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## Introduction

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When performing in pressurized environments, athletes commonly experience stress before, during, and sometimes after the event (Moore et al., 2013). Given this, sport psychology researchers have sought to investigate both the physiological responses (e.g., Turner et al., 2013) and psychological (e.g., Swann et al., 2017) responses of stress and how these impact on sport performance. It has been argued that stress is a dynamic and recursive transaction between the demands of a situation and an individual's resources to manage those demands (Lazarus, 1991). Whereas coping has been defined as "constantly changing cognitive and behavioural efforts to manage specific external and/or internal demands that are appraised as taxing or exceeding the resources of the person" (Lazarus & Folkman, 1984 p.141). One theoretical model that has attempted to try and make sense of individual differences in stress responses is the biopsychosocial model (BPSM) of challenge and threat (Blascovich, 2008). Previously, research has used this model to examine the impact of challenge and threat (CAT) states on the performance of a sporting task (e.g., Moore et al., 2013). Similar to this, the Theory of Challenge and Threat States in Athletes (TCTSA; Jones et al., 2009), which is underpinned by the BPSM, collates physiological and emotional factors underpinning sporting performance. Finally, the Evaluative Space Approach to Challenge and Threat (ESACT; Uphill et al., 2019) was prompted by both the BPSM and TCTSA and argued individuals could be both challenged and threatened.

The BPSM is underpinned by Lazarus and Folkman's (1984) transactional theory of stress and Dienstbier's (1989) theory of physiological toughness. BPSM proposes that the responses of individuals in motivated situations, such as that of a sporting event, is determined by an individual's evaluations of the demands of the situation and their resources

49 to cope with these demands. According to the BPSM, when an individual is in a challenge  
50 state, they have evaluated that they have the necessary coping resources to match or exceed  
51 situational demands. A challenge state is characterised by an in heart rate (HR) and cardiac  
52 output (CO) and a decrease in total peripheral resistance (TPR). An individual may enter the  
53 threat state when they evaluate the demands of the situation as being greater than their  
54 available resources. Much like the challenge state, sympathetic adrenal medullary activation  
55 has been hypothesized. However, pituitary-adrenal cortical activation has also been predicted.  
56 This activation results in cortisol release, constriction of blood vessels and inhibited effects of  
57 sympathetic adrenomedullary activation (Blascovich & Mendes, 2000; Jamieson et al., 2013).  
58 According to ESACT (Uphill et al., 2019) challenge and threat are not opposite ends of a  
59 bipolar continuum but rather, a unidimensional continuum and as such, individuals can be  
60 challenged, threatened, both or neither.

61         The TCTSA (Jones et al., 2009) further expanded on the BPSM by first clarifying the  
62 cognitive appraisal process that influences an athlete entering a challenge or threat state.  
63 Outlining the influence of self-efficacy beliefs, perceived control, and achievement goals on  
64 determining CAT states in athletes, the model highlights how the sources of self-efficacy  
65 (performance accomplishments, vicarious experiences, verbal persuasion, and physiological  
66 states), as proposed by Bandura (1986), contribute to the belief an athlete may have in their  
67 ability to cope with the demands of a situation. The TCTSA suggests that a challenge state is  
68 more likely to be experienced if an athlete has high self-efficacy, a high perception of control  
69 and typically adopts approach goals. In contrast, an athlete will more likely experience a  
70 threat state if they have low self-efficacy, low perception of control and are more likely to  
71 adopt avoidance goals. The TCTSA also states that the three constructs are all interrelated and  
72 that all three constructs are required for a challenge state.

73           The TCTSA incorporates the physiological responses as proposed within the BPSM,  
74 however, it offers a more detailed description of the emotional response. TCTSA, much like  
75 the BPSM predicts that positive emotions will be typically associated with a challenge state  
76 while negative emotions will usually be associated with a threat state. However, unlike the  
77 BPSM, the TCTSA states that negative emotions (e.g., anger or anxiety) are not exclusively  
78 associated with a threat state and can, on occasion be experienced in a challenge state; during  
79 this state, individuals are more likely to perceive these emotions as facilitative. This finding is  
80 explained as CAT states reflect motivational states, and high-intensity emotions of a negative  
81 nature can serve a motivational purpose and would, therefore, be more consistent with a  
82 challenge state (Jones et al., 2009). This is supported by research such as Jones and Uphill  
83 (2004) who stated that athletes could enter a competition feeling anxious, but they view their  
84 anxiety as likely to help performance.

85           Previous research investigating CAT states have suggested that individuals in the  
86 challenge state are more likely to produce a superior athletic performance than when in a  
87 threat state (e.g., Blascovich et al., 2004; Moore et al., 2012; Turner et al., 2013). A recent  
88 systematic review conducted by Hase et al. (2019) found that in 24 of 38 (74%) studies, a  
89 challenge state was associated with enhanced performance. One study found an effect  
90 favoring a threat state and nine studies reported no significant impact on performance.  
91 Further to this, Vine et al. (2016) suggested that during a threat state, individuals' attentional  
92 and visuomotor control skills become disrupted, leading them to become distracted by less  
93 relevant stimuli and suffer a decrease in performance.

