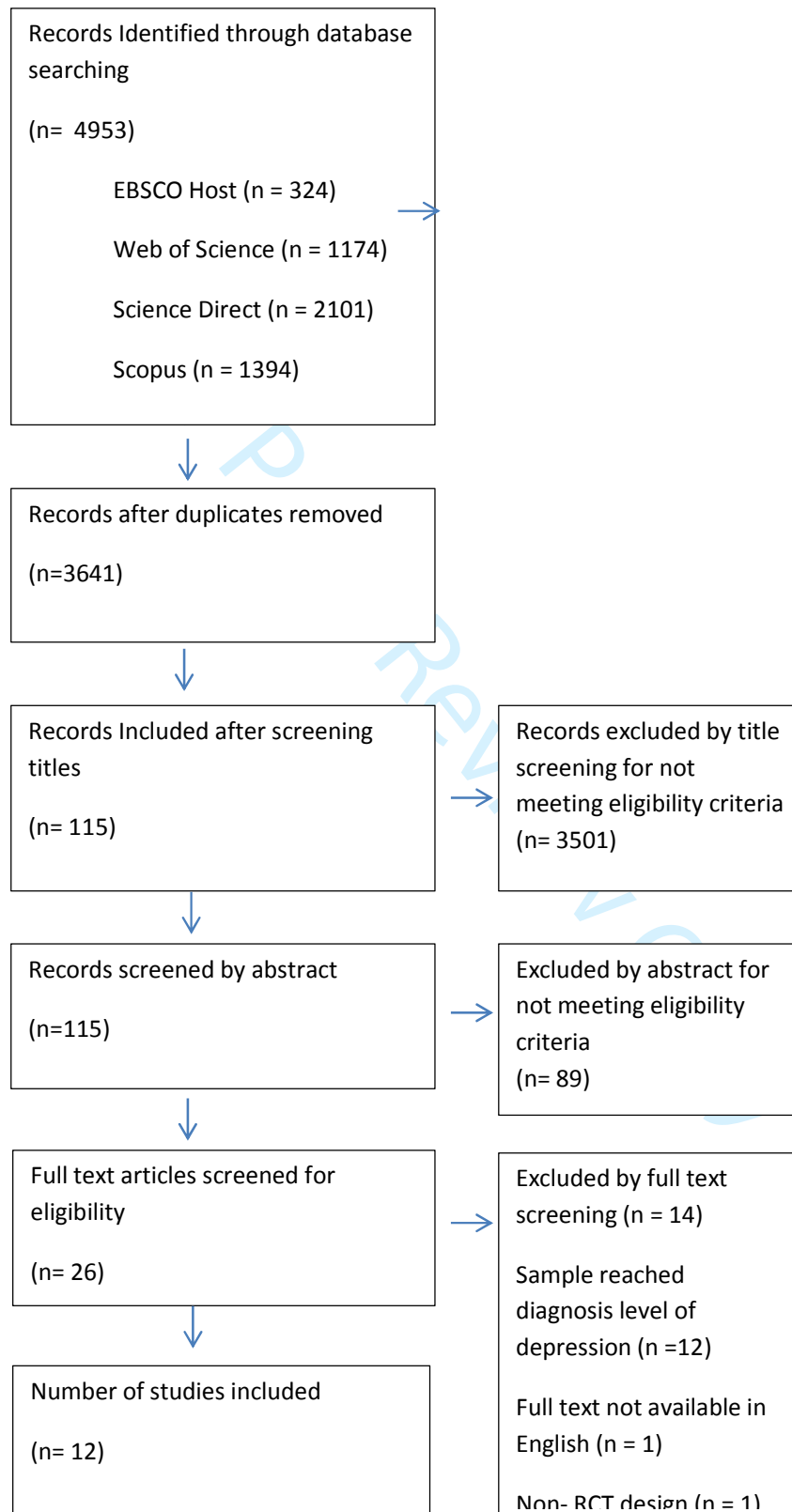


**Approaches to the prevention of postnatal depression and anxiety – a review of the literature**

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Manuscript ID	DPOG-2017-0089.R2
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Manuscripts

