

1 **Changes in outdoor air pollution due to COVID-19 lockdowns differ by pollutant:**
2 **evidence from Scotland**

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16 *Abstract*

17 Objectives: To examine the impact of COVID-19 lockdown restrictions in March/April 2020 on
18 concentrations of nitrogen dioxide (NO₂) and ambient fine particulate (PM_{2.5}) air pollution measured
19 at roadside monitors across Scotland by comparing data with previous years.

20 Methods: Publicly available data of PM_{2.5} concentrations from reference monitoring systems at sites
21 across Scotland were extracted for the 31 day period immediately following the imposition of
22 lockdown rules on 23rd March 2020. Similar data for 2017, 2018 and 2019 were gathered for
23 comparison. Mean period values were calculated from the hourly data and logged values compared
24 using pairwise t-tests. Weather effects were corrected using meteorological normalisation.

25 Results: NO₂ concentrations were significantly lower in the 2020 lockdown period than in the
26 previous three years ($p < 0.001$). Mean outdoor PM_{2.5} concentrations in 2020 were much lower than
27 during the same period in 2019 ($p < 0.001$). However, despite UK motor vehicle journeys reducing by
28 65%, concentrations in 2020 were within 1 µg/m³ of those measured in 2017 ($p = 0.66$) and 2018
29 ($p < 0.001$), suggesting that traffic-related emissions may not explain variability of PM_{2.5} in outdoor air
30 in Scotland.

31 Conclusions: The impact of reductions in motor vehicle journeys during COVID-19 lockdown
32 restrictions may not have reduced ambient PM_{2.5} concentrations in some countries. There is also a
33 need for work to better understand how movement restrictions may have impacted personal
34 exposure to air pollutants generated within indoor environments.

35 *Key messages*

- 36 • What is already known about this subject?
 - 37 ○ Road traffic has been significantly reduced in countries adopting lockdowns due to
 - 38 COVID-19. Research has shown that this has led to reductions in outdoor air
 - 39 pollution in some locations.
- 40 • What are the new findings?
 - 41 ○ Nitrogen dioxide concentrations declined in Scotland following the lockdown, but
 - 42 fine particulate matter did not despite the fall in vehicle use.
- 43 • How might this impact on policy or clinical practice in the foreseeable future?
 - 44 ○ Policymakers should take care not to over-estimate improvements in outdoor air
 - 45 quality following COVID-19 lockdowns, and should consider the impact of indoor air
 - 46 pollution on personal exposure during these periods.

47 *Introduction*

48 In the wake of the COVID-19 pandemic many countries introduced wide-ranging restrictions on
49 individual movement and gathering, known as “lockdowns” or “stay-at-home orders”. In the UK, a
50 lockdown was introduced at 20.30 on 23 March 2020.

51 These new regulations led to substantial falls in road traffic with UK data suggesting motor vehicle
52 journeys reduced by around 65% between 16 March and 28 April 2020 [1]. The result of movement
53 restrictions and reduced traffic volumes has been widely reported in the media (and some scientific
54 studies) to have resulted in improved air quality and lower concentrations of common pollutants,
55 such as fine particulate matter (PM_{2.5}) and nitrogen dioxide (NO₂) [2,3]. It has been suggested that
56 this will result in positive health effects, due to lowered exposure to air pollution, and even that the
57 net effect of the pandemic will be to improve health (due to the adverse health effects of exposure
58 to air pollution, particularly PM_{2.5} [4]).

59 Analyses of this kind assume that road traffic-related PM_{2.5} is a significant source of personal
60 exposure to fine particles. This may not be true in all locations. Scotland's relatively low ambient
61 PM_{2.5} may be related more closely to natural and non-traffic sources, and may not therefore have
62 fallen following the introductions of the lockdown measures. If PM_{2.5} in outdoor air has not declined,
63 it is possible that net exposure to PM_{2.5} will increase, as people spend more time in their homes
64 where generation of fine particles from activities such as cooking and smoking may produce high
65 concentrations within enclosed and poorly ventilated spaces [5]. NO₂ is specifically associated with
66 vehicle exhaust emissions [6] and so provides a measure of relative traffic for use in this analysis.

67 *Methods*

68 Scottish local authorities maintain a network of automatic monitoring stations for PM_{2.5} and other
69 pollutants. The PM_{2.5} monitors in use comprise gravimetric monitors (TEOMs) and high-precision
70 optical monitors (OAS). These monitors report PM_{2.5} measurements hourly and data are made
71 publicly available on the internet.

72 To examine the effect of the lockdown on Scotland's air, PM_{2.5} and NO₂ data were extracted from
73 the monitor network for the period from 24 March to 23 April in 2017, 2018, 2019 and 2020. Data
74 from 2020 have only been provisionally validated by the Scottish Government. Data were
75 downloaded using the openair R package.