94           Research has also suggested that, during a challenge state, athletes are said to interpret  
95 emotions as facilitative, whereas, in a threat state, they view emotions as debilitating (Skinner  
96 & Brewer, 2004). Previous studies have adopted physiological measures such as cardiac  
97 reactivity to capture challenge and threat state (e.g. Allen, Frings & Huntet, 2012; Meijen, et

98 al., 2014; Arthur et al., 2019). Williams et al. (2010) also found that a threat state is  
99 associated with higher levels of cognitive and somatic anxiety compared to a challenge state,  
100 highlighting that athletes are typically likely to experience increased negative emotions and  
101 less likely to interpret these as facilitative. Turner et al. (2013) explored whether  
102 cardiovascular reactivity patterns could predict batting performance in elite cricketers using a  
103 bio-impedance cardiograph integrated system, while also measuring psychological responses  
104 with various psychometrics (e.g. Sport Emotion Questionnaire, Jones et al., 2005). Their  
105 results suggested that challenge reactivity was associated with superior performance.  
106 Likewise, Dixon et al. (2019) who examined cardiovascular reactivity in professional  
107 academy soccer, suggested that challenge reactivity is associated with superior performance,  
108 but they relied on self-report measures to assess participants' emotions.

109         Research examining stress and coping strategies in cricket batsmen such as Thellwell,  
110 Weston and Greenlees (2007) emphasized that perceptions of self, match specific issues,  
111 technique, and current playing status were some of the most pertinent stressors experienced  
112 by cricket batters. Similarly, they also revealed that general cognitive strategies, emotion-  
113 focused coping, general match strategies, and, at the crease, specific cognitive strategies were  
114 the salient coping strategies employed by cricket batsmen. Neil et al. (2016) also highlighted  
115 that athletes' appraisals of stressors were central to the stress and emotion process, thereby  
116 eliciting emotional responses that could be detrimental to performance if not successfully  
117 managed. Nicholls and Polman (2007) conducted a systematic review of stress and coping  
118 research in sport and suggested that the transactional model of stress and coping (TMSC) was  
119 supported in 46 out of 64 studies; they highlighted a significant interaction between athletes  
120 experiencing stressors and the type of coping strategy the athlete used. For example, athletes  
121 in individual sports adopted more coping strategies than did team athletes, and there was  
122 some evidence to suggest that males adopted more problem-focused coping strategies in

123 response to stressors, while females reported using more emotion-focused coping strategies.  
124 Furthermore, previous stress and coping research in sport has often used the TMSC as a  
125 guiding framework to examine, for example, sources of stress encountered by performers  
126 (Fletcher & Hanton, 2003; Arnold, Fletcher & Daniels, 2013), and coping responses to  
127 stressors (Holt & Hogg, 2002; Didymus & Fletcher, 2012).

128         Results from previous CAT studies underpinned by the TCTSA and BPSM highlight  
129 the advantages of collecting physiological data related to challenge and threat states, such as  
130 being able to accurately measure HR, CO and TPR. However, a limitation of previous CAT  
131 studies is they have often measured psychological responses (e.g. emotions, self-efficacy)  
132 using retrospective methods; similarly, previous stress and coping research has relied on  
133 retrospective data collection such as through interviews and self-report measures. Such  
134 retrospective data collection is subject to memory decay (Ericsson & Simon, 1993; Nicolls &  
135 Polman, 2008) and recall bias (Bahrick et al., 1996). While previous research has provided  
136 key findings, such as challenge states being associated with superior performance and stress  
137 and coping occurring as a dynamic process during performance, the present study, aimed to  
138 further develop the stress and coping literature by using the BPSM and TCTSA as guiding  
139 frameworks. Likewise, this study extended previous research by examining the psychological  
140 responses, specifically the stressors and coping responses of cricket batsman, as they  
141 occurred live in the moment. These methods were intended to reduce retrospective recall and  
142 prevent the loss of vital information through memory decay (Ericsson & Simon, 1993;  
143 Nicholls & Polman, 2008), while also enhancing confidence in the accuracy of athletes'  
144 psychological responses during challenge and threat states.

145         Think Aloud (TA) offers opportunities for researchers to capture and examine thought  
146 processes during the performance of a task (Ericsson & Simon, 1980). Ericsson and Simon  
147 (1993) proposed three levels to verbally reporting data. Level 1 involves participants

148 vocalizing inner speech without any effort to communicate their thoughts. Level 2 requires  
149 participants to vocalize inner speech and internal representations that are not initially part of  
150 inner speech (e.g., sensory experiences, feelings, movements). Level 3 requires participants  
151 to expand on merely verbalizing inner speech by explaining thoughts and motives. In line  
152 with the majority of TA sport psychology research, participants in the present study were  
153 required to engage in Level 2 verbalizations. Level 2 was chosen as it provides access to  
154 information from an individual's short term memory (STM; Eccles, 2012), and participants  
155 are not required to provide further explanations for their motives, which, given the  
156 requirements of the task, participants may have struggled to engage in.