**Searches****Figure 1 : PRISMA flow diagram depicting the screening and selection process**

Antenatal interventions							
Author	N	Inclusion/Exclusion	Intervention	Control	Measures	Findings and discussion	Risk of bias
<b>Anton &amp; David 2015 Romania</b>	N=50 Control n=25 Int n=25	Inc: Not discussed Excl: Previous mental health disorder or psychotherapy	9 weeks REBT sessions, 90 minutes each.	Usual care.	POMS-S, BDI, STAI Time 1: pre-test Time 2: 5 weeks Time 3: 9 weeks Time 4: 3 months postnatal.	No sig. difference between groups at T1 or T2, but sig. difference at T3 for depression (p=0.022) anxiety (p=0.012) and negative emotionality (p=0.019), although effect size small for depression (d=.16). Within group: Sig. difference found between T1 and T2 for intervention group for depression (p=0.00) anxiety (p=0.001) and negative emotionality (p=0.001). T4 – Depression no longer significant (p=0.254)	Low
<b>Barrera, Wickham &amp; Munoz. 2015 USA</b>	N=113 Control n=54, Int n=57.	Inc: Pregnant, >18 years old. Excl: Current diagnosis MDE.	8 Lessons based on attachment theory, social learning theory.	Information only control- 4 page leaflet on PPD.	Baseline - Demographics, CES-D, MDES. EPDS. Monthly from recruitment to 6 months PN.	No sig. differences between groups at baseline. No sig. reduction in PPD found in e-MB vs information only (p=0.061). Suggests e-MB more useful for those with high prenatal CES-D, as each unit increase on prenatal CES-D resulted in 8.9% increase in reported EPDS >10 (p<0.01) Large drop out (n=852 randomised, n=111 completed data).	Low
<b>Kenyon et al 2016 UK</b>	N=1213 Control n=613 Int. n=600	Inc: Primiparous >28 weeks gestation, with social risk factors. Excl: <16 years old, recruited to the FNP.	Pregnancy Outreach Work-individualised support from randomisation to 6 weeks PN.	Usual care	Primary: service engagement, EPDS Secondary: Birth outcomes, self-efficacy and bonding, infant outcomes	No sig. difference between groups for antenatal engagement or EPDS (Mean difference -0.59 (95% CI -1.24 to 0.06). 2 or more social risk factors –sig. reduction in mean EPDS (p=0.05). No differences in maternal/ neonatal birth outcomes. Significant improvement in maternal-infant bonding for intervention group (p=0.05). No significant differences in long term neonatal outcomes between groups.	Low
<b>Moshki et al 2013 Iran</b>	N=330 Control n=115 Int. n=115	Inc: 28- 30 weeks gestation, no history of anxiety or depression, lives in local area, healthy fetus.	Education programme - 3 workshops, 3 subgroups. Included emphasis on HLOC.	Usual care	Time 1: Baseline Demographics, MHLCS Time 2 : post-test - MHLCS Time 3: 4 weeks postnatal - EPDS	Significant increase in internal belief and sig. reduction in chance belief post intervention. No significant change for control group. Significant difference in depression scores for intervention vs control (p=0.001). No antenatal measure of depression undertaken - cannot attribute change to intervention alone.	Unclear
<b>Mohammadi et al 2015 Iran</b>	N=127 Group 1 n=43 Group 2 n=42 Control n=42	Inc: 26-32 weeks gestation, EPDS <15, no complications, not exercising regularly.	Group 1: 40 min education session. Group 2: same as 1, plus exercise - 2 months postnatal.	1 non exercise related AN and PN education session.	Baseline– EPDS, FIF. Time 2 - 1 month postnatal EPDS & FIF Time 3 - 2 month postnatal EPDS & FIF	Intention to Treat analysis. No significant difference found for any group for any measure. Between groups EPDS scores not significant at T2 (p=0.82) or T3 (p=0.70). Most participants across both intervention groups did not take part in regular exercise. No further qualitative data addressing why many did not adhere to the intervention.	Unclear

REBT- Rational Emotive Behavioural Therapy, POMS-S – Profile of Mood States Short version, BDI – Beck Depression Inventory, STAI – State Trait Anxiety Inventory, NE- Negative emotionality, MDE –Major Depressive Episode, CES-D - Centre for Epidemiological Studies Depression Scale, MDES – Major Depressive Episode Screener, EPDS – Edinburgh Postnatal Depression Scale, FNP – Family Nurse Partnership, AN- Antenatal PN – Postnatal, HLOC – Health Locus of control, FIF - Fatigue Identification Form, MHLCS – Multidimensional Health Locus of Control scale

**Table 1. Table of characteristics for studies using antenatal interventions**

Postnatal interventions							
Author	N	Inclusion/Exclusion	Intervention	Control Group	Measures	Analysis	Risk of bias
<b>Ayers, Fitzgerald, &amp; Thompson.</b> <b>2015</b> <b>UK</b>	N=80 Control n=40 Int. n=40	Inc: Fluent in English, <18 years, >18 months postnatal.	Brief online self-help - CBT to improve self-esteem, mood, positive self-perceptions, challenge negative beliefs.	Active - self-help time management exercise	Time 1 (T1) – Pre-test. HADS, UMACL, SES, demographic information, Time 2 (T2) –Post-test HADS, UMACL, SES, acceptability information.	Significant interaction between condition and time ( $p = .001$ ) for both groups. Mood change over time significant across both groups ( $p < .001$ ). Greater difference in mean values in intervention group. Feedback on acceptability generally positive for both groups (89.7% Intervention vs 64% control) Negative comments included intervention better for women with mild to moderate depression, but not for severe cases.	Low
<b>Di Blasio et al</b> <b>2015</b> <b>Italy</b>	N=176 Control n=89 Int. n=89	Inclusion: Italian speaking, no medical history of psychiatric diagnosis, >17 years old.	Making Sense writing task at 72 hours postnatal-about childbirth thoughts and emotions, once for 20 minutes	Control - Writing about daily tasks and behaviours, 72 hours postnatal	T1 - 32-40 weeks gestation - demographic questions, BDI, LASC. T2 - 96 hours postnatal - BDI and PPQ. T3 - 3 months Postnatal - BDI and PPQ	For Depression: At T2 – greater reduction in depressive symptoms for intervention than control. At T3 – reduced depressive symptoms intervention group, stable results control. Intervention: predictive effect T2, moderating effect T3. For PTSD: No significant difference in between groups at T2. Sig. difference between groups at T1 and T3 (Sig. decrease in intervention group, increase in control group). Intervention - significant moderating effect only at T3.	Unclear
<b>Fisher et al</b> <b>2016</b> <b>Australia</b>	N=400 Int. n=204 Control n=196	Inc: primiparous, <6 weeks postnatal, Receiving care at selected health centres Exclusion: insufficient English, multiparity	Psychoeducational package delivered by trained nurses. Received printed materials. Optional 6 hour seminar offered at 6-8 weeks PN.	Treatment as usual	T1: 6 weeks PN - PHQ-9, PHQ GAD 7, PHQ PD, general health, demographic information, VPSQ, IBM. T2: 6 months PN - CIDI, PHQ 9, PHQ GAD 7, PHQ PD, Feeding, PAQ, Infant sleeping.	No significant differences in rate of diagnosis of PCMD between groups. No sig. difference in depressive symptoms between groups, no sig. difference in intimate relationship satisfaction between groups. Reduced prevalence of mild to moderate anxiety symptoms and better self-rated health for the intervention group. Optional seminars (undertaken by 94 of the intervention group) was found to be helpful, salient and easy to understand by >85% of the sample.	Low
<b>Gao et al</b> <b>2015</b> <b>China</b>	N= 180 Control n= 90 Int. n=90	Inclusion: Primiparous, term baby, married. Exclusion: postnatal complications, history of psychiatric illness.	Leaflet on sources of assistance for PN women, plus 1 hour IPT education session before discharge. Follow up call after 2 weeks.	Brief visit from a nurse in the PN ward to give them a leaflet on sources of assistance for PN women.	Pre- randomisation 2-3 days PN- Demographic question, EPDS, PSSS, PSCS-E 6 weeks PN: EPDS, PSSS, PSCS-E at the postnatal care clinic	Significantly lower EPDS scores in intervention than control ( $p < .001$ ) at 6 weeks postnatal. 6 weeks PP, higher levels social support ( $p = .009$ ) & maternal role competency ( $p < .001$ ) for intervention than control. Significant differences shown between groups for all outcomes. No significant differences in the change between scores at T1 and T2.	Low
<b>Howell et al</b> <b>2013</b> <b>USA</b>	N=540 Int. N=270 Control n=270	Inclusion: Caucasian or Asian women, >18 years, babies BW >2.5kg, 5 minute Apgar scores $\geq 7$ .	Intervention group - 2 step educational intervention	Usual PN care plus list of health related community resources.	1-3 days PN: Sociodemographic health, anxiety, social support, EPDS and PHQ-9. 3 weeks, 3 months and 6 months PN: EPDS, PHQ9.	No difference in positive depression screens for intervention versus control at any time (OR 0.97; 95% CI 0.59-1.61) Change over time - no difference in depressive symptoms for intervention and control. No significant differences in depressive symptoms between intervention and control groups.	Low

