with blood glucose ¹⁶⁷, and a potentiostat (to control the
594 voltage between working and reference electrodes) is attached to the fins using nylon
595 thread ⁵⁰. Methods such as these which allow real time physiological assessment may be
596 useful to monitor internal responses to stressful contexts in an environment typical of
597 aquaculture systems, with further development, impact assessment, and refinement.
598 However, they are currently more feasible in an experimental context due to the expertise
599 required and the invasive nature of the method, which directly and probably substantially
600 impact on fish welfare. Sensor use has been assessed over several contexts including:
601 transfer between aquaria, changes in dissolved oxygen, and interactions between
602 individuals ⁵⁰. For many of the contexts, sensor glucose levels using continuous monitoring
603 was similar to blood glucose at sampled timepoints. It is unknown how long the system can
604 remain functional but the longest experiment within this paper had a recovery period of 15
605 hours followed by 160 mins of continuous monitoring. Limitations of this technique were
606 difficult to assess as many key details were not reported, including the implantation method
607 and recovery from implantation. Adequate reporting of experimental interventions is critical
608 for assessing the impact of interventions such as tagging, and as for all animal-based
609 experiments, studies should adhere to the ARRIVE guidelines ¹⁶⁸ in the publication process.
610 Inadequate reporting appears to be relatively common in tagging studies in aquaculture ¹⁶⁹
611 and in itself represents a barrier to the identification of welfare impacts and the ability to
612 mitigate effects.

613
614 Heart rate and its variability are physiological measures that are responsive to stress ¹⁷⁰,
615 however for aquatic species, a major challenge in heart rate monitoring is
616 attachment/implantation of a suitable recording device, and potential side effects on
617 behaviour, physiology or welfare ¹⁷¹. Of particular importance is that any device is wireless
618 and light enough to allow normal swimming patterns. The use of implanted bio-loggers for
619 heart rate measurement (DST milli-HRT bio-loggers, STAR-ODDI, Gardabaer, Iceland) was
620 assessed in two consecutive studies in rainbow trout as a model species ^{45,165}, and recently
621 replicated in a third study using Atlantic salmon ¹⁷². In these studies, loggers were implanted
622 in the abdominal cavity, in close proximity to the pericardium ^{45,165,172}. Heart rate data were
623 validated with electrodes in the water, which record bioelectric potentials generated from
624 the heart ^{173,174}, and the bio-loggers were used to record heart rate over a period of several

625 weeks^{45,172}. Logged data quality was graded using a four-point scale, and while the highest
626 quality grading required the largest dropout of data (~65%) from the analysis it most closely
627 aligned to the reference dataset^{45,165}. In terms of impact, the loggers are relatively small
628 (length: 39.5 mm, diameter: 13.0 mm) with a mass (11.8g) approximately 2% of the smallest
629 trout used in the Brijs et al.¹⁶⁵ study. However, surgical implantation is required, and the
630 procedure, including recovery, transportation and reintroduction, took more than 72 hrs¹⁶⁵.
631 Several practical limitations should be noted. Dataloggers do not transmit information so
632 data cannot be downloaded until they are removed from the animal, therefore this doesn't
633 permit real-time monitoring. This can be problematic on commercial fish farms and sea
634 cages where it may be difficult to re-capture fish that are implanted with the dataloggers,
635 and these devices may also fall through sea cages and be lost. For detection at the time of
636 slaughter, metal detectors may be employed to ensure devices do not enter the food chain
637^{175,176} although not all tags are detectable in this way. Additionally, data quality decreased
638 when fish heart rate was low – below 25 beats/min¹⁶⁵. As logger and sensor technology
639 develop into the future, it is likely that these approaches will continue to be useful in
640 experimental welfare science and may be successfully translated to more applied contexts,
641 however considerations should be given for refinement options to minimise the impact of
642 the technique on fish welfare. Additionally, careful consideration needs to be given to
643 decisions around the number of fish tagged within a group, and how best to choose
644 individuals ('sentinel' animals) that represent the group as a whole, particularly as tags and
645 other attachments can cause harm and increase mortality risk¹⁵⁶.