157         Recently, researchers have used TA to investigate sport psychology phenomena. For  
158 example, Swettenham et al. (2018) investigated stress and coping during practice and  
159 competitive conditions and examined gender differences across conditions using a Level 2 TA  
160 methodology. With results suggesting that males verbalized significantly more stressors  
161 related to performance during the competition condition and more physical stressors during  
162 the practice condition, whereas females more frequently verbalized external stressors.  
163 Whitehead et al. (2016), adopted a Level 2 TA methodology and also found that higher-  
164 skilled golfers made significantly more verbalizations per shot compared to lower-skilled  
165 golfers. Similarly, when under pressure, higher-skilled golfers shifted cognition and  
166 verbalized significantly more technical aspects of motor control, consistent with Masters's  
167 (1992) reinvestment theory. Kaiseler et al. (2012) examined gender differences in stress,  
168 appraisals and coping during a golf putting task, and their results highlighted both significant  
169 differences in the frequency of stressors verbalized between genders and significant  
170 differences in performance appraisals between genders when participants were in identical  
171 achievement situations. These studies provide evidence for the suitability of TA as a method  
172 for collecting data related to the frequency of verbalized stressors and coping strategies

173 during threat and challenge states. Similarly, previous TA research also highlighted how  
174 qualitative data can be coded quantitatively as, for example, by coding the frequency of  
175 verbalized stressors.

176 Potential limitations of adopting TA methodology include the process of requiring TA  
177 from participants during a task, as this may interfere with task performance. Whitehead et al.  
178 (2015) addressed these concerns by investigating the effects of Level 2 and Level 3  
179 verbalizations on the performance of skilled golfers. Results indicated that neither level of  
180 verbalizations significantly impacted task performance. Similarly, a meta-analysis conducted  
181 by Fox et al. (2011) suggested that verbalizations during performance of cognitive tasks had  
182 no impact on performance and, in fact, participants who were instructed to explain their  
183 thoughts (Level 3 verbalization) improved their performance. While research suggests Level  
184 3 TA has no significant impact on cognitive tasks, the complexity of the present task led to  
185 the decision that Level 2 TA would provide sufficient data without influencing task  
186 performance.

187 Thus, in the present study, we aimed to use TA to expand on previous research by  
188 investigating stress and coping of young cricket batters during challenge and threat (CAT)  
189 states. Underpinned by the BPSM, TCTSA and previous research (e.g. Thelwell & Greenlees,  
190 2007; Moore et al., 2013; Turner et al., 2013; Whitehead et al., 2016) we predicted that  
191 participants would verbalize significantly more stressors during the threat condition  
192 compared to the challenge condition. Likewise, we hypothesized that there would be no  
193 significant difference in the total number of verbalizations made in relation to coping  
194 strategies between the threat and challenge condition. Finally, in line with Masters (1992)  
195 reinvestment theory which predicts that, under pressure, athletes verbalize more technical  
196 elements of motor control, we hypothesized that participants would make more technical  
197 verbalizations during the threat condition compared to the challenge condition.



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## Method

### 200 Participants

201 Ten male elite-level junior cricket batsman aged 16-17 years participated in the  
202 present study. This sample size was based on previous similar research (e.g., Samson et al.,  
203 2017; Whitehead et al., 2018). Participants were recruited from a County Cricket Boards'  
204 excellence training program. The excellence program represents the last training stage for  
205 athletes before coaches select their squad for the forthcoming cricket season. We adopted a  
206 within-subject design whereby all participants took part in both threat and challenge  
207 conditions. Participants were recruited using a purposeful sampling technique, whereby the  
208 lead researcher, who also acted as a trainee sport and exercise psychologist for the County  
209 Cricket Board, identified participants who were both eligible and would provide insightful  
210 information that would answer the research question (Patton, 2002). To prevent demand  
211 characteristics such as verbalizing the thoughts participants believed their coaches might  
212 want to hear, we informed participants that the coaching staff would not hear their recordings.  
213 To be eligible for the study athletes had to be currently enrolled in the excellence program so  
214 as to ensure their athletic skills were of a high level.

### 215 Equipment

216 Participants completed each task with their cricket equipment (e.g., cricket bat, cricket  
217 pads, cricket helmet, cricket gloves, etc.) in an indoor training venue, batting into a training  
218 cricket net. A bowling machine delivered the balls to ensure consistency in speed and location  
219 of delivery across participants. To record verbalizations during tasks, a recording device was  
220 placed in the pocket of the participant, and a wire running inside participants' shirts  
221 connecting the microphone to the recording device was clipped onto the collar.