1 2 3 4 5 6 7 8	<b>Lewis et al</b>  <b>2013</b>  <b>USA</b>	N=130  Int. n=66  Control n=63	Incl: <8 weeks postnatal, history of depression (not current). Excl: no English, health condition which would prevent exercise.	Postnatal Physical Activity: 11 phone sessions over 6 months. Aim to do physical activity 30 minutes, 5 days per week.	Support control condition - by phone over 6 months, similar contact to intervention. Discussed wellness topics.	T1 – baseline PHQ- 9 PSS PSQI T2 – 6 months Structured Clinical Interview for DSM- IV Axis I Disorders PHQ-9, EPDS, PSS, PSQI. 7-day PAR interview.	No significant differences between groups for weekly activity based on PAR. Intervention lower depressive scores on EPDS than control (p=0.001), no significant difference for PSS. 29% of sample using antidepressants at baseline, 30% at 6 months. Differences in antidepressant use between times not significant for either group. 10 participants (5 from each group) reached diagnosis of depression at 6 months on SCID-I, no difference between groups on Fisher Exact Test p=1.00.	Low
9 10 11 12 13 14 15	<b>Perez-Blasco, Viguer, &amp; Rodrigo.</b>  <b>2013</b>  <b>Spain</b>	N = 26  Int. n=13  Control n=13	Inclusion: Breastfeeding mothers.	8 week programme, 2 hours per week. Programme based on MBSR, MBCT and Mindful Self-compassion.	No intervention, but 2 sessions of mindfulness offered after post-test measures.	Time 1 – Baseline. Demographics, EEP, FFMQ, SCS-SF, DASS-21, SWLS, Subjective happiness scale. Time 2 - 3 weeks post-test – measures repeated (except demographics)	No significant difference between groups at baseline. Significant difference for intervention group at T2 for anxiety, stress, psychological distress, FFMQ subscales for observing, acting with awareness, non-judging of inner experience and non-reactivity to inner experience. On SCS, intervention group significantly higher on self-kindness, mindfulness and total score, significantly less on over-identification subscale. Difference in satisfaction with life and subjective happiness in the expected direction but not significant.	Unclear

16 CBT- Cognitive Behavioural Therapy, HADS – Hospital Anxiety and Depression Scale, UMACL -UWIST Mood Adjective Checklist, SES -Self Esteem Scale, BDI – Beck Depression Inventory, LASC - Los Angeles  
17 Symptoms Checklist. PPQ – Perinatal PTSD Questionnaire, PHQ-9 –Patient Health Questionnaire 9 item, GAD 7 – Generalised Anxiety Disorder 7 item, PHQ PD – Patient health questionnaire panic disorder, VPSQ  
18 - Vulnerable Personality Style Questionnaire, IBM - Intimate Bonds Measurement, CIDI - Composite International Diagnostic Interview, PAQ - Postnatal Attachment Questionnaire, PCMD – Postnatal common  
19 mental health disorders, IPT – Interpersonal therapy, PSSS - Perceived Social Support Scale, PSCS - E Parenting Sense of Competence Scale – Efficacy, PSS -Perceived Stress Scale, PSQI - Pittsburgh Sleep Quality  
20 Index, MBSR – mindfulness based stress reduction, MBCT – Mindfulness based cognitive therapy, EEP -Parental Evaluation Scale Physical Activity Recall Interview. FFMQ -Five Facet Mindfulness Questionnaire,  
21 SCS- SF -Self-compassion scale, SWLS -Satisfaction with life scale.

