

1 **Estimation of maize properties and differentiating moisture and nitrogen**
2 **deficiency stress via ground – based remotely sensed data**

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16

17 **Abstract**

18 Moisture and nitrogen deficiency are major determinant factors for cereal production
19 in arid and semi - arid environments. The ability to detect crop stress at early **growth**
20 **stages** is crucially important if significant reductions in yield are to be averted. In this
21 context, remotely sensed data **offers** the possibility of providing a rapid and accurate
22 tool for site - specific management in cereal crop production. This research examined
23 the potential of hyperspectral and broadband remote sensing for predicting maize
24 properties under nitrogen and moisture stress **conditions during 2015 and 2016**
25 **seasons**. Spectra were collected from drip irrigated maize subjected to various rates of
26 irrigation regimes and nitrogen fertilization **across two test seasons**. A total of 60
27 spectral vegetation indices were derived and examined to predict maize yield and
28 other **plant canopy** properties (**chlorophyll, and water content**). Highly significant
29 correlations between maize crop properties and various vegetation indices were
30 **identified including; Ratio Vegetation Index (RVI) and Normalized Difference**
31 **Vegetation Index (NDVI)** sensitive to maize grain yield. $C_{red\ edge}$ demonstrated the
32 strongest significant correlation with maize yield. The correlations with grain yield
33 were found to be strongest at the flowering stage. Penalized linear discriminant
34 analysis (PLDA) showed the possibility to distinguish between moisture and nitrogen
35 stress spectrally. The implications of this work for the use of satellite based remote
36 sensing in arid zone precision agriculture are discussed.

37

38 **Keywords:** **crop stress, properties, chlorophyll, water content, spectra, grain yield**

39

40 **1. Introduction**

41 Maize (*Zea mays L.*) is among the most important grain and forage crop in irrigated
42 agriculture (Shaddad et al., 2011) that provides a staple source of food in many
43 countries worldwide (Namara et al., 2010). It is known as a sensitive crop to water
44 stress (Saruhan et al., 2012) and therefore monitoring maize at different growth stages
45 efficiently is important to enhance crop growth and productivity. In arid and semi arid
46 environments, water induced stress is considered the main limiting factor to plant
47 growth and productivity of maize more than any other environmental factors (Hao et
48 al., 2016) especially at the flowering and grain filling stages. In another maize
49 experiment, Oyekunle and Badu-Apraku, (2014) concluded that adverse effects from
50 water stress can occur at any maize growth stage. It is evident that water deficiency
51 induces different changes in physio – biochemical properties of crops causing
52 inhibitory effects on crop growth and productivity (Ashraf, 2010). Mansouri-Far et
53 al., (2010) noticed a reduction in maize yield with increased deficit irrigation
54 conditions.

55 Nitrogen is an essential crop nutrient that is important for plant growth and
56 development and thus it is important to develop an appropriate water and nitrogen
57 fertilization management strategy in order to enhance their application efficiency
58 (Ajdary et al., 2007). Wang and Xing, (2016) showed that maize yield could be
59 maximized with optimum irrigation and nitrogen management. Nilahyane et al.
60 (2018) pointed out that water and nitrogen combination could lead to massive maize
61 yield reduction in case of water shortage. Markovic et al. (2017) also concluded that
62 maize yield is fundamentally influenced by the amount of available water and N and
63 added that both factors significantly affected maize yield in a two-year maize
64 experiment.

65 Monitoring agricultural crop production in areas suffering from moisture and nitrogen
66 deficiency is **traditionally** based on point-sampling techniques, **an approach that is**
67 **laborious**, costly and tends to be spatially unrepresentative. Reliable and rapid
68 techniques for spotting stress in agricultural crops are consequently required to
69 **improve** current farming practices, especially in developing countries where existing
70 agricultural systems **hardly** cope with the **high** demands **of** rapid population growth.

71 The content of photosynthetic pigments within plant leaves tends to be the first parts
72 of plants to respond to stress. **This is especially the case for** leaf pigments such as
73 xanthophylls, chlorophylls, and carotenoids. **These pigments are** highly absorbent to
74 light in the photosynthetically active portion of the electromagnetic spectrum (Prasad
75 *et al.*, 2007) and can be measured in spectral characteristics of crop canopies and
76 leaves (Araus *et al.*, 2001). Thus the spectra of plant **canopy and leaves could** be
77 employed to assess foliar pigment content and thereby obtain a better understanding
78 of crop **growth**. Previous studies have documented the **role** of vegetation indices
79 calculated from remotely sensed data to detect stress in vegetation. These include for
80 example, the determination of grain yield (weber *et al.*, 2012; Kawamura *et al.*, 2018;
81 El-Hendawy *et al.*, 2019); chlorophyll *a* concentration (Jin *et al.*, 2012; Elmetwalli,
82 2013; Schlemmer *et al.*, 2013; Martinez and Ramos, 2015); **pest injuries** and plant
83 **diseases** (Genc *et al.*, 2008 Ashourloo *et al.* 2014), nitrogen deficiency (Feng *et al.*,
84 2014; Thorp *et al.*, 2017); **aerial plant** biomass (Elmetwalli, 2008, Fu *et al.*, 2014;
85 Kanke *et al.*, 2016) and **water** stress (Dejonge *et al.*, 2016).

86 Remote sensing can therefore be a robust tool for site-specific **crop**
87 management, particularly for water and nitrogen **fertilization** management. In
88 agricultural crops, **leaf** chlorophyll is highly related to nitrogen status **in plants**. The
89 ability to identify spatial variability in canopy chlorophyll concentration via remotely

90 sensed data **resulted in rapid quantification of crop N** status across large field systems
91 (Rodriguez and Miller, 2000). Other studies have shown the **possibility of remote**
92 **sensing** to predict crop grain yield (Babar *et al.*, 2006; Padilla et al., 2012). The
93 potential of remote sensing to monitor crop health status has been demonstrated, but
94 published work has focused on detecting moisture and nitrogen deficiency stress at
95 the leaf scale. Therefore this research demonstrated the potential of using remote
96 sensing to detect **both** nitrogen and moisture stress at **both** leaf and canopy scale.
97 Measurements at the canopy scale are arguably important **to evaluate** the potential
98 successful implementation of airborne or satellite remote sensing in precision
99 agriculture. The overall aim of this research was to assess the potential **role** of
100 remotely sensed data to detect and distinguish sources of stress spectrally.