76 To simulate the removal of weather effects on pollutant concentrations, meteorological
77 normalisation using the random forest machine learning algorithm [7] was conducted using the
78 rmweather R package. Individual models were calculated for both PM_{2.5} and NO₂ at monitoring sites
79 around Scotland. Models were based on daily mean pollutant concentrations and incorporated wind
80 speed, wind direction, atmospheric pressure, air temperature and relative humidity at the nearest
81 available weather station (downloaded using the worldmet R package). Models used 64 trees and
82 100 samples.

83 Arithmetic mean concentrations were calculated for each of 70 PM_{2.5} monitoring stations and 89
84 NO₂ monitoring stations over this period in each year. Geometric means of these values were
85 calculated for each local authority area where monitoring took place and for Scotland overall in each
86 year.

87 To determine statistical significance in differences in 2020 PM_{2.5} and NO₂ values for this month vs
88 each other year, both observed and normalised data were log-transformed and compared using a
89 pairwise t-test. Statistical analysis was performed in R v4.0.2 [8].

90 *Results*

91 Across Scotland's air pollution monitoring network, observed and normalised NO₂ concentrations
92 remained close to constant in 2017, 2018 & 2019 but fell substantially in 2020 (pairwise t-test
93 p<0.001 for all years) (Table 1).

94 By contrast, the observed geometric mean PM_{2.5} concentration over the lockdown period in 2020
95 was 6.6µg/m³, very similar to the mean concentration over the same period in 2017 (6.7µg/m³,
96 pairwise t-test p=0.66). The 2020 value showed a modest decrease (-0.8 µg/m³) in comparison with
97 2018 (7.4µg/m³, p<0.001) but was substantially lower than the markedly high concentrations
98 measured in 2019 (12.8µg/m³, p<0.001). Geometric means of normalised data showed the same
99 pattern, with the 2019 mean higher than the other three years (pairwise t-test p<0.001 for all
100 comparisons) (Table 1).

101 2019 was a visible outlier in observed data across all local authority areas where PM_{2.5} monitoring
 102 was conducted (Figure 1). This is likely due to a sustained meteorological event that brought fine
 103 particulate dust from the Saharan desert to the UK atmosphere beginning on 15 April 2019 and
 104 persisting through the end of the analysis period on 23 April [9]. Removing that period from the
 105 2019 analysis reduces the mean observed value to 7.8µg/m³, similar to overall values from the three
 106 other years in this analysis.

107

| Pollutant | 2017 period geometric mean concentration (µg/m ³) | 2018 period geometric mean concentration (µg/m ³) | 2019 period geometric mean concentration (µg/m ³) | 2020 period geometric mean concentration (µg/m ³) |
|--------------------------------|---|--|--|--|
| PM _{2.5} (observed) | 6.7 | 7.4 | 12.8 | 6.6 |
| PM _{2.5} (normalised) | 6.9 | 6.4 | 7.6 | 6.1 |
| NO ₂ (observed) | 21.9 | 23.7 | 22.4 | 9.9 |
| NO ₂ (normalised) | 25.8 | 25.4 | 24.4 | 15.1 |

108 *Table 1 – Geometric mean PM_{2.5} and NO₂ in Scotland 24 March – 23 April in years 2017 – 2020,*
 109 *including both observed and normalised data.*

110 *Discussion*

111 The lockdown period has provided a natural experiment to examine the potential impact of reducing
 112 car journeys on air quality in Scotland. The NO₂ data suggests that car journeys have declined
 113 substantially during the lockdown compared to the same period in the previous three years. This
 114 may lead to significant health benefits, both from reduced exposure to harmful NO₂ and in reduced
 115 rates of traffic accidents and pedestrian collisions.

116 However, our results suggest that the decline in vehicle-related NO₂ has not coincided with
 117 significantly reduced PM_{2.5} concentrations. The health risks of exposure to PM_{2.5} are extremely well-
 118 established, including cardiovascular disease, pulmonary illness and stroke. This research has
 119 established that reducing the number of vehicles on the road would not be an effective measure to
 120 reduce exposure to this pollutant in Scotland, and consequently would not affect incidence of these
 121 illnesses.

122 Our analysis is limited by the data available from the monitoring network. Seven Scottish local
 123 authority areas have no NO₂ monitors while nine have no PM_{2.5} monitors, so these data do not cover
 124 the entirety of Scotland. Data from 2020 have been provisionally validated by the Scottish
 125 Government – while they have undergone screening to identify faulty or suspect data, they have not
 126 been ratified following detailed manual review. The later discovery of a fault or error associated with
 127 a monitor could change these results retroactively (if, for instance, a new calibration factor were
 128 applied). This is unlikely – in summer 2018, three faults were identified in particle monitors across
 129 the Scotland-wide network [10]. The use of data from a wide range of sources (70 PM_{2.5} monitors
 130 and 90 NO₂ monitors) would limit the impact of a change to an individual monitor.

131 We have attributed the fall in normalised NO₂ concentrations in 2020 to the lockdown, but
 132 underlying effects, including a move towards less-polluting fuels and vehicles, could have
 133 contributed to this decline (though likely gradually over a period of years).