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23 **Table 2. Table of characteristics for studies using postnatal interventions**

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## 1 Approaches to the prevention of postnatal depression and anxiety – a review of the 2 literature

### 3 Abstract

4 **Introduction:** Poor maternal mental health during the perinatal period has been shown to  
5 have potentially long-lasting effects for mother and child. In recognition of this, maternal  
6 mental health is receiving increased attention from political and healthcare organizations,  
7 with a growing focus on preventing the onset of common mental health disorders.

8 **Objective:** The objective for this review is to provide an update of randomized controlled  
9 trials examining the use of interventions targeted to prevent the onset of postnatal  
10 depression and anxiety in non-diagnostic populations with universal or selected samples.

11 **Methods:** A total of four databases, EBSCO Host, Science Direct, Scopus and Web of Science,  
12 incorporating PsychINFO were searched and papers selected according to clearly specified  
13 inclusion criteria. A large Health Technology review was published in 2016, for which the  
14 final search was conducted in December 2012. Therefore inclusion criteria were studies  
15 published from January 2013 onwards, available in English language, had a focus on  
16 prevention of postnatal maternal depression and anxiety, and used psychological  
17 interventions. Drug intervention trials were excluded.

18 **Findings:** 12 studies were identified as examining antenatal or postnatal intervention trials  
19 with an aim of preventing maternal postnatal depression and/or anxiety. There continues to  
20 be limited evidence to recommend specific prevention strategies for universal samples  
21 without further testing. There is evidence to suggest the use of rational-emotive behavioral  
22 therapy in an antenatal sample may have some utility, and the use of psychotherapy-based  
23 interventions in a postnatal setting is also supported although both require further  
24 investigation. Additionally, there is a need to gather information on acceptability, as many  
25 trials were hindered by poor adherence to interventions and high attrition that were  
26 otherwise unexplained.

27 **Keywords:** prevention, postnatal depression, RCT, psychological, intervention

### 28 29 Current knowledge

- 30 • Perinatal maternal mental health is important for women and the developing fetus
- 31 • The importance of maternal mental health is increasing on both health and political  
32 agendas worldwide.
- 33 • There is some evidence of the efficacy of psychosocial/ psychological interventions  
34 for the prevention of postnatal depression and anxiety.

### 35 What this study adds

- 1 • Recent additions to the literature do not add robust evidence of effectiveness of  
2 universal antenatal or postnatal prevention of postnatal distress
- 3 • There is evidence to support the use of Rational Emotive Behavioral Therapy in  
4 samples of women during the antenatal period. There is also evidence to support the  
5 use of psychotherapy based interventions in the postnatal setting, but both require  
6 additional investigation.
- 7 • There is a need for more detailed qualitative feedback on acceptability of  
8 interventions for women.

## 9 **Approaches to prevention of postnatal depression and anxiety – A review of the literature**

### 10 **Background**

11 Poor mental health during the perinatal period has the potential to cause lasting harm not  
12 only to the mother, but also to the wellbeing and development of the unborn child [1-5].  
13 Literature suggests that between 10 and 20 percent of women will develop mental illness  
14 within the postnatal period [30], and within the UK 9% of postnatal maternal mortality is  
15 caused by mental health disorders [6]. Over the last 20 years the impact of maternal mental  
16 health has risen on both the worldwide political and healthcare agendas. At the turn of the  
17 millennium, the United Nations set 8 goals to be achieved by the year 2015 [7]. One such  
18 goal was titled “improving maternal health”. During the course of the Millennium  
19 Development Goals period, a sub-goal for recognizing the importance of maternal mental  
20 health was released [8], which set out to reduce poor maternal mental health across the  
21 world by 2015.

22 Within a national setting the UK government published the policy “No health without  
23 mental health” [9]. This set out guidelines for improving mental health, without specifically  
24 referring to maternal mental health. This was followed by the publication of the Department  
25 of Health policy document “Closing the Gap: Priorities for Essential Change in Mental  
26 Health” [10], which addressed the importance of good maternal mental health for the  
27 wellbeing of both mothers and children, and outlined a strategy to promote good mental  
28 health and the importance of prevention of common mental health disorders in the  
29 puerperium. As the experience of poor maternal mental health is associated with poor  
30 outcomes for both mother and child, prevention of the development of poor mental health  
31 is the most effective way to reduce this burden [29]. In response to these, the Royal College  
32 of Gynecologists and Obstetricians (RCOG) published a good practice document outlining

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3 1 the management of women with perinatal mental health disorders. Within this, it was  
4 2 highlighted that psychosocial (those using mental, physical or social approaches) and  
5 3 community based interventions should be used where there is evidence of mild to  
6 4 moderate mental health problems, such as depression and anxiety [11]. Additionally, the  
7 5 National Institute for Health and Care Excellence (NICE) guidelines for antenatal and  
8 6 postnatal mental health also address the importance of discussing prevention techniques  
9 7 with women during the perinatal period [12].

10 8 In 2013, Dennis and Dowswell [13] published a Cochrane review of psychosocial and  
11 9 psychological interventions aiming to prevent postnatal depression (PND). After an  
12 10 examination of 28 relevant papers, involving a total of 17000 women, the authors  
13 11 concluded that there is good evidence to support the use of psychosocial and psychological  
14 12 interventions for prevention of PND, as those women who undertook interventions were  
15 13 significantly less likely to develop severe symptoms.