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102

103 **2. Materials and methods**

104 *2.1 Experimental design*

105 Two field experiments of maize were performed at Albasatin Research Station,
106 Elbohaira Province, **Egypt** (latitude of 30°55'2.9'', longitude of 29°57'25.2'') over
107 the summer seasons of 2015 and 2016. Three random soil samples were collected and
108 analyzed showing low organic matter (0.13%), a pH of 7.4 and an **Electrical**
109 **Conductivity** (EC) of 1.21 dS m⁻¹. The soil of the experimental site was loamy in
110 texture with typical particle size distribution of 68.2% sand, 22.5% silt and 9.3% clay
111 with an average bulk density of 1.53 g cm⁻³. The experimental design was laid out as a
112 split plot with three replicates. Irrigation regimes were assigned for the main plots
113 while nitrogen rates were assigned for the sub-main plots. **Maize plants were**
114 **subjected to** twelve different treatments of moisture and nitrogen **deficiency** stress;
115 using the combinations of four levels of irrigation regimes at 1.25, 1.0, 0.8 and 0.6
116 **Evapotranspiration** (ETc) and three rates of nitrogen fertilization at 120, 180 and 240
117 kg N ha⁻¹. Maize seeds were sown on May 15th and 24th and harvested on September
118 5th and 22nd of 2015 and 2016 **seasons** respectively. Potassium in the form of
119 potassium sulphate and phosphorus were applied to the soil during land preparation at
120 rates of 120 and 60 kg ha⁻¹ respectively.

121 The amount of irrigation water **applied** (Table 1) for each treatment via drip irrigation
122 was quantified using the following equation

$$123 \quad Wa = \frac{I \cdot ETc}{Ea} + LR$$

124 Where I is the empirical irrigation rate (1.25, 1.0, 0.8, and 0.6 ETc); Ea is the
125 irrigation efficiency of drip irrigation system assumed **at** 80% and LR is the leaching

126 requirements assumed at 20% of the estimated irrigation water, and crop
127 evapotranspiration was calculated according to Allen et al. (1998) as follows:

$$128 \quad ETC = ETO * kc,$$

129 Where ETO is the reference evapotranspiration and kc is the coefficient of crop and its
130 values were recommended by Allen et al. (1998) and ETO was calculated using the
131 following formula:

$$132 \quad ETO = Ep kp$$

133 Where Ep is the cumulative evaporation amount of water; and kp is the evaporation
134 pan coefficient assumed at 0.75 for the study area. Evaporation data were collected
135 from a class A pan at Albasatin Research Station. Irrigation time was identified as
136 follows:

$$137 \quad T = \frac{Wa * A}{q}$$

138 Where T is the irrigation time (h); Wa is the depth of irrigation water applied (mm); A
139 is the wetted area by each emitter in m² and q is the emitter discharge rate (L h⁻¹)

140 2.2 Reflectance measurements

141 Spectra were collected from crop canopies and leaves using an ASD FieldSpec
142 spectroradiometer with a 3.5° field of view foropic. The instrument was fixed at the
143 end of a telescopic pole at a constant height of 2 m from the soil surface to have larger
144 scanning area. Spectra (350 -1050 nm) of the crop canopy were collected regularly
145 under solar radiation on cloud-free days from 11:00 to 15:00 h GMT. Spectra
146 collection was started at early growth stages prior applying various moisture and
147 nitrogen deficiency stress treatments. Collection was repeated periodically
148 throughout the growing season until harvest time. A white spectralon was used to

149 calibrate reflectance acquired by the spectroradiometer. Spectra were then pre-
150 processed using the dedicated ASD software and then used to calculate different
151 broadband and hyperspectral vegetation indices, of which the more successful are
152 listed in Le Maire et al. (2004). Among these used indices Normalized Difference
153 Vegetation index (NDVI), Ratio Vegetation Index (RVI), Simple Ratio (SR), Soil
154 Adjusted Vegetation Index (SAVI). Table 2 details examples of commonly used
155 vegetation indices showing the equations to calculate them.

156 The spectra collected from each treatment were averaged and the overall mean
157 spectrum was tested in principal component analysis (PCA) to initially notice
158 differences in the spectral signature captured from healthy and stressed treatments.
159 Thereafter penalized linear discriminant analysis (PLDA) (Hastie et al., 1995) was
160 performed on the whole set of spectra captured from each treatment at the flowering
161 time to notice if spectral response of maize could be used to identify the source of
162 stress and its level (low , medium or high). The mda package in R software was
163 employed to perform PLDA; the R package 'from the software R statistics v 3.0.2 (R
164 foundation for statistical computing 2013).