646

647 **2.3 Acoustic telemetry**

648 Sea-based aquacultural operations commonly use large floating sea-cages¹⁷⁷, housing
649 upwards of 200 000 fish^{46,66}. Sea-cages accommodate a greater number of animals, provide
650 fish with a more naturalistic environment, and water quality is much easier to maintain than
651 in tanks⁶⁶. However, the sea environment and distance from land creates challenges for
652 monitoring devices normally used in fish farms on land, and it is not possible to directly view
653 all fish within a large sea-cage due to low visibility and the volume of water. Acoustic
654 telemetry is one option for surveying fish behaviour where visibility is not optimal, such as
655 in sea cages⁶⁶. Battery-powered tags are attached to a subset of fish within a group and
656 data from these tags are directed to an acoustic receiver via hydro-acoustic signals¹⁷⁸.
657 Acoustic telemetry has been investigated for its feasibility in monitoring fish swimming
658 activity in aquaculture settings^{46,179–183}. Receivers are generally submerged near or within
659 the fish tank/cage to capture and interpret received signals into usable data, which are then
660 stored internally or uploaded into a wireless database⁴⁶. Unlike radio signals, acoustic
661 signals transmit well in salt water environments¹⁸⁴. There are challenges for implementing a
662 successful telemetry system, such as the range limitations between tag and receivers.
663 Currently, the maximum range between acoustic tags and their receivers is approximately
664 1km⁴⁶.

665

666 Telemetry has an advantage over dataloggers in that the data are transmitted rather than
667 stored, which means that they can be accessed prior to retrieval, and also that implant
668 failures can be identified. However, one challenge is that the data is not generally available
669 in real-time as signals are stored before downloading, post-processing and analysis ^{185,186}. A
670 new wireless system using Low Power Wide Area Networks (LPWANs) technology to
671 overcome this limitation has recently been developed for use in suspended sea cages ⁶⁶.
672 LPWANs are commonly used in 'Internet of Things' technology ¹⁸⁷. In this context LPWAN
673 nodes are added on to acoustic receivers to transmit received signals to a single gateway.
674 This is then transmitted to a personal computer acting as a server and user interface,
675 eliminating the need for manual access of the data from receivers and allowing real-time
676 data access ⁶⁶. Quality of service (defined as the number of uncorrupted messages received
677 by the server, divided by the total number of messages transmitted by the nodes) was
678 greater than 90% ⁶⁶, suggesting that this system is a feasible solution to real time monitoring
679 of fish within complex aquacultural environments.

680

681 Table 2: A summary of invasive welfare monitoring methods including a rating of invasiveness, assessed on a 3-point scale as marginal (+), moderate (++)
 682 and severe (+++). Degree of invasiveness was determined upon consideration of the intensity of potential suffering, the duration of impact including the
 683 length of recovery time, and the number of events that could cause suffering. For example, if the fish were handled briefly and the recovery was not
 684 prolonged then this was considered marginally invasive (+). In contrast, for a period of handling with the fish being semi-permanently or permanently
 685 affected (e.g., in the instance of surgical tag attachment) then this was considered severe (+++).
 686

2.1 Extraction of physiological data					
Method	Test species	Strengths	Limitations	Invasiveness	Reference
Gill cortisol	Rainbow trout	Quick and easy sampling procedure. Minimal expertise required. No evidence has been found to suggest that this sampling procedure itself induces acute stress that would influence the results.	Limited to larger fish, such as rainbow trout, as this procedure is lethal to smaller fish. Cannot determine stress in real time while fish are freely swimming.	+ Biopsy procedure but effects are not prolonged, and the fish have been shown to have a swift recovery.	Gesto <i>et al.</i> ⁴²
Cortisol in scales	Common carp	Quick and easy sampling procedure. Not influenced by acute stress. Useful indicator of chronic stress.	Cannot be used to determine the moment of stress (not an indicator of acute stress).	+ Handling and the use of anaesthesia is required, although the effects are not prolonged as scales have the capacity to regenerate	Aerts <i>et al.</i> ¹⁴⁵
Faecal corticoid metabolites (FCMs)	Atlantic salmon	Minimal expertise required. No evidence has been found to suggest that this sampling procedure itself induces acute stress that would influence the results.	Cannot determine stress in real time while fish are freely swimming. Cannot be used to identify a stressor as there is an undefined lag time between stress events and faecal cortisol. Only applicable to larger fish species, like salmon, in which a	+ Handling and the use of anaesthesia is required, although the effects are not prolonged.	Cao <i>et al.</i> ³⁰