16 14 In 2016, Morrell et al [14] published a systematic review of the literature of interventions  
17 15 aiming to prevent the development of postnatal depression, looking at clinical effectiveness,  
18 16 cost effectiveness, safety and acceptability. The review described interventions across 3  
19 17 different populations, those women of a 'universal' background, those with a more targeted  
20 18 population who were selected as they had social risk factors (selective), finally a third group  
21 19 who had significant levels of distress but not reaching full clinical diagnosis (indicated). 87  
22 20 papers were reviewed across both quantitative and qualitative methodologies. The  
23 21 reviewers found that approaches varied, with interventions such as potential redesign of  
24 22 midwifery postnatal care, education packages, person-centered approach (PCA) and  
25 23 cognitive-behavioral approaches (CBA) for the universal populations. The redesign of  
26 24 midwifery postnatal care included midwifery care to be extended to three months  
27 25 postnatally, and to be both tailored to individual needs and flexible. The authors concluded  
28 26 that the reviewed trials appeared to be cost-effective when compared to usual care, but  
29 27 that the interventions warranted replication using large scale, randomized controlled trial  
30 28 study design in order to improve rigor. Additionally, the authors included a list of  
31 29 recommendations for future intervention and trial designs, including the need to address  
32 30 the importance of a continuous and trusting relationship with a care provider, with study



1 sample sizes sufficient to achieve statistical power, and that additional qualitative  
2 information is gathered about acceptability and women's perspectives of interventions.

3 Although there has been much investigation into prevention of PND, there is an increasing  
4 awareness of the high rate of anxiety disorders during the perinatal period with prevalence  
5 estimates as high as 15.8% in pregnancy and 17.1% postnatally [28]. Although often linked,  
6 research tends to focus primarily on symptoms of depression and neglects to explore the  
7 improvement of other mental health problems in the perinatal population. Therefore, this  
8 review also considers studies which address symptoms of anxiety.

### 11 **Aim**

12 The aim of the following review of the literature is to provide an update since the  
13 publication of the Morrell et al review (2016) [14] of trials of psychosocial interventions  
14 aiming to prevent the onset of postnatal depression or anxiety in populations with universal,  
15 indicated and selected samples, which do not meet the threshold for a current diagnosis of  
16 depression or anxiety using common mental health measures.

### 17 **Inclusion and exclusion criteria**

18 The review aims to provide an update to the literature search performed as part of the  
19 Morrell et al (2016) [14] review. Their final search was conducted in December 2012,  
20 therefore searches for the current review included papers published from January 2013  
21 onwards. Searches were conducted using electronic databases EBSCO Host, Science Direct,  
22 Scopus and Web of Science, which covered most common databases for health and  
23 psychology literature including PsychINFO. Searches of the reference lists of identified  
24 studies were also undertaken.

25 To meet the requirements for inclusion, studies had to meet the following criteria

- 26 1. Utilise a randomized controlled trial design
- 27 2. Implement a psychosocial intervention aiming to prevent the onset of antenatal or  
28 postnatal depression and/or anxiety

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- 1 3. Be implemented during pregnancy or the postnatal period, as specified by the
- 2 authors, typically but not invariably to 12 months postpartum
- 3 4. Measure depression and/or anxiety symptoms using validated self-complete or
- 4 clinician administered measures for depression and anxiety.
- 5 5. Involve participants who do not achieve diagnostic levels of depressive or anxiety
- 6 symptoms at baseline, in order for the intervention to be considered preventative.
- 7 6. Be available in English language
- 8 7. Be published since the final search of the HTA review was completed (January 2013
- 9 onwards)

10 Studies of drugs and medicines were excluded as they are based on biological processes  
11 rather than psychosocial ones, on which this review is focused. Additionally, trials using non-  
12 human subjects are also excluded.

#### 14 **Search terms and strategy**

15 (postnatal\* OR perinatal\* OR postpartum OR Post-partum ) AND (prevent\* OR reduc\* OR  
16 improv\* OR enhance\* OR intervent\*) AND (distress OR depress\* OR stress OR wellbeing OR  
17 anxi\*)

18 The search identified 4953 records for screening, of which 1312 were duplicates (See Figure  
19 1). The remaining 3641 papers were screened for suitability, and from the title 115 papers  
20 were identified as potentially relevant. The large number of papers excluded by title alone  
21 included reference to drug trials, non-human participants, genetic research, and general  
22 medical research looking at the non-pregnant population. Evaluation of the abstracts  
23 identified 26 papers for closer consideration, of which 12 met all inclusion criteria upon  
24 reading in full.

#### 26 **Searches**

28 [insert figure 1 here]

29 The 12 included papers have been grouped by the timing of the intervention, with five  
30 papers detailing studies into interventions undertaken in the antenatal period with the aim  
31 of preventing postnatal depression or anxiety, and a further seven studies detailing  
32 interventions in the postnatal period to prevent postnatal distress. Due to the differing

1 outcome measures and time points for follow up in the included studies, it was not  
2 considered suitable to conduct a meta-analysis.