165

166 *2.3 Determination of maize yield, leaf chlorophyll content, and canopy water content*

167 At harvest time, an area of 5 m² from each treatment was sampled to calculate total
168 maize grain yield. Cobs were weighed for the whole sample and then converted into
169 Mg ha⁻¹. Leaf chlorophyll content was measured at different growth stages
170 immediately following the spectra measurements. A portable SPAD chlorophyll meter
171 (Konica-Minolta, Osaka, Japan) was employed to measure leaf chlorophyll content
172 which gives measures of chlorophyll as SPAV values. Twenty Apical leaves were
173 sampled from each treatment and for all experimental plots. Thereafter, a
174 representative subsample was placed in an oven at 70°C for 24 h until a constant

175 weight. Samples were weighed before and after drying to determine leaf water
176 content as follows:

$$177 \quad WC = \frac{FW - DW}{FW} 100$$

178 Where FW is the fresh weight of plant sample and DW is the dry weight of the plant
179 sample.

180

181

182 *2.4 Statistical analysis*

183 SPSS (SPSS Inc., Chicago, IL, USA) was run to perform one and two-way analysis of
184 variance (ANOVA) to establish significant differences in maize crop responses to
185 moisture and nitrogen stress. Nitrogen, moisture and nitrogen/moisture combinations
186 were used as predictor variables, and yield records as the response variable. Data
187 were tested for normality using Anderson-Darling method with 95% significance
188 level. The Pearson Product Moment coefficient of correlation was employed to assess
189 the relationship between different vegetation indices and crop properties and hence to
190 identify optimum vegetation indices for predicting maize yield and properties. Simple
191 linear and multivariate regression analyses were performed to derive regression
192 equations to retrieve grain yield from collected spectra. The collected spectra
193 including all wavelengths from various treatments were then used in principal
194 component analysis (PCA) (Minitab v.14; Minitab Inc., State college, PA, USA) to
195 discover differences and differentiate between spectral responses of healthy and
196 stressed maize plants. The mda package in R software was employed to perform
197 PLDA to distinguish between moisture and nitrogen deficiency stresses.

198

199

200 3. Results

201 3.1 Effects of moisture and nitrogen stress on maize grain yield

202

203 The ANOVA was run to assess the effects of both moisture and nitrogen on maize
204 grain yield. The results are summarised in Table 3. It is evident that both moisture
205 and nitrogen significantly affected maize grain yield in both seasons. The interaction
206 between moisture and N showed significant effect on total grain yield in 2016 season
207 only. Moisture stress strongly reduced grain yield in the 2015 and 2016 growing
208 season ($p < 0.005$). The highest grain yields of 8.41 and 9.42 Mg ha⁻¹ were recorded
209 with the combination 1.25 ETc and 240 kg N ha⁻¹ in 2015 and 2016 seasons (Table 4).
210 Nitrogen fertilization also significantly influenced maize grain yield in both seasons.
211 Significant decreases in maize yield were observed with increased nitrogen deficiency
212 levels. Averaged over two seasons, the grain yields fell to about 54.1 and 25.3% of
213 the maximum value when plants were subjected to the lowest irrigation regime and
214 the highest nitrogen deficiency level respectively compared with the greatest records.

215 The regression analysis showed a significant linear relationship between maize grain
216 yield and moisture regime in both seasons (Table 5). This indicates that yield
217 reductions were highest in the combinations with the lowest watering regimes (0.6
218 ETc). A further significant linear relationship was found between maize grain yield
219 and nitrogen deficiency levels showing that maize yield reductions were greater at the
220 highest nitrogen deficiency level (120 kg N ha⁻¹).

221

222 *3.2 Effects of moisture and nitrogen stress on leaf chlorophyll content of maize*

223

224 The chlorophyll content of maize plants was significantly affected by both rates of
225 moisture regime and N fertilization rates since in the combination of water stress and
226 N deficiency treatments, the chlorophyll content decreased relative to full application
227 of water and N treatments in both investigated seasons. The greatest chlorophyll
228 content of 51.7 and 50.9 (SPAD values) was recorded with plots having full irrigation
229 regime with 240 kg N ha⁻¹ in 2015 and 2016 respectively (Table 6). In non-stressed
230 plots, all N fertilization rates enhanced leaf chlorophyll content.

231 *3.3 Correlation between vegetation indices and maize grain yield, water content and*
232 *chlorophyll content*

233

234 Some vegetation indices correlated strongly with the measured maize grain yield.
235 From individual measuring dates, it is evident that at early growth stages (seedling) all
236 vegetation indices produced non-significant correlations with the measured grain
237 yield which may have been a result of the interference between vegetation and soil
238 background. Due to inconsistent performance of different indices over the growing
239 season, the correlation coefficient values at different growth stages were averaged,
240 and then ranked to identify the optimum index to predict maize properties. The
241 coefficient of correlation increased gradually to reach the peak at the flowering stage
242 in both seasons. The C_{red edge} was identified as the optimum index to predict maize
243 yield in 2015 and 2016 seasons. Figures 1 and 2 show the relationship between C_{red}
244 edge, NDVI, RVI and maize grain yield at the flowering stage in both seasons ($R^2 >$

245 0.83). Although RVI and NDVI produced higher correlations at the **flowering** stage
246 compared with the $C_{red\ edge}$, their correlations were less from flowering onwards. The
247 results further demonstrated that the **collected spectra** at the canopy scale (until filling
248 stage) produced higher correlations in comparison to those collected at the leaf scale.
249 The maximum correlation values were recorded just before the flowering stage.

250 The results of plant water content (WC), showed that the PSNDb and NDVI produced
251 the greatest average correlations with WC with the coefficient of correlation over 0.86
252 as seen in Figures 1 and 2. The optimum vegetation indices sensitive to chlorophyll
253 content were OSAVI and R_{675}/R_{700} in 2015 and RVI and $R_{800}-R_{550}$ in 2016. It is
254 obvious that the red edge region of the electromagnetic spectrum seems to be
255 sensitive to chlorophyll content in particular the 675 to 800 nm range.