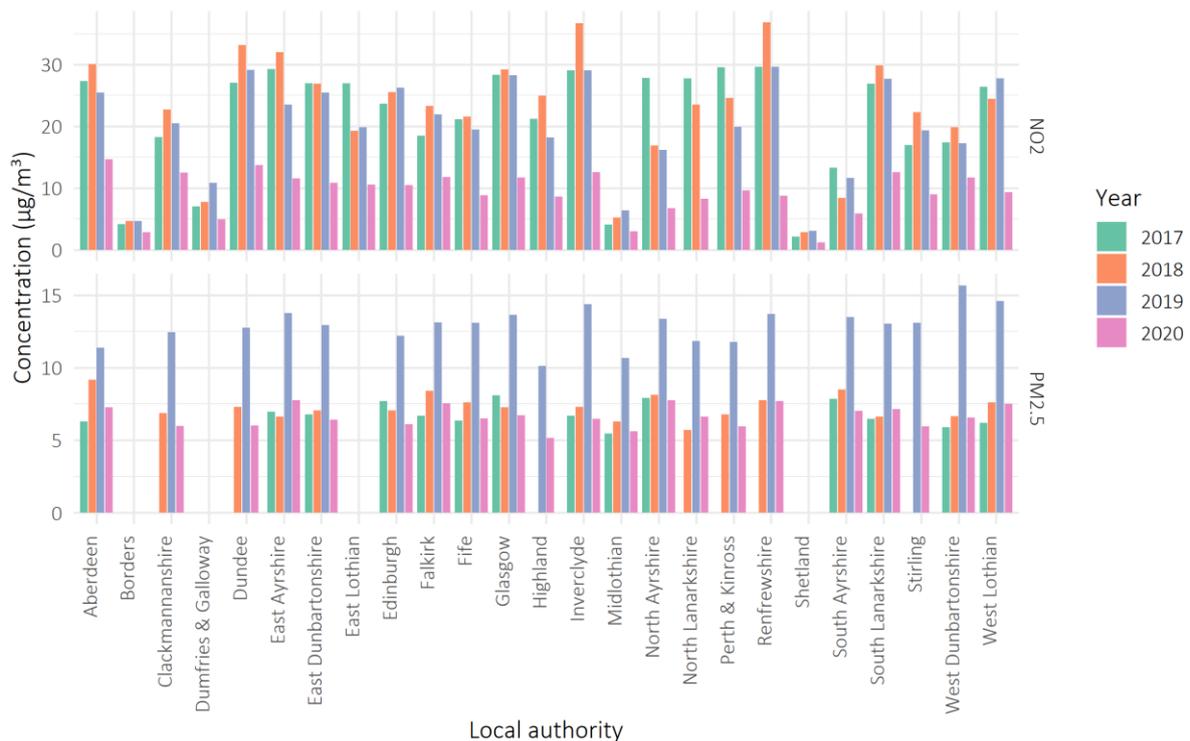
134 We believe these results have important policy and health implications in terms of the use of
 135 lockdowns to control future epidemics of infectious disease, and in considering how best to tackle

136 outdoor air pollution in different countries in the future. Lockdowns are intended to result in people
 137 spending more time in their homes. This could increase population exposure to indoor air pollution
 138 such as cooking fumes and second-hand tobacco smoke (a particular concern given the high
 139 concentrations of PM_{2.5} that can be generated by smoking indoors). Previous work suggests that
 140 living with a smoker can increase a person's daily dose of PM_{2.5} by over 80% [11].

141 In countries like Scotland where it appears that the lockdown has not led to reductions in outdoor
 142 fine particulate matter pollution, it is possible that personal exposure to PM_{2.5} may actually have
 143 increased rather than declined due to higher concentrations from indoor sources of particulate
 144 within the home setting. This could increase adverse health effects overall and also health
 145 inequalities - lower income people are more likely to smoke and to smoke indoors [12], and are
 146 likely to have smaller homes leading to higher PM_{2.5} concentrations from individual sources, due to
 147 smaller room volumes. If the severity of COVID-19 is related to air pollution exposure (as has been
 148 suggested [13]), increased exposure to PM_{2.5} could potentially increase the death toll of that disease.
 149 Careful and balanced consideration of both outdoor and indoor sources of PM_{2.5} is essential to
 150 tackling the health harm of air pollution effectively and equitably.

151 **Figures**

152 Figure 1 - Observed geometric mean PM_{2.5} and NO₂ by local government divisions (council areas)
 153 Scotland 24 March – 23 April in years 2017 – 2020. Note that some local authorities have NO₂
 154 monitors but not PM_{2.5} monitors.



155

156 **Contributorship statement**

157 RD and SS conceived of the idea for the study. Both authors designed the study. RD conducted data
 158 analysis and drafted the manuscript, which SS critically reviewed. SS supervised the project.

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160 There is no funding to report for this study.

161 *Competing interests*
162 The authors report no competing interests.

163 *Data Sharing/Data availability*

164 Data used in this study are available from the Scottish Government's air quality repository
165 (www.scottishairquality.scot).

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