#### 3 4 **Risk of bias assessment**

5  
6 A risk of bias evaluation was undertaken using the Cochrane risk of bias tool [31], used in  
7 order to remain consistent with the Morrell et al [14] review. Each study was assessed as  
8 low risk, high risk or unclear risk for selection bias, performance bias, detection bias,  
9 attrition bias, reporting bias and “other” bias (see Tables 1 and 2). A total of 66.6% of the  
10 studies (8/12) were assessed as low risk of selection bias, with the remaining 33.4% (4/12)  
11 assessed as unclear risk due to lack of information within reporting. For performance bias,  
12 91.6% (11/12) were assessed as low risk, with just one assessed as high risk due to the  
13 authors self-acknowledged lack of blinding [17] which may have impacted upon study  
14 findings. This also impacted on the judgment of detection bias for this trial, as lack of  
15 blinding also led to an assessment of high risk of bias in this category. Only 41% (5/12) were  
16 judged to be at low risk of detection bias and 50% (6/12) at unclear risk of detection bias,  
17 again due to lack of detail within reporting. All 12 studies were assessed to be at low risk of  
18 bias for attrition, reporting bias, and “other” biases.

19  
20 **[Insert table 1 here]**

21 **[Insert table 2 here]**

#### 22 **Antenatal Interventions for prevention of postnatal depression and/or anxiety**

23  
24 The five papers outlining antenatal interventions (see Table 1) to prevent postnatal  
25 depression and/or anxiety were from a variety of countries and with a large range of sample  
26 size. Two were from Iran, one each from Romania, the UK and USA. There was a total of  
27 1729 participants included across all five studies, but the largest proportion of participants  
28 were recruited in the UK trial undertaken by Kenyon et al (2016) [15] (N=1213, Intervention  
29 group 600, Control group 613). The remaining studies used much smaller samples, with the  
30 studies in Iran recruiting 230 [16] and 127 participants [17] respectively. The study  
31 conducted in Romania recruited a total of only 48 participants [18] and the study  
32 undertaken in the USA recruited 111 women [19].

33  
34 There were four different intervention strategies assessed. Two papers examined the  
35 effectiveness of education based interventions [19, 16] one looked at the impact of an  
36 exercise intervention on postnatal wellbeing [17] another considered peer support as a  
37 potential buffer for postnatal distress [15] and the final paper examined the effectiveness of  
38 rational-emotive behavioral therapy (REBT)[18].

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3 1 Both Anton & David (2015) [18] and Moshki et al (2014) [16] reported significant differences  
4 2 in the rate of depressive symptoms between control and intervention groups at post  
5 3 intervention (9 weeks and 4 weeks postnatal, respectively). Anton & David (2015) [18] found  
6 4 that the use of REBT reduced symptoms of anxiety and depression over a 9-week period  
7 5 when measured using validated tools, as well as negative emotionality, although the effect  
8 6 for depressive symptoms was not maintained at three months. Moshki et al (2014) [16] also  
9 7 reported significant difference in post-test depression scores using the Edinburgh Postnatal  
10 8 Depression Scale for intervention and control groups when using an educational  
11 9 intervention on antenatal, intrapartum and postnatal changes, physiology and health,  
12 10 emotions and attitudes, which focused on health locus of control. However, there was no  
13 11 measure of depression symptoms taken at baseline, meaning it is not possible to conclude  
14 12 that the difference in scores is due to the intervention and was not present as a significant  
15 13 difference at recruitment.  
16 14

17 15 Three of the included trials [19,15,17] did not find significant improvements in depression  
18 16 scores postnatally following intervention use; however, there were significant  
19 17 improvements in two out of these three trials for subgroups of those with high levels of  
20 18 distress at baseline [19], or with two or more social risk factors [15]. Although, by definition,  
21 19 it is easier to demonstrate a greater change where initial rates are higher, this suggests that  
22 20 such interventions might have more utility with those of a "selected" sample, i.e. those with  
23 21 a higher number of risk factors or sub-diagnostic distress, rather than prevention in a  
24 22 universal sample. In these studies, examples of risk factors included non-English speakers,  
25 23 those requiring assistance with benefits or housing, or experiencing domestic abuse.  
26 24

27 25  
28 26 The three interventions completed in the antenatal period that reported no significant  
29 27 effect of postnatal depression scores were primarily completed online or via telephone,  
30 28 suggesting that the remote nature of the intervention may have an impact on efficacy when  
31 29 compared to interventions completed on a group or individual face to face basis.  
32 30

33 31 Additionally, some trials demonstrated various difficulties in the study process, including  
34 32 low retention rates and poor intervention adherence. This suggests that the study process,  
35 33 intervention or both were of questionable acceptability to women. Barrera and Wickham  
36 34 (2015) [19] began with a sample of 852 women, and yet only 111 completed a full data set.  
37 35 This rate of attrition is substantial and suggestive of low acceptability for women. Although  
38 36 the feedback on feasibility gathered as part of the trial reported that the process and  
39 37 intervention were acceptable and useful, this drew only on a selected sample of women  
40 38 through only asking those who reached the final data point rather than asking those who  
41 39 did not complete data points why they did not engage. Mohammadi et al (2015) [17] found  
42 40 that for the antenatal exercise intervention groups (one for exercise throughout antenatal  
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3 1 period, one for antenatal period and up to 2 months postnatal) neither group actually  
4 2 participated in regular exercise.  
5 3

6 4 In summary, there is limited evidence to recommend use of any of the examined  
7 5 interventions without further testing. The findings suggest that use of REBT as a therapy for  
8 6 prevention in a universal sample of women may be of some short-term benefit, but this  
9 7 requires further exploration. Additionally, there is a need to gather data on acceptability of  
10 8 interventions in all cases, and attempts should be made to gather data from those who do  
11 9 not engage with an intervention where possible. The use of intensive lay person support  
12 10 [15] in the antenatal period has some suggested benefit for those with identified social risk  
13 11 factors and there would be some merit in repeating this trial using a full selective sample of  
14 12 this kind in order to further investigate this finding. The effectiveness of an intervention  
15 13 with an indicated sample is also seen in Barrera and Wickham (2015) [19], as those in the  
16 14 intervention group with the highest rate of depression in the prenatal period had a  
17 15 significant reduction in postnatal depression when compared to the control group.  
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### 17 **Postnatal Interventions for prevention of postnatal distress**