256 *3.4 Distinguishing between moisture and nitrogen deficiency stresses*

257

258 The **principal** component analysis (PCA) was run on full spectra acquired at different
259 growth stages over the growing season to distinguish between moisture and N
260 deficiency stresses and revealed that at the flowering time, **there was a possible of**
261 some variability between both sources of stress. The score plot of PCA **showed a**
262 certain trend for **nitrogen deficiency** and moisture stress to plot in separate quarters
263 especially fully irrigated and fertilized treatments (Fig. 3). The PCA **score** plots
264 **suggested** that spectra in the VIS and NIR parts of the **electromagnetic** spectrum were
265 **strongly correlated** with the level of stress; however, there was a need to have a clear
266 distinguishing between both **types of** stress. As a result the PLDA was performed on
267 spectra collected at different growth stages over the growing season. The results
268 demonstrated that the spectra collected at the canopy scale showed better **distinction**

269 between moisture and N stressors which are in broad agreement with **previous**
270 findings of Wang et al. (2002) and Elmetwalli et al., (2012). Table 8 presents the
271 results of the PDLA for the spectra acquired at the flowering stage. The training
272 misclassification value was 0.11 whilst the prediction misclassification was 0.24. The
273 user's accuracy reached 100% in five treatments out of twelve and over 65% in four
274 other treatments. Also, the producer's accuracy reached over 70% in eight treatments
275 five of those a 100%. The PLDA therefore demonstrated the possibility to distinguish
276 most differences between N deficiency and moisture stresses. It is therefore evident
277 that remotely sensed data have the potential to distinguish sources of stress **which**
278 **ultimately helpful** to take the right decisions to avoid crop reductions.

279 **4. Discussion**

280 Quantifying crop productivity in cereals is considered a priority for agricultural
281 research programmes (Steinmetz *et al.*, 1990) in response to the demands of rapid
282 population **growth** (Rudorff *et al.*, 1996). Increased efforts are therefore needed to
283 detect the effects of moisture and nitrogen deficiency stresses in maize. There was no
284 specific index to predict crop yield over the growing season. The correlation
285 coefficient of the relationship between different vegetation indices and crop properties
286 at different growth stages was averaged and ranked to **come up with** the optimum
287 index to predict maize yield. **The** $C_{red\ edge}$ seems to be the optimum vegetation index to
288 predict maize yield. The results further showed that the band ratios RVI and NDVI
289 are **efficient and could also be used to predict** maize yield. Moreover, these indices
290 were ranked among the best five indices for predicting maize yield in both **seasons**.

291 Our results demonstrated the potential of remotely sensed data to predict **maize yield**
292 subjected to moisture and N **deficiency** stress **conditions**. These results **confirm the**

293 previous findings of Babar et al. (2006) and Prasad et al. (2007) who demonstrated
294 that crop yield can be predicted before the plant maturation stage is reached. Whilst
295 hyperspectral data revealed a potential to differentiate between moisture and N
296 deficiency stresses. Hyperspectral data provided no significant advantage over the
297 broadband spectral indices for predicting maize yield. With respect to time, Babar *et*
298 *al.* (2006) concluded that measuring reflectance at the heading and the grain filling
299 stages appears to be the most suitable time for selecting different genotypes for
300 optimum wheat yield. They also found that RNDVI, GNDVI and SR showed
301 significant positive correlations with grain yield at the heading and the grain filling
302 stages. However, the present study showed that the measurements at the canopy scale
303 have shown that the flowering stage seems to be the optimum stage for predicting
304 maize yield and other properties.

305 The present study revealed that moisture stress induced by irrigation deficiency
306 resulted serious impairment of growth – related properties in terms of chlorophyll.
307 Anjum et al. (2011) considered chlorophyll concentration as a symptom of water
308 stress due to photo-oxidation. When plants are subjected to water stress, chlorophyll
309 concentration decreases and hence photosynthesis causes reductions in plant growth
310 and productivity. Under deficit irrigation conditions, the decrease in chlorophyll a
311 content is more pronounced. The chlorophyll content was significantly declined with
312 increased levels of water stress which was supported by the results obtained by
313 Mafakheri et al. (2010).

314 To distinguish sources of stress, the PCA analysis was performed using spectra
315 captured at different growth stages and the results showed minor differentiation at
316 early growth stages which can be related to the interference between spectra of
317 vegetation and others of soil background. It was noticed that at the canopy scale the

318 spectra collected from stressed maize plants was influenced by high moisture and N
319 deficiency stresses. The PCA score plots at the flowering stage showed some
320 differences between moisture and nitrogen deficiency stressed plants and high levels
321 of stress. Our results therefore showed that the feasibility of determining spectral end
322 members derived from new generation of hyperspectral imagery which can be
323 employed to assess the degree and source of stress. Broadly, the PLDA run on
324 spectra acquired at the canopy scale demonstrated the possibility to predict the source
325 of stress in maize plants and even differentiate between low, medium and high level
326 of moisture and nitrogen deficiency stresses. Systematic changes were observed in the
327 spectra collected from maize canopies that were subjected to stress. It is
328 recommended that when this technique is conducted at a large scale (local or regional
329 scale) using satellite-based platforms, the stochastic effects produced from small-scale
330 heterogeneity might be much reduced. In conclusion, the work presented here has
331 shown the novel possibility of predicting spectral end members resulted from either
332 moisture or nitrogen deficiency stress in one of the main strategic agricultural crops.

333 The results therefore importantly suggested that remote sensing could provide a
334 robust approach to predict crop properties at relatively early stages of plant growth;
335 enabling appropriate management practices to be implemented to limit crop
336 reductions and enhance crop productivity. Moreover, existing remote sensing
337 satellites with broad band but high spatial resolution (2 m resolution) such as
338 GeoEye1 and Worldview2 or medium resolution such as ESA Sentiene1 2 (10-20m
339 resolution) have the ability to provide regional and field scale information for farmers
340 to improve crop yield in semi-arid and arid environments. The resulting spatial
341 perspective would also provide a valuable basis from which to offer a cost benefit

342 analysis between improving water and soil resources, irrigation technologies and
343 increasing crop yield.