### 222 Procedure

223           Once ethical approval for the study was acquired from the overseeing ethics  
224 committee, the performance director for the county cricket board was approached and  
225 provided with a research information sheet. The aims of the research and the requirements of  
226 the athlete's participation were explained, and we then obtained the director's consent to  
227 approach athletes. Participant athletes who met the initial eligibility criteria attended an  
228 optional workshop to provide a brief of the research aims, and participants who expressed an  
229 interest in participating were supplied with an information sheet. When the number of  
230 participants required for the study had been satisfied, we obtained parental consent from each  
231 participant, and participants took part in TA training exercises. We briefed participants on TA  
232 and informed them that they would be required to verbalize what they were thinking (Level 2  
233 TA; Ericsson & Kirk, 2001). Participants then took part in a series of TA practice tasks, as per  
234 the recommendations of previous TA literature (Eccles, 2012). Tasks included: (a) counting  
235 the number of dots on a page, (b) a problem-solving task, and (c) an arithmetic task.  
236 Following training, participants then had a practice session, batting in the cricket nets to  
237 ensure they felt comfortable performing the task while wearing the equipment. Participants  
238 were also required to verbalize during this session as this also presented an ideal opportunity  
239 for the researcher to provide the participant some feedback regarding TA directly related to  
240 the experimental task, and for the participant to ask any questions regarding the use of TA if  
241 they were unsure. For example, if participants were not verbalizing enough, or finding  
242 difficulty in verbalizing during the task, the researcher could address this to ensure data  
243 collected during the experiment would be at a satisfactory level. Once participants felt  
244 comfortable with the procedure, they took part in the first condition, either the challenge or  
245 threat condition. To prevent any order effects and in line with the BPSM and TCTSA, which  
246 state that CAT states may be influenced by previous experience, participants randomly started  
247 with either the challenge or threat condition. For both conditions, participants were required

248 to face 30 balls from a bowling machine and score 36 runs, with three runs added to the total  
249 each time they lost their wicket. The run demands were calculated based on previous similar  
250 research (e.g. Turner et al. 2013) and following discussions with the lead coach.

### 251 **Challenge condition**

252 To encourage participants in a challenge state, we provided participants with  
253 challenge instructions adapted from previous research (e.g. Moore et al., 2012; Moore et al.,  
254 2013), encouraging participants to view the task as a challenge to be met and overcome, to  
255 believe they are capable of overcoming the challenge, and affirming this message by stating  
256 that previous batsmen have completed the task comfortably. Following challenge instructions  
257 and before the start of the task, to ensure participants were in a challenge state, their demand  
258 and resource evaluations were measured using two items from the cognitive appraisal ratio  
259 (Tomaka et al., 1993). Participants were asked, “How demanding do you expect the  
260 upcoming task to be?” and “How able are you to cope with the demands of the upcoming  
261 task?” Items were measured on a 6-point Likert scale, with 1= not at all and 6= extremely. As  
262 per Moore et al. (2013) recommendations, a score was calculated by subtracting demands  
263 from resources (range of -5 to +5); positive scores reflected a challenge state, and negative  
264 scores reflected a threat state (see Tomaka et al., 1993). All participants scores reflected a  
265 challenge state (i.e., all participants gave a positive score). Participants then completed the  
266 challenge condition and were reminded to verbalize thoughts between shots and not during  
267 shots to avoid interference with motor movement during the execution of the skill (Schmidt  
268 & Wrisberg, 2004).

### 269 **Threat Condition**

270 The second condition involved promoting participants into a threat state. Similar to  
271 the challenge condition, participants were required to face 30 balls from a bowling machine  
272 and score 36 runs, with three runs added to the total each time they lost their wicket.

273 Participants were provided with threat instructions adapted from previous research (e.g.,  
274 Moore et al., 2012; Moore et al., 2013) highlighting the difficulty of the task and that  
275 previous participants had failed to score the required number of runs. As with the challenge  
276 condition, all participants answered two items from the cognitive appraisal ratio to ensure  
277 participants were in a threat state. All participants scores reflected a threat state (i.e., all  
278 participants gave a negative score). Participants then completed the threat condition and were  
279 reminded to verbalize thoughts between shots and not during shots to avoid interference with  
280 motor movement during the execution of the skill (Schmidt & Wrisberg, 2004).

### 281 **Data Analysis and Research Credibility**

282 In this study we adopted a post-positivist epistemology in line with much of the previous TA  
283 research (e.g., Nicholls & Polman, 2008; Arsal et al., 2016; Whitehead et al., 2017;  
284 Swettenham et al., 2018). We feel that is essential to state a paper's philosophical position as  
285 doing so provides transparency and helps to refine and clarify the research method (Easterby-  
286 Smith et al., 2002). Following data collection, audio files were transcribed verbatim, and  
287 checks for relevance and consistency were made, achieved via immersing in the data and  
288 using a critical friend. Transcripts were subjected to line by line content analysis (Maykut &  
289 Morehouse, 1994) to identify themes in participants' thought processes in both conditions.  
290 Similar to Kaiseler et al. (2012), verbalizations that caused the participant's negative concern  
291 or worry or had the potential to do so were coded as stressors; and verbalizations in which  
292 participants attempted to manage a stressor, were coded as coping strategies. Initially,  
293 participant's data were analyzed using an inductive thematic analysis. This involved the  
294 author reading and re-reading all transcripts of interviews (immersion in the data) using  
295 Nvivo 10 (step 1). Following this, the researcher developed a list of codes from the first two  
296 transcripts. At this stage, the initial codes were reviewed and considered by a critical friend  
297 (step 2). Research such as Saldana (2013) has provided support for this collaborative

298 approach to coding, as it allows a “dialogic exchange of ideas.” From the initial inductive  
299 process, codes were grouped into stressors and coping responses, and Lazarus and Folkman’s  
300 (1984) coping responses of emotion and problem-focused coping were used in a deductive  
301 way to allocate the initial inductive ‘coping responses’ into these coping responses. These  
302 deductive codes were then used as a point of reference to subsequently analyze the remaining  
303 transcripts. However, as new codes were identified from the data, for example, ‘gathering  
304 information,’ they were included as part of the analysis. We then were able to follow the  
305 saliency of these new codes throughout the data, adding new and different theme to those  
306 previously identified. Again this process was considered and reviewed by a critical friend.  
307 This process followed recommendations from Smith and McGannon (2017) to ensure data  
308 quality and rigor. In this way, 11 secondary themes were grouped into four primary themes  
309 for both the threat and challenge conditions (Table 1).