			sufficient amount of faeces can be stripped.		
Skin mucosa	Meagre, seabass, gilthead sea bream	Provide accurate results. Fish only need to be handled once.	Effects on the welfare of the fishes are unclear and warrant further research. Cannot determine stress in real time while fish are freely swimming.	+ Handling and the use of 'light' anaesthesia is required, although the effects are not prolonged.	Fernández-alacid <i>et al.</i> ⁴¹
2.2 Biosensors/bio loggers					
Method	Test species	Strengths	Limitations	Invasiveness	Reference
Glucose monitoring biosensor	Nile tilapia	Once the biosensors are implanted, little labour is required as the system displays the fishes' glucose information in real time. Can monitor glucose in freely swimming fish.	Effects on the welfare of the fishes are unclear and warrant further research.	++ Surgical implantation. Once implanted, the effects are prolonged.	Wu <i>et al.</i> ¹⁶⁶
Heart rate bio-loggers	Rainbow trout Atlantic salmon	Provide an accurate and continuous monitoring of heart rate in freely swimming fish.	High level of equipment required. Data cannot be remotely recorded or accessed. Results indicated that tagged fish had poorer growth compared to untagged fish.	+++ Surgical implantation of the bio-loggers and this is followed by prolonged effects. A second surgery, to remove the implants, is also required.	Brijs <i>et al.</i> ^{45,165} Hvas <i>et al.</i> ¹⁷²

688 **Translation capability and limitations**

689 This paper has focused on novel fish welfare indicators or approaches, therefore, many of
690 these are yet to be implemented in a commercial aquaculture setting. However, on-farm
691 translation is a critical component for achieving impact by improving fish welfare. On-farm
692 success and uptake are likely to be influenced by the following factors: robustness
693 (reliability and accuracy), cost-effectivity, ease of use, and appropriateness of the
694 information given by the technology/approach (validly measures one or more aspect of
695 welfare). In other words, they are Operational Welfare Indicators (OWIs) ¹⁸⁸. OWIs can be
696 separated into three levels of use with Level 1 OWIs comprising quick, easy and
697 observational measures such as water quality, as well as fish survival, outward appearance,
698 and behaviour ¹⁸⁸. Levels 2 and 3 represent more in-depth monitoring (e.g., fish sampling
699 and potentially laboratory analysis), which can be employed when indicated by Level 1
700 OWIs.

701 Table 3 provides a summary of the methods discussed in this paper with a focus on
702 translational reliability to aquaculture. It is important to note that the vast majority of non-
703 invasive methods consist of computer visualisation technology that is only currently
704 applicable in tank systems rather than large systems such as floating sea cages. These
705 methods have the potential to be used during specific events such as transport or fish
706 movement. Nevertheless, as most of these methods are in early stages of development,
707 there is the potential for future research to focus on refining these systems for
708 implementation in a wider range of contexts or locations. This step is crucial, as many of the
709 discussed methods have only been tested in experimental tanks with low stocking densities
710 and it would be ill-advised to extrapolate these results to large sea cages which hold
711 thousands of fish, without appropriate assessment of translation success. Therefore, further
712 research should focus on commercial scale testing of these emerging methods. Aside from
713 high stocking densities, commercial testing would allow for opportunities to test methods in
714 other conditions typical of aquaculture such as poor visibility, large distances from sensor to
715 fish and also assess how well complex computer models or devices fit into production
716 systems from a logistical standpoint. A cost-benefit analysis for methods deemed
717 appropriate for commercial systems would also assist in the uptake of these methods to
718 industry production.

719

720 Another limitation is that each method only evaluated a maximum of two domains (Table
721 3). Although the domains do overlap to some degree, it should be noted that additional
722 methods should also be used where possible to ensure that all welfare domains are
723 considered when evaluating welfare. The more invasive methods discussed here may be
724 useful in this goal of forming a comprehensive picture of welfare. However, it is apparent
725 that further studies are needed to weigh the benefits of these more invasive methods
726 against the harm to animals.

Indicator/Method	Reliability & precision	Welfare domain(s)	OWI ¹ level (1-3)	Suitable aquaculture systems ²	Reference
Direct human observation of human avoidance and feed anticipation	Difficult to assess and subject to worker compliance.	Behavioural interactions	1	Tank	Colson <i>et al.</i> ⁷⁶
Sonar and optic imaging	Demonstrated reliable acquisition of images day and night.	Behavioural interactions	1	All	Terayama <i>et al.</i> ⁷¹
Computer based monitoring of unusual behaviours	Demonstrated high accuracy in experimental settings (98.91% detection accuracy and 89.89% recognition accuracy)	Behavioural interactions	1	Tank	Zhao <i>et al.</i> ⁶⁵
Model for assessment of feeding behaviours	Demonstrated good reliability in experimental settings with low stocking rates	Behavioural interactions and Nutrition	1	Tank	Ye <i>et al.</i> ⁷⁵
Near-infrared imaging to quantify feeding behaviours	Recognition rate of 92.99% recognition rate	Behavioural interactions and Nutrition	1	Tank	Zhou <i>et al.</i> ¹⁰⁸
3D tracking	98% accuracy rate	Behavioural interactions	1	Tank	Saberioon & Cisar ⁸⁹ Lin <i>et al.</i> ¹⁰⁷
Pulse-echo waveform measurements	Demonstrated good reliability in experimental settings with low stocking rates	Health and Nutrition	1	Tank	Soliveres <i>et al.</i> ¹²⁶
Infrared reflection system (IREF) and geometric model	Demonstrated good reliability in experimental settings with minimal	Health and Nutrition	1	Tank	Saberioon & Cisar ¹²⁵