344

345

346 **5. Conclusion**

347 The effectiveness of hyperspectral and broadband remote sensing data for **the**
348 **prediction** of maize yield in response to moisture and nitrogen deficiency stresses at
349 **both** leaf and canopy scales. The results indicated that the flowering stage was the
350 optimum to predict maize yield through remotely sensed data. There was no
351 significant advantage in using hyperspectral indices over broadband vegetation
352 indices. The $C_{red\ edge}$ provided the optimum index for predicting maize yield.
353 Hyperspectral data provided no advantage in **such** predictions. **The** NDVI and PSNDb
354 **have shown importance in the prediction** of plant water content. The 675 to 800 nm
355 range seems to be **feasible to predict leaf** chlorophyll content. Consequently
356 broadband satellite based remote sensing platforms with high spatial resolution
357 capabilities would be well suited to predict grain yield in semi arid and arid
358 environments. **Further work is required at different sites and environments as well as**
359 **different crops to validate the results obtained in this research. Moreover, statistical**
360 **approaches such as partial least square regression (PLSR) may be of interest to**
361 **improve the prediction of crop traits combining spectral measurements of various**
362 **growth stages and seasons to identify the optimum vegetation indices.**

363 **Acknowledgement**

364 The authors acknowledge the financial support offered by Tanta University and the
365 National Authority for Remote Sensing and Space Sciences, Egypt for offering the
366 necessary equipment.

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575

576 **Table 1** Total irrigation water applied (mm) to different treatments in 2015 and 2016
 577 growing seasons

Season	Growth stage	Total irrigation water applied, mm			
		1.25 ETc	1.00 ETc	0.80 ETc	0.60 ETc
2015	Initial	84.0	67.2	53.8	40.3
	Development	244.4	195.5	156.4	117.3
	Mid-season	235.5	188.4	150.7	113.0
	Maturation	38.0	30.4	24.3	18.2
	Total	601.9	481.5	385.2	288.8
2016	Initial	82.3	65.8	52.6	39.5
	Development	234.8	187.8	150.2	112.7
	Mid-season	226.6	181.3	145.0	108.8
	Maturation	36.1	28.9	23.1	17.3
	Total	579.8	463.8	371.0	278.3

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581 **Table 2** Examples of commonly used spectral vegetation indices

Notation	Formulae	Reference
SLAVI	$NIR/(Red+NIR)$	Lymburner <i>et al.</i> , 2000
RVI	NIR/Red	Pearson & Miller, 1972
VII	$NIR/(green-1)$	Vina, 2003
GNDVI _{br}	$(NIR-green)/(NIR+green)$	Osborne <i>et al.</i> , 2004
DVI	$NIR-Red$	Tucker, 1979
SI	Red/NIR	Jiang <i>et al.</i> , 2003
OSAVI	$[(NIR-Red)/(NIR+Red+L)]*(1+L)$, $L = 0.16$	Rondeaux <i>et al.</i> , 1996
NDVI	$(NIR-Red)/(NIR+Red)$	Rouse <i>et al.</i> , 1974
IPVI	$NIR/(NIR+Red)$	Crippen, 1990
RDVI	$\sqrt{NDVI \times DVI}$	Reujean & Breon, 1995
R _{shoulder}	Mean R750-850	Strachan <i>et al.</i> , 2002
SR	NIR/Red	Jiang <i>et al.</i> , 2003
C _{rededge}	$(R_{800}/R_{700} - 1)$	Elmetwalli, 2008
R _{695/R760}	R_{695}/R_{760}	Carter, 1994
R _{605/R760}	R_{605}/R_{760}	Carter, 1994
R _{710/R760}	R_{710}/R_{760}	Carter, 1994
R _{695/R670}	R_{695}/R_{670}	Carter, 1994
R _{750/R550}	R_{750}/R_{550}	Gitelson & Merzlyak, 1994
R _{750/R700}	R_{750}/R_{700}	Gitelson & Merzlyak, 1994
R _{725/R675}	R_{725}/R_{675}	Gitelson & Merzlyak, 1994

582 NDVI, Normalized Difference Vegetation Index; RVI, Ratio Vegetation Index; GNDVI_{br}, Green
583 Normalized Difference Vegetation Index; DVI, Difference Vegetation Index; SR, Simple Ratio;
584 SLAVI, Specific Leaf Area Vegetation Index; OSAVI, Optimized Soil Adjusted Vegetation Index;
585 VII, Vegetation Index One; RDVI, Renormalized Difference Vegetation Index; SI, Stress Index; IPVI,
586 Infra-Red Percentage Vegetation Index
587

588

589 **Table 3** Analysis of variance for the experimental variables on maize productivity.

590 MS- Mean Square; DF-Degrees of Freedom; NS-Non Significant and **-highly

591 significant at 0.01 probability level.

Source	2015		2016	
	D.F	MS	D.F	MS
Replicates	2	0.07	2	0.19
Water regime (A)	3	32.09**	3	34.38**
Residual	6	0.034	6	0.12
N rates (B)	2	5.42**	2	15.94**
A*B	6	NS	6	0.79**
Error	16	0.14	16	0.16

592

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594 **Table 4.** Effect of irrigation regime and N fertilization rate on maize yield (Mg ha⁻¹)
 595 in both investigated seasons. Least significant Difference (LSD) values are
 596 listed and values with different letters are statistically significant.

Season	Irrigation regime	N Fertilization, kg ha ⁻¹			Mean	LSD
		120	180	240		
2015	1.25 ETc	6.79	7.65	8.41	7.62a	0.32
	1.00 ETc	6.37	7.14	8.16	7.22b	
	0.80 ETc	5.35	5.62	6.55	5.84c	
	0.60 ETc	3.11	3.36	3.85	3.44d	
Mean		5.41c	5.94b	6.74a		
LSD=0.44						
2016	1.25 ETc	6.33	8.46	9.42	8.07a	0.59
	1.00 ETc	6.26	7.82	9.09	7.72a	
	0.80 ETc	5.16	6.93	7.36	6.48b	
	0.60 ETc	3.26	3.83	4.19	3.76c	
Mean		5.25c	6.76b	7.52a		
LSD=0.47						

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600 Table 5 Simple regression results for predicting maize grain yield as a function of
 601 evapotranspiration rate (ETc) and Nitrogen fertilization rate (N) in 2015 and
 602 2016 growing seasons. Regression equations and determination coefficient
 603 (R^2) are listed.