310 In line with most previous TA research in sport psychology (e.g. Kasieler et al., 2012;  
311 Whitehead et al., 2016; Swettenham et al., 2018) and in keeping with the philosophical  
312 position adopted by this paper, we quantified the qualitative data by taking a similar coding  
313 framework to that used in previous research (e.g. Kasieler et al., 2012). Each time a theme  
314 was verbalized it received a frequency count (Table 2), and these data were then statistically  
315 analyzed to determine any significant differences between frequency of verbalizations for  
316 each theme. First, we conducted an outlier analysis and data were found to be normally  
317 distributed; then a series of parametric tests were conducted. As this study adopted a repeated  
318 measures design, we conducted a paired samples *t*-test to investigate differences between the  
319 coded themes for each condition. Similarly, we conducted a paired samples *t*-test to examine  
320 differences between demand/resource evaluation scores between threat and challenge  
321 conditions. A 95% confidence interval was used to determine the significance levels of the

322 data ( $p \leq 0.05$ ). Effect sizes were reported using Cohens (1988) threshold values: small ( $d =$   
323  $0.2$ ), medium ( $d = 0.5$ ), and large ( $d = 0.8$ ).

324 [Insert Table 1 about here]

## 325 **Results**

326 The frequency of verbalizations for each theme across each of the two conditions (threat and  
327 challenge) were analysed using a paired samples  $t$ -test to test for significance, and a 95%  
328 confidence interval was applied. Effect sizes are reported using Cohen's  $d$  values ( $\delta$ ). Table 1  
329 presents the coding framework used by the researcher to analyze participant verbalisations.  
330 Descriptions of secondary theme characteristics and examples of raw data quotes are  
331 provided. Table 2 presents the means and standard deviations of primary and secondary  
332 themes, as well as the percentage and total frequency of verbalizations across both  
333 conditions.

334 [Insert Table 2 about here.]

### 335 **Demand/Resource evaluation**

336 A paired-samples  $t$ -test was used to determine if there was a significant difference  
337 between demand/resource evaluations made before participation in the challenge and threat  
338 condition. Effect sizes are reported using Cohen's  $d$  values. Results indicated a significant  
339 difference between conditions with a large effect size. (*Threat condition:  $M = -3.30$ ,  $SD = 0.95$ ;*  
340 *Challenge condition:  $M = 4.1$ ,  $SD = 0.74$ ;  $t(9) = -18.50$ ,  $p = .000$ ,  $\delta = -0.94$  ). This finding  
341 highlights that challenge and threat states were successfully manipulated.*

### 342 **Stressors**

343 Secondary themes that emerged from the data related to stressors verbalized were  
344 external stressors, performance stressors, and pressure (see Table 1 for examples). To analyze  
345 coded verbalizations made by participants in relation to stressors experienced across both  
346 conditions, a paired samples  $t$ -test test was conducted. Significant differences were found for

347 total verbalizations made regarding stressors and a large effect size was reported. (*Threat*  
348 *condition: M=12.2, SD=4.83; Challenge condition: M=4.4, SD=2.63; t(9) = 5.374, p = .000,  $\delta$*   
349 *= -1.53*). Focusing specifically on types of stressors reported by participants, when in a threat  
350 state, participants significantly verbalized more about external stressors compared to when in  
351 a challenge state while a large effect size was also observed. (*Threat condition: M=4.1,*  
352 *SD=3.21; Challenge condition: M=1.7, SD=1.49; t(9) = 2.571, p = .030,  $\delta = 0.96$* ). There  
353 were also significantly more verbalizations (large effect size) made by participants related to  
354 performance stressors (*Threat condition: M=5.8, SD=2.90; Challenge condition: M=2.3,*  
355 *SD=2.00; t(9) = 3.612, p = .006,  $\delta = 1.41$* ). Finally, verbalizations coded as pressure stressors,  
356 (i.e., verbalizations regarding factors related to feeling or experiencing pressure) were  
357 analyzed. There was a large effect size and significant difference between the number of  
358 verbalizations made when in a threat state compared to a challenge state (*Threat condition:*  
359 *M=2.4, SD=1.17; Challenge condition: M=0.40, SD=0.97; t(9) = 3.612, p = .001,  $\delta = 1.87$*  ).  
360 These results all indicate that when in a threat state, there is a significant main effect with  
361 participants experiencing and verbalizing more stressors than when in a challenge state.  
362 These findings offer support to the first hypothesis and provide further explanations as to why  
363 performance is more likely to decrease when in a threat state compared to a challenge state,  
364 since an increased number of reported stressors indicates more instances when the participant  
365 has experienced and reported verbalisations that have caused either negative concern or  
366 worry.