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19 19 Seven papers met the inclusion criteria and detailed interventions undertaken in the  
20 20 postnatal period to prevent postnatal distress (see Table 2). Of these, two were from the  
21 21 USA, one each from England, Australia, Italy, Spain, and China. There was a total of 1406  
22 22 participants across these studies, with the largest samples from the USA (Howell et al 2014,  
23 23 N=540) [20] and Australia (Fisher et al 2016, N=400) studies [21]. The smallest sample size  
24 24 was 26 participants for the study conducted in Spain [22], followed by the English study with  
25 25 80 participants [25]. The smaller American trial recruited a total of 130 women [23], with  
26 26 the Chinese trial recruiting 180 participants [24] and the Italian study 176 participants [26].  
27

28 27 The studies were again varied in intervention type, with one trial focusing on the impact of  
29 28 an exercise based intervention [23] and four focusing on psychotherapy based interventions  
30 29 [22, 24, 25, 26]. The remaining two trials examined the effectiveness of education  
31 30 interventions to prevent postnatal distress, with Fisher et al (2016) [21] focusing on a  
32 31 psycho-education package for couples, and the large scale USA trial looking at education  
33 32 surrounding behavior to improve outcomes [20].  
34

35 33 Three of the included papers found no significant difference on primary outcome measures  
36 34 between intervention and control groups [20, 21, and 23]. These trials examined the utility  
37 35 of a psycho-educational package, a two-step behavioral education intervention based on  
38 36 the common-sense model and a physical activity intervention respectively.  
39

40 37 The common-sense model stipulates that physical changes are automatically matched by  
41 38 the individual to beliefs about the cause of these changes which creates expectations about  
42 39 how long these changes are to last. For the postnatal context this impacts on how a woman  
43

1 perceives her body and might result in unrealistic expectations about what can be physically  
2 accomplished. Failure to meet such expectations could then foster depressive symptoms  
3 [20]. Howell et al's (2014) [20] intervention aimed to prime mothers to recognize, interpret  
4 and normalize triggers of depressive symptoms (step one), and review an education  
5 pamphlet with a trained social worker in hospital (step two). Participants also received a  
6 two-week post-delivery phone call at which point the social worker assessed symptoms and  
7 the woman's management of these. The use of a trained social worker in order to deliver  
8 the intervention, may have affected how women engaged with the trial as social workers as  
9 a profession are often linked with negative opinions and some hostility within a general  
10 population [27]. However, there is clearly a more generic underpinning issue of perceived  
11 utility or relevance of intervention and motivational issues perhaps need further  
12 consideration.

13 The Fisher et al (2016) [21] paper shows that only a small number of the intervention group  
14 completed the full education package (involving information leaflets, additional care by a  
15 trained professional plus attendance at a seminar), meaning that the outcome data is not  
16 reflective of use of the full intervention pack. However, it is an evaluation of the provision of  
17 that care package. Additionally, Lewis et al (2014) [23] also reported that there was no  
18 significant difference in the amount of exercise between groups, making between group  
19 comparisons difficult. Both of these factors may go some way to providing an explanation as  
20 to why there are no significant differences between intervention and control in these  
21 studies.

22 Two trials reported statistically significant differences in mood or depression scores  
23 following self-help based interventions [25, 26]. A study by Ayers et al (2015) [25] aimed to  
24 encourage positive maternal mood. Women self-selected by following a link provided to  
25 them via various channels, but which could not be reached serendipitously. Eligibility was  
26 extended when compared to other RCTs, with women up to 18 months postnatal able to  
27 participate. The rationale given for such inclusion was the awareness that women may  
28 continue to experience negative mood beyond 12 months postpartum, and to maximize  
29 potential recruitment numbers. However, the authors did not report on the average age of  
30 the most recent child at the time of recruitment, or provide any details of the number of  
31 women in the sample who were over 12 month postnatal, after which it is typically not  
32 considered the postnatal period. Intervention group women were asked to identify five –  
33 behaviors they associated with being a good mum, as well as to focus on strengths and  
34 completed questions which were designed to promote positive perceptions of them as  
35 mothers. The other self-help intervention (26) asked women to write about their thoughts  
36 and emotions related to their childbirth experience. Women were randomized 96 hours  
37 post-delivery to either write about their emotions or to write about the events leading up to  
38 childbirth, childbirth and after childbirth in behavioral terms. A follow-up occurred at 3  
39 months postnatal with psychological measures being taken. However, women were not  
40 asked to provide feedback on acceptability of the self-help task, despite the fact that the

1 intervention was implemented in the very early postnatal period when women are often  
2 occupied with the transition to motherhood.

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4 Perez-Blasco et al (2013) [22] also found that a mindfulness based intervention resulted in a  
5 significant difference from pre- to post-intervention for measures on anxiety, stress and  
6 psychological distress. Women were recruited postnatally (mean 10.75 months), self-  
7 selecting into the study in responding to a study advertisement. The intervention arm  
8 received 2 hours of mindfulness based input for 8 weeks. The control group received no  
9 additional input during the study period, but was also able to access 2 sessions of  
10 mindfulness following completion of the post-intervention measures. Sample size was very  
11 small (n=26 across both arms), and there were high rates of attrition in the control group,  
12 which may be due to the fact that they received no additional attention during the course of  
13 the trial. Additionally, it is not clear if the study focused on a universal or selected sample,  
14 there is limited information provided regarding eligibility and exclusion criteria.