	occlusion				
Stereovision system and geometric model	Up to 90% of the samples were within a 3% error margin.	Health and Nutrition	1	Tank	Muñoz-Benavent <i>et al.</i> ¹³⁰
Gill cortisol	Measurements correlated well with blood cortisol, and an increase in gill cortisol following stress was demonstrated. No evidence to suggest that results may be influenced by sampling.	Health	2	All	Gesto <i>et al.</i> ⁴²
Cortisol in scales	Demonstrated good marker of chronic stress. No evidence to suggest that results may be influenced by sampling.	Health	2	All	Aerts <i>et al.</i> ¹⁴⁵
Faecal corticoid metabolites (FCMs)	Measurements correlated well with blood cortisol. No evidence to suggest that results may be influenced by sampling.	Health	2	All	Cao <i>et al.</i> ³⁰
Skin mucosa	Measures skin cortisol, as well as other metabolites (glucose, lactate and protein). Measurable changes in skin mucosa following stressors were demonstrated.	Health	2	All	Fernández-alacid <i>et al.</i> ⁴¹
Glucose monitoring biosensor	Demonstrated reliable measurements of welfare following a variety of stressors.	Health	2	All	Wu <i>et al.</i> ¹⁶⁶
Heart rate bio-loggers	Measurement error was <1 heartbeat per minute.	Health	2	All	Brijs <i>et al.</i> ^{45,165} Hvas <i>et al.</i> ¹⁷²

1: OWI = Operational Welfare Indicators. Level 1: includes basic observations of fish behaviour, appearance and mortality; Level 2: includes sampling fish for a more accurate description of symptoms; Level 3: involves expert analysis of blood and tissue samples from compromised fish ¹⁸⁸.

2: The aquaculture system that the method is currently suitable for (pond, tank, larger sea changes, or all).

730 **Conclusion**

731 Much attention has been given to fish welfare over the last couple of decades and as a
732 result there are a variety of monitoring methods available. These vary in what they measure
733 (behaviour, growth, cortisol etc.) and their strengths and weaknesses as well as their
734 applicability to commercial scale aquaculture in particular, which is a critical step in the
735 translation of these methods from experimental use to typical industry practice. Candidate
736 methods for application to industry should be reliable and accurate, and should minimise
737 production costs, time requirements and impact on animals i.e., minimum invasiveness.
738 Upon review of the literature, it is apparent that ideal monitoring methods are still in the
739 early stages of development and more research is still needed before widespread industry
740 use. This further research should focus on commercial scale testing to evaluate how well
741 these methods would realistically fit into the environmental conditions and logistics of
742 commercial aquaculture.

743 Future research should be focused on non-invasive methods, learning lessons from
744 terrestrial precision livestock farming. With the rapid development of new technologies
745 applied to aquaculture like remote sensing, biosensors, artificial intelligence, and machine
746 learning we will see a significant increase of the use of operational welfare indicators (OWI)
747 applying these technologies in the near future. The use of smart phones apps for farmers
748 will also improve the monitoring of the different variables involved in welfare: direct, such
749 as animal observations, and indirect, with remote data from the sensors. The increasing
750 interest in development of offshore marine farming will also require the use of remote
751 sensing to monitor the welfare and health of the fish because of the inaccessibility of new
752 offshore locations. A data driven insight into the welfare of the fish will increase the power
753 of the OWIs developed until now. It is clear from our review that Information and
754 Communication Technologies (ICTs) hold the key to the future of aquaculture too. Not to
755 forget that this can also lead to exclusion of small producers or specific geographical areas,
756 not able to afford or get access to this technologies or mobile broadband, for example, and
757 for this reason much attention needs to be taken into avoiding both for the welfare of the
758 fish and the farmers. As a final remark, further research on positive welfare is needed to
759 better understand the different types of environmental enrichment that can be
760 implemented to provide the fish with a life worth living, as well as ways of monitoring and
761 assessing it.

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