### 367 **Emotion-focused coping**

368         Secondary themes that emerged from the data related to emotion-focused coping were  
369 emotional release, relaxation, and positive self-talk (see Table 2 for examples). A paired  
370 samples *t*-test was carried out on the total number of verbalizations for the coded data related  
371 to emotion-focused coping. There were no significant differences between any of the

372 secondary themes related to emotion-focussed coping. Total emotion-focused verbalizations  
373 for threat and challenge conditions were not significantly different and demonstrated a small  
374 effect size (*Threat condition: M=8.70, SD= 7.24; Challenge condition: M=7.70, SD= 3.62;*  
375  $t(9) = .525, p = .612, \delta = 0.18$ ). Emotional release verbalizations between threat and  
376 challenge conditions were also not significantly different and demonstrated a medium effect  
377 size (*Threat condition: M=2.70, SD= 2.26; Challenge condition: M=1.30, SD=1.16; t(9) =*  
378  $2.14, p = .061, \delta = 0.78$ ). Similarly, a small effect size with no significant differences were  
379 found between threat and challenge conditions for relaxation (*Threat condition: M=2.00,*  
380  $SD=4.00; Challenge condition: M=0.80, SD=0.63; t(9) = .970, p = .357, \delta = 0.42$ ). Finally, no  
381 significant differences were identified between conditions for positive self-talk while a  
382 medium effect size was reported (*Threat condition: M= 4.00, SD= 2.83; Challenge condition:*  
383  $M= 5.60, SD=3.47; t(9) = -1.99, p = .078, \delta = -0.51$ ). These results suggest that participants  
384 do not verbalize more emotion-focused coping strategies when in a challenge or threat state.  
385 This finding provides support for this study's second hypothesis.

### 386 **Problem-focused coping**

387 Secondary themes that emerged from the data related to problem-focused coping were  
388 technical instruction, planning, increasing effort, and concentration (see Table 1 for  
389 examples). A paired samples *t*-test was carried out on verbalizations for the coded data  
390 related to problem-focused coping. First, total number of verbalizations made by participants  
391 related to problem-focused coping strategies was analyzed, and no significant differences  
392 were found between the threat and challenge condition (large effect size) (*Threat condition:*  
393  $M=14.6, SD= 6.77; Challenge condition: M=18.3, SD=2.19; t(9) = -1.713, p = .121, \delta = -1.90$   
394 ). Analyzing secondary themes, there were no significant differences for total number of  
395 verbalizations coded related to concentration between the threat condition (medium effect  
396 size) (*Threat condition: M=2.10, SD=2.38; Challenge condition: M=3.20, SD=2.04; t(9) = -*



397 1.295,  $p = .227$ ,  $\delta = -0.50$ ). No significant differences were identified for verbalizations  
398 regarding increasing effort condition (medium effect size) (*Threat condition*:  $M=2.70$ ,  
399  $SD=2.21$ ; *Challenge condition*:  $M=4.50$ ,  $SD=3.21$ ;  $t(9) = -1.575$ ,  $p = .150$ ,  $\delta = -0.70$ ).  
400 Verbalizations made in relation to planning demonstrated a small effect size and were not  
401 found to be significantly different (*Threat condition*:  $M=5.3$ ,  $SD=2.76$ ; *Challenge condition*:  
402  $M=4.20$ ,  $SD=2.61$ ;  $t(9) = .879$ ,  $p = .402$ ,  $\delta = 0.41$ ). Finally, there was no significant difference  
403 and a small effect size for verbalizations made in relation to technical instruction between  
404 threat and challenge conditions (*Threat condition*:  $M= 4.5$ ,  $SD=2.42$ ; *Challenge condition*:  
405  $M=4.70$ ,  $SD=2.91$ ;  $t(9) = -1.43$ ,  $p = .889$ ,  $\delta = -0.07$ ). These results suggest that participants do  
406 not verbalize more problem-focused coping strategies when in a challenge or threat state.  
407 This finding provided support for this aspect of the study's second hypothesis. However,  
408 there were also no significant differences between the two conditions for technical  
409 verbalizations, meaning that this finding also provided support for the third hypothesis.

#### 410 **Gathering information**

411 Verbalizations made in relation to gathering information were statements made in  
412 relation to obtaining information from the environment or situation to facilitate performance.  
413 A paired-samples  $t$ -test was conducted on verbalizations related to gathering information, and  
414 no significant differences were found (medium effect size) (*Threat condition*:  $M=4.10$ ,  $SD=$   
415  $2.77$ ; *Challenge condition*:  $M=2.90$ ,  $SD=1.59$ ;  $t(9) = 1.450$ ,  $p = .181$ ,  $\delta = 0.53$ ).