15 Gao et al (2015) [24] examined the utility of a one-hour interpersonal therapy (IPT) based  
16 education session whilst an inpatient on the postnatal ward, coupled with a leaflet on  
17 available assistance for the postnatal period and a follow up phone call at 2 weeks  
18 postnatal, compared to just receiving the leaflet with information regarding local services.  
19 Undertaken in China, the study had a moderate sample size of 180 participants (n=90  
20 intervention and n=90 control), all of whom were primiparous. Results demonstrated a  
21 statistically significant group effect with women in the intervention group scoring lower for  
22 depressive symptoms on the Edinburgh Postnatal Depression Scale. Intervention group  
23 participants also scored higher on the Perceived Social Support Scale and the Parenting  
24 Sense of Competence Scale-Efficacy subscale, indicating higher perceived social support and  
25 maternal competency, respectively. No information was provided on whether staff and  
26 participants found the intervention acceptable in terms of content and process.

27 In summary, the studies reviewed do not provide sufficient evidence to support the use of  
28 any one intervention in the postnatal period. However, all four trials with significant  
29 differences between groups post intervention utilized a psychotherapy based approach  
30 [21,23,24,25]. Therefore, there would be some utility in further investigation of  
31 psychotherapy based interventions. Only one of the four studies to report significant  
32 improvement in postnatal mood actually asked participants about acceptability of the  
33 intervention [24]. Even interventions with the most significant improvement to postnatal  
34 mood scores will not be integrated successfully into routine care if they are not deemed  
35 acceptable to women, and therefore further studies should aim to include acceptability as  
36 an outcome in all instances.

## 37 Discussion

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3 1 Continuing the theme of the findings of Morrell et al [13], no specific intervention approach  
4 2 was strongly supported for the prevention of postnatal depression or anxiety in a universal  
5 3 sample from this additional set of studies. For antenatal interventions, the use of both REBT  
6 4 and education based on health locus of control appear to improve depression in the short  
7 5 term for universal samples of women, and REBT continues to show a maintenance effect for  
8 6 both anxiety and negative emotionality at 3 months postnatal. The literature suggests that  
9 7 interventions conducted during the antenatal period to prevent postnatal depression  
10 8 appear to be most effective within a selective sample with social risk factors or an indicated  
11 9 sample of women who have higher rates for depression but remain below the clinical  
12 10 threshold for diagnosis. This supports the findings of Morrell et al, who also report that  
13 11 parenting education and peer support appear to have good outcomes with selective and  
14 12 indicated samples respectively. Postnatal trials have shown varied results, with statistically  
15 13 significant differences in depression scores for self-help interventions, mindfulness  
16 14 interventions and IPT based education. A number of limitations marked the postnatal trials,  
17 15 including small sample size. Small sample sizes are potentially a problem particularly for  
18 16 prevention studies as it may be necessary to detect what are likely to be small effect sizes.  
19 17 Where there are no group differences it may be unclear whether the prevention strategy is  
20 18 ineffective or there is an absence of power to detect such differences. In addition, studies  
21 19 showed high attrition and poor adherence to the interventions delivered. It is often unclear  
22 20 why women did not adhere to the intervention as suggested or reasons for attrition as no  
23 21 information is provided. These issues merit investigation in their own right as uptake and  
24 22 motivational issues are of critical importance of the utility of any provision. The questions  
25 23 that arise include whether women found the content of the intervention unacceptable or  
26 24 unappealing and/or whether the timing of the provision was inconvenient or the time  
27 25 commitment too demanding. This lack of information makes it difficult to contextualize  
28 26 findings. It may be that acceptability needs more thorough qualitative exploration before  
29 27 larger trials are developed. Additionally, providing rigorous evidence that a study  
30 28 intervention has prevented the onset of a condition is difficult due to the uncertain nature  
31 29 of such disorders, although the use of RCT designs should address this through the inclusion  
32 30 of control groups. However, where there is high attrition and non-adherence to proposed  
33 31 interventions there is the potential for this design, and therefore the conclusions of the trial,  
34 32 to be compromised.

### 33 **Limitations**

34 A limitation of this review is the restriction to papers published in English. In addition, it has  
35 35 excluded biological interventions and solely focused on randomized controlled trials, which  
36 36 whilst viewed as a gold standard approach have their own limitations in terms of the  
37 37 representativeness of the samples included. Also, quality evaluations and risk of bias ratings  
38 38 were completed by a single author.

### 39 **Conclusions**



1 This literature review provides an update of published literature on interventions using a  
2 trial design to prevent the onset of postnatal depression and anxiety since the publication of  
3 Morrell et al's 2016 review. A total of 12 papers were identified for inclusion for this review.  
4 Five papers presented interventions carried out during the antenatal period and seven  
5 delivered during the postnatal period. Sample size, population and intervention method  
6 differed between the trials. Based on the evidence presented in these published papers and  
7 in support of the findings of Morrell et al [13], more research needs to be conducted with  
8 larger samples. However, a key element is to provide good exploration of the acceptability  
9 of interventions and consider how to better tailor to women's needs. The inclusion of more  
10 detailed qualitative feedback of those disengaging could address this. Anxiety and other  
11 common postnatal mental health conditions were often included as primary outcomes;  
12 however, the continued emphasis on depression as a primary measure of mental health  
13 appears to remain for interventions across the perinatal period. As awareness of the impact  
14 of perinatal anxiety continues to grow, there is a need for further research to focus on the  
15 prevention of anxiety in its own right.

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18 No conflict of interest

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