Growing season	Crop parameter	Equation	R^2
2015	Yield (Y), Mg ha ⁻¹	$Y = 6.96 \text{ ETc} - 0.39$	0.83
		$Y = 0.014 \text{ N} - 5.19$	0.88
2016	Yield (Y), Mg ha ⁻¹	$Y = 7.93 \text{ ETc} - 0.28$	0.82
		$Y = 0.026 \text{ N} - 3.43$	0.87

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606 **Table 6** Effect of irrigation regime and N fertilization rate on chlorophyll content
 607 (SPAD values) of maize of various treatments in 2015 and 2016 growing
 608 seasons. Least significant Difference (LSD) values are listed and values
 609 with different letters are statistically significant.

Season	Irrigation regime	N Fertilization, kg ha ⁻¹			Mean	LSD
		120	180	240		
2015	1.25 ETc	41.0	48.6	51.7	47.10a	1.31
	1.00 ETc	39.9	45.4	48.9	44.73b	
	0.80 ETc	39.6	42.6	43.2	41.80c	
	0.60 ETc	38.6	40.5	41.4	40.17d	
Mean		39.78c	44.28b	46.3a		LSD=0.99
2016	1.25 ETc	40.1	43.6	50.9	44.87a	0.56
	1.00 ETc	38.3	41.4	44.6	41.43b	
	0.80 ETc	37.5	40.9	41.7	40.03c	
	0.60 ETc	36.6	37.9	38.3	37.60d	
Mean		38.13c	40.95b	43.88a		LSD=0.79

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612 **Table 7** Coefficient of correlation for the relationship between vegetation indices and
 613 maize grain yield at different growth stages in the 2015 and 2016 summer growing
 614 seasons. Values with ** are highly significant at 0.01 probability level.

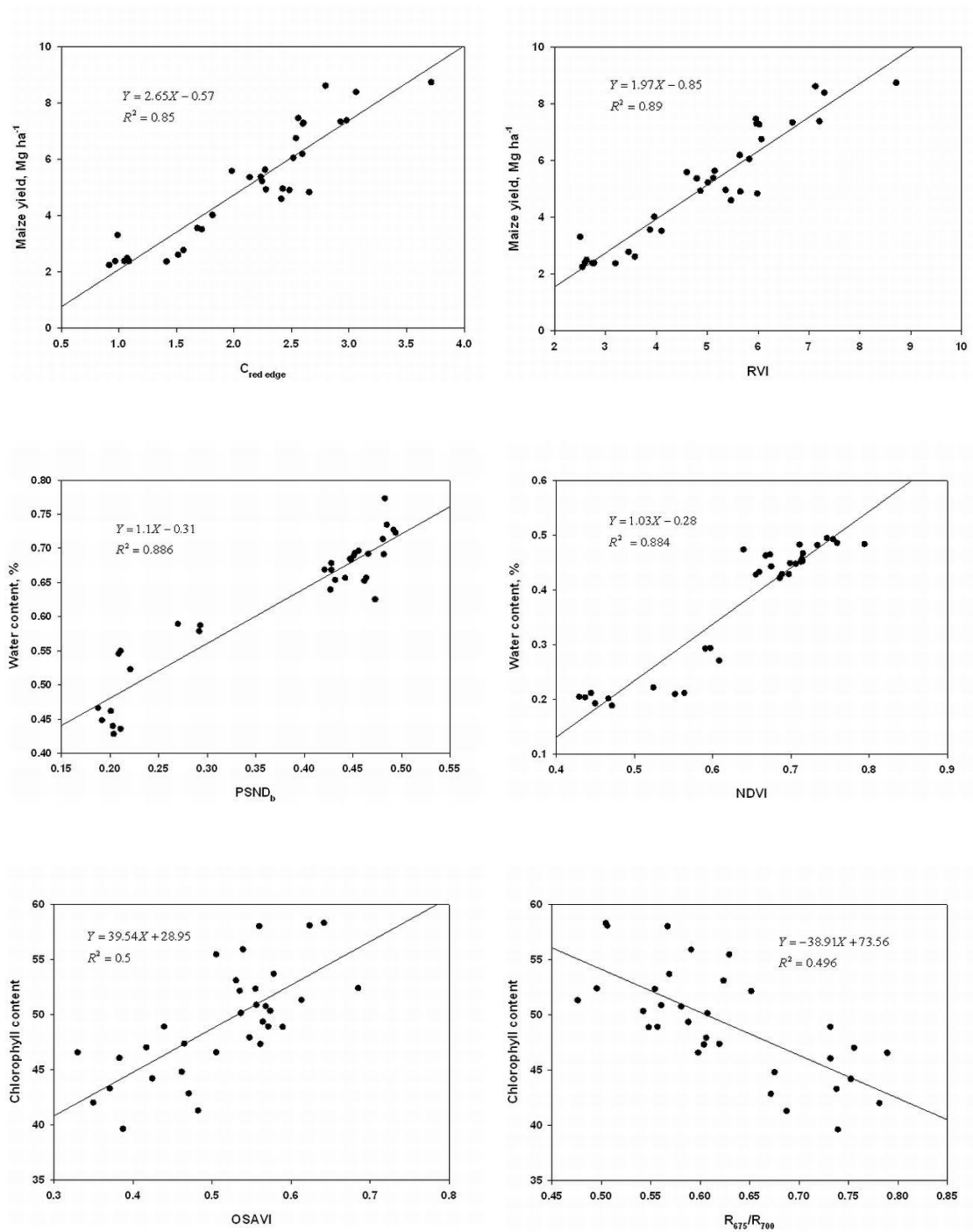
Season	Vegetation Index	Growth stage					Mean
		seedlings	jointing	flowering	filling	maturation	
2015	RVI	0.22	0.71**	0.94**	0.60**	0.49**	0.586**
	NDVI	0.27	0.62**	0.90**	0.65**	0.57**	0.602**
	SR	0.16	0.70**	0.92**	0.65**	0.49**	0.584**
	RDVI	0.14	0.65**	0.94**	0.69**	0.49**	0.582**
	GNDVI _{hy}	0.23	0.61**	0.94**	0.64**	0.59**	0.602**
	PSND _b	0.19	0.58**	0.94**	0.73**	0.50**	0.588**
	R ₆₉₅ /R ₇₆₀	-0.25	-0.52**	-0.92**	-0.74**	-0.49**	-0.584**
	R ₇₅₀ /R ₅₅₀	0.27	0.64**	0.93**	0.61**	0.60**	0.610**
	R ₇₅₀ /R ₇₀₀	0.20	0.70**	0.93**	0.71**	0.53**	0.614**
	C _{red edge}	0.18	0.71**	0.93**	0.74**	0.54**	0.620**
2016	RVI	0.24	0.62*	0.91**	0.75**	0.52*	0.608**
	NDVI	0.31	0.60**	0.93**	0.67**	0.62**	0.626**
	SR	0.24	0.63**	0.92**	0.76**	0.53**	0.616**
	RDVI	0.19	0.49**	0.88**	0.69**	0.39	0.528*
	GNDVI _{hy}	0.33	0.55**	0.89**	0.69**	0.65**	0.622**
	PSND _b	0.28	0.52**	0.81**	0.70**	0.57**	0.576**
	R ₆₉₅ /R ₇₆₀	-0.30	-0.46**	-0.88**	-0.65**	-0.51*	-0.560**
	R ₇₅₀ /R ₅₅₀	0.33	-0.60**	0.92**	-0.72**	-0.64**	-0.642**
	R ₇₅₀ /R ₇₀₀	0.23	0.63**	0.89**	0.76**	0.63**	0.628**
	C _{red edge}	0.25	0.65**	0.92**	0.78**	0.65**	0.650**