#### 416 **Total verbalizations**

417 Mean, standard deviation values, and total verbalizations and percentages of primary  
418 and secondary theme verbalisations are presented in Table 2. A paired-samples  $t$ -test was  
419 performed on the total number of verbalizations across both conditions. No significant  
420 differences were found (medium effect size) (*Threat condition*:  $M= 39.70$ ,  $SD=11.60$ ;  
421 *Challenge condition*:  $M=31.6$ ,  $SD=8.72$ ;  $t(9) = 1.727$ ,  $p = .118$ ,  $\delta = 0.79$ ).

422

## Discussion

423 In present study we aimed to investigate stress and coping of academy cricket batsmen during  
424 CAT states using Level 2 TA. First, results indicated a significant difference for demand and  
425 resource evaluation scores taken prior to participation in the threat and challenge conditions,  
426 meaning that participants were in a challenge state for the challenge condition and in a threat  
427 state for the threat condition. Results supported the first hypothesis, which predicted that  
428 participants would significantly verbalize more stress sources during a threat state compared  
429 to a challenge state. Results also supported the second hypothesis, which predicted that there  
430 would be no significant difference in the number of verbalizations made concerning coping  
431 strategies between challenge and threat conditions. Results did not provide support for the  
432 third hypothesis which was that participants would make more technical verbalisations during  
433 a threat state compared to a challenge state as there were no significant differences. Finally,  
434 results also indicated that there were no significant differences in the total number of  
435 verbalizations made in relation to gathering information between the two conditions.

436         There were significant differences found between total overall verbalizations for  
437 stressors experienced by participants between both conditions. Significant differences were  
438 also found for each primary stressor theme (external, performance, and pressure stressors).  
439 These findings provide further support to both the BPSM and TCTSA and further extends the  
440 scope to where this knowledge can be applied. The results suggested that when in a threat  
441 state, participants are more likely to experience stress sources than when in a challenge state.  
442 Both models suggest that if athletes appraise that they do not possess the coping resources  
443 required to manage a situation, they will enter a threat state. This finding is in line with  
444 research such as Moore et al. (2013) who suggested demand/resource evaluations made  
445 before a competition can significantly predict competitive performance. When participants  
446 evaluated the competitive demands to outweigh their resources (i.e., a threat state), this was

447 significantly associated with reduced performance compared to those who perceived their  
448 resources to match or exceed the competitive demands (i.e., a challenge state).

449         Previous research investigating stress in sport had suggested that athletes experience a  
450 wide variety of stressors, similar to those identified in the present study (external stressors,  
451 performance stressors, and pressure). For example, Swettenham et al. (2018) highlighted  
452 external stressors as a salient stressor in tennis players. The findings from the present study  
453 further extend on this by highlighting that external stressors are more likely to be reported  
454 during a threat state than a challenge state. Similarly, the findings from the present study  
455 support previous research investigating stress sources in cricket batsman. Thelwell, Weston,  
456 and Greenlees (2007) suggested cricket batsman experience a wide variety of stressors when  
457 performing in competition, and a few examples include perceptions of self, match specific  
458 issues and technique. In the current study, performance-related stressors were the most  
459 frequently cited stressors across both conditions. However, performance-related stressors  
460 were reported significantly more often by participants when in a threat state compared to a  
461 challenge state. This finding suggests that during a threat state, participants more frequently  
462 verbalize stressors related to skill performance, probably because participants' performances  
463 decline while in a threat state. Of the ten participants, only one participant in a threat state  
464 successfully completed the task (i.e. scored the target amount of runs), whereas all  
465 participants in a challenging state were successful. This provides further support to previous  
466 research (e.g., Blascovich et al., 2004; Moore et al., 2012; Turner et al., 2012). Hase et al. 's.  
467 (2019) systematic review suggested that a challenge state is beneficial to performance. The  
468 findings from the present study extend the work in previous research by highlighting that, in  
469 real-time, participants in a threat state (versus a challenge state) verbalize significantly more  
470 stressors. This finding offers a potential explanation for why athletic performance is more  
471 likely to decrease when athletes are in a threat state.

472           Despite the significant increase in stressor verbalizations made during a threat state,  
473 there was no significant difference found in the number of verbalizations made to cope with  
474 stressors reported by participants (external stressors, performance stressors, and pressure).  
475 This finding suggests that athletes in a threat state will experience more stressors without  
476 verbalizing significantly more coping strategies. The BPSM and TCTSA propose that during  
477 a threat state athletes have appraised that the demands outweigh their resources, therefore,  
478 this finding enhances our confidence in previous research. Perhaps surprisingly, this study's  
479 results also indicated that, during a challenge state, participants did not verbalize a higher  
480 number of coping strategies. Arguably, this finding may result from some coping strategies  
481 having not been verbalized (e.g. breathing techniques,). Likewise, a possible explanation for  
482 this finding may be that, during a challenge state, there is a higher quality of coping strategies  
483 that leads athletes to naturally engage in fewer verbalizations. An alternative explanation for  
484 these findings could offer support to the ESACT (Uphill et al., 2019), suggesting that  
485 individuals can be experiencing challenges, threats, neither or both. It could be argued that  
486 this finding provides support to this model as the lack of verbalized coping responses may  
487 result from athletes being *both* challenged and threatened, rather than alternatively challenged  
488 *or* threatened (as is implied by a theory that challenge and threat are on a bipolar continuum).