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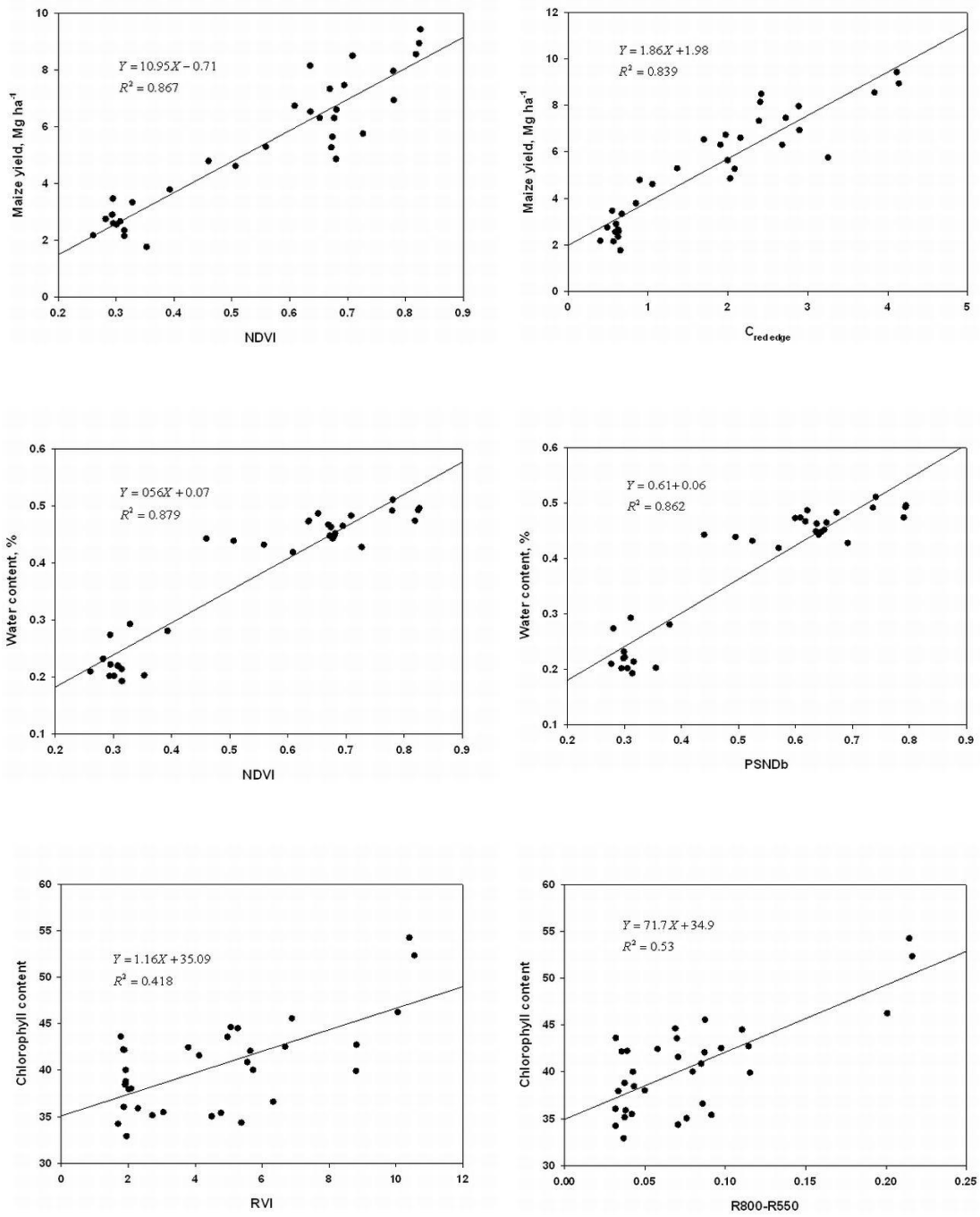
616 **Table 8.** Confusion matrix for PLDA performed on spectra measurements collected
 617 from maize canopies subjected to moisture and nitrogen deficiency stresses. Labels: T1-
 618 I₁F₁; T2- I₁F₂; T3-I₁F₃; T4-I₂F₁; T5- I₂F₂; T6-I₂F₃; T7-I₃F₁; T8- I₃F₂; T9-I₃F₃; T10-I₄F₁; T11-I₄F₂; T12-
 619 I₄F₃. I₁, I₂, I₃ and I₄ are 1.25, 1.0, 0.80 and 0.60 ETc and F₁, F₂ and F₃ are 240, 180 and 120 kg N
 620 respectively.

PDLA	T1	T2	T3	T4	T5	T6	T7	T8	T9	T10	T11	T12	Total	User's accuracy
T1	5	0	0	0	4	0	0	0	0	0	0	0	9	0.55
T2	0	7	0	0	0	5	0	0	0	0	0	0	12	0.58
T3	0	0	8	0	0	0	0	0	0	0	1	0	9	0.89
T4	0	0	3	6	0	0	0	0	0	0	0	0	9	0.67
T5	2	0	0	0	3	0	0	0	0	4	0	0	9	0.33
T6	0	0	0	0	0	5	0	0	0	0	0	0	5	1.00
T7	0	0	0	0	0	1	7	0	0	0	0	2	10	0.70
T8	0	0	0	0	0	0	0	4	0	0	3	0	7	1.00
T9	0	0	0	0	0	0	0	0	6	0	0	0	6	1.00
T10	0	0	0	0	0	0	0	0	0	4	1	0	5	0.80
T11	0	0	0	0	0	0	0	0	0	0	7	0	7	1.00
T12	0	0	0	0	0	0	0	0	0	0	0	5	5	1.00
Total	7	7	11	6	7	11	7	4	6	8	12	7	78	
Producer's accuracy	0.71	1.00	0.72	1.00	0.43	0.45	1.00	1.00	1.00	0.50	0.58	0.71		
Training misclassification rate						Prediction misclassification rate								
0.11						0.24								

621



623 **Fig. 1.** The relationship between different **vegetation indices** derived from spectra
 624 obtained using solar illumination and maize yield, water content and chlorophyll
 625 content at the flowering stage in 2015 summer season



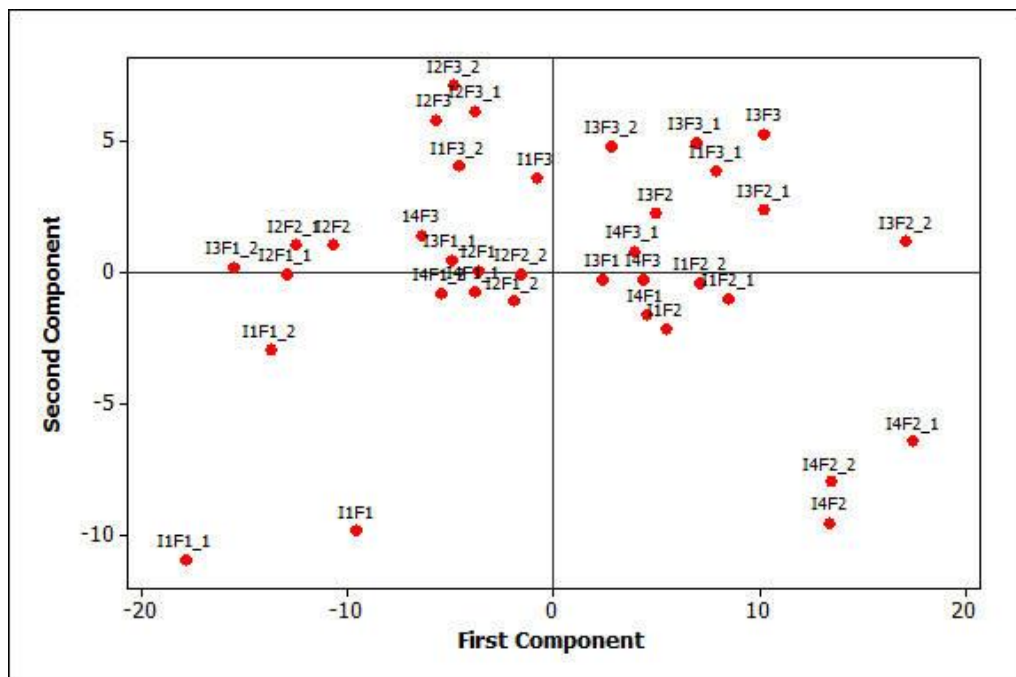
626 **Fig. 2.** The relationship between different **vegetation indices** derived from spectra
 627 obtained using solar illumination and maize yield, water content and chlorophyll
 628 content at the flowering stage in 2016 summer season.

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634 Fig. 3. PCA score plot for the whole dataset of spectra collected from various
635 treatments of moisture and nitrogen deficiency induced stressed maize canopies at the
636 flowering stage in 2015 growing season. Labels: T1-I₁F₁; T2- I₁F₂; T3-I₁F₃; T4-I₂F₁; T5- I₂F₂;
637 T6-I₂F₃; T7-I₃F₁; T8- I₃F₂; T9-I₃F₃; T10-I₄F₁; T11-I₄F₂; T12-I₄F₃. I₁, I₂, I₃ and I₄ are 1.25, 1.0, 0.80 and
638 0.60 ETc and F₁, F₂ and F₃ are 240, 180 and 120 kg N respectively.