489           The present study and previous research (e.g., Blascovich et al., 2004; Moore et al.,  
490 2012; Turner et al., 2012) highlighted how a threat state is associated with decreased  
491 performance. A potential solution to promoting a challenge state and facilitating performance  
492 may be to develop coping strategies to manage the increase in stressors. A recent paper  
493 conducted by Hase et al. (2019) specifically highlighted the potential for motivational self-  
494 talk to be used as a tool for promoting a challenge state and improving performance.  
495 Therefore, future research could further examine the effectiveness of psychological skills  
496 training, arousal reappraisal, and imagery interventions. These interventions are aimed at

497 developing coping strategies to manage increased stressors when in a threat state; such  
498 interventions may reduce the impact a threat state may have on performance by better  
499 regulating emotional arousal and eliminating stressors.

500         While it was predicted participants in the threat state would make more technical  
501 verbalizations compared to when in a challenge state, there were no significant technical  
502 verbalization differences found in this study, in contrast with previous research. For example,  
503 Whitehead et al. (2016) highlighted that higher-skilled golfers, when under pressure, were  
504 more likely to verbalize technical rules, consistent with Masters (1992) reinvestment theory.  
505 Reinvestment theory states that a skilled performer may regress to an earlier stage of learning  
506 during a stressful situation – a phenomenon referred to as choking in which there is a  
507 breakdown in performance under situations of stress or pressure (Beilock & Gray, 2012).  
508 Similarly, Vine et al. (2016) argued that during a threat state, performers are more likely to  
509 focus their attention inwardly towards internal cues. In the present study, while there were no  
510 significant differences between groups during both conditions, technical verbalizations during  
511 both conditions (11.3% and 14.9%, respectively) represented an important percentage of total  
512 verbalizations. It may be argued that this finding was due to these participants' younger stage  
513 of development (i.e., junior athletes). At these younger ages, technical verbalizations might  
514 still be a vital training tool for athletic development, meaning that they facilitate, rather than  
515 hinder performance. For example, athletes in this study, used statements such as "*watch the*  
516 *ball, keep your eye on it,*" "*keep your feet moving*" and "*play the ball straight,*" perhaps to  
517 reinforce correct technical elements of batting. Thus, rather than hinder performance by  
518 directing attention inwardly, these verbalizations may be facilitating performance by  
519 strengthening best practice. In this way, they may be a useful coping technique for athletes at  
520 this stage of development. Further research is needed, however, to better understand the  
521 underlying mechanisms for this finding.

## 522 **Limitations and future research**

523           A potential limitation of the present study is the lack of any physiological participant  
524 measures during CAT states. The present study relied on self-report measures, including two  
525 items from the cognitive appraisal ratio (Tomaka et al., 1993), to determine whether  
526 participants were in a challenge or threat state. Previous research has used alternative  
527 measurement methods, such as Turner et al. (2012), who measured CV reactivity and self-  
528 report measures of self-efficacy, control, achievement-goals, and emotions. Similarly, Moore  
529 et al. (2013) used cardiovascular measures, performance measures, and a series of self-report  
530 measures. While physiological testing would not have further addressed the present studies  
531 main aims, they may have contributed to a determination of the participants' CAT states,  
532 increasing the validity and reliability of obtained outcome data. Future research could,  
533 therefore, consider this limitation and better address it. Level 2 TA does not require  
534 participants to expand on their thoughts or provide motives/explanations for verbalizations,  
535 and this may have limited data in this study. However, we felt that, given the dynamic nature  
536 of batting in cricket, Level 2 TA provided sufficient data while limiting potential batting  
537 performance disruptions.

538           Future research might examine the effectiveness of interventions aimed at promoting  
539 athletes' challenge state and preventing their threat state. Based on the results of the present  
540 study, such interventions should focus on developing coping strategies to manage the increase  
541 of stressors during a threat state. Our results also suggest that stressors and the threat state  
542 had a detrimental effect on sporting performance. Hase et al. (2019) offer a potential  
543 intervention for addressing such issues (e.g., use of motivational self-talk), although the  
544 effectiveness of other psychological interventions should also be examined. Based on the  
545 findings of the present study, future research could explicitly investigate the performance  
546 impact of technical instruction in junior athletes.

547

## Conclusions

548 To conclude, in this study we used a novel approach to collect data from cricket  
549 batsmen during CAT states. We adopted an idiographic design, as advocated by Lazarus  
550 (2000) and extended it to previous CAT research by solely examining stress and coping during  
551 CAT states as they occurred. Our findings provide some support both the BPSM and  
552 TCTSA by highlighting that, during threat states, participants experience an increase in  
553 stressors compared to a challenge state. However, our results did not suggest the increase in  
554 coping strategies during a challenge state that previous theories have eluded to. Alongside  
555 this, elite junior athletes verbalized technical elements of skills during both CAT states, which  
556 they may have used as a coping mechanism, although further research is needed to verify this  
557 possibility. Future research should investigate potential interventions aimed at promoting a  
558 challenge state, perhaps by helping athletes reduce the number of stressors experienced and  
559 increase coping skills matched to perceived task demands.

560

561

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