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Journal Name:	Frontiers in Psychology
ISSN:	1664-1078
Article type:	Original Research Article
Received on:	24 Apr 2015
Accepted on:	05 Aug 2015
Provisional PDF published on:	05 Aug 2015
Frontiers website link:	www.frontiersin.org
Citation:	Bobak AK and Langton SR(2015) Working memory load disrupts gaze-cued orienting of attention. <i>Front. Psychol.</i> 6:1258. doi:10.3389/fpsyg.2015.01258
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1 Working memory load disrupts gaze-cued orienting of attention

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9 Word count: 7965 words

10 **Keywords: Gaze-cued attention, working memory, top-down control, random number**
11 **generation, executive load**

12

13 **Abstract**

14 A large body of work has shown that a perceived gaze shift produces a shift in a viewer's spatial
15 attention in the direction of the seen gaze. A controversial issue surrounds the extent to which this
16 gaze-cued orienting effect is stimulus-driven, or is under a degree of top-down control. In two
17 experiments we show that the gaze-cued orienting effect is disrupted by a concurrent task that has
18 been shown to place high demands on executive resources: random number generation. In
19 Experiment 1 participants were faster to locate targets that appeared in gaze-cued locations relative
20 to targets that appeared in locations opposite to those indicated by the gaze shifts, while
21 simultaneously and continuously reciting aloud the digits 1-9 in order; however, this gaze-cueing
22 effect was eliminated when participants continuously recited the same digits in a random order.
23 Random number generation was also found to interfere with gaze-cued orienting in Experiment 2
24 where participants performed a speeded letter identification response. Together, these data suggest
25 that gaze-cued orienting is actually under top-down control. We argue that top-down signals sustain
26 a goal to shift attention in response to gazes, such that orienting ordinarily occurs when they are
27 perceived; however, the goal cannot always be maintained when concurrent, multiple, competing
28 goals are simultaneously active in working memory.

29

30 **Introduction**

31 In various social contexts, people tend to take notice of others' gaze direction. The past two decades
32 have seen a large number of studies investigating this social orienting phenomenon utilizing a
33 modified version of Posner's (1980) cueing paradigm (see Frisohen, Bayliss & Tipper, 2007 for a
34 review). In this task, response times (RTs) to either detect, identify or localize targets appearing in
35 gazed at locations (i.e., cued targets) are compared with responses to targets in locations that have
36 not been gazed-at (i.e., uncued targets). In line with the view that people tend to pay attention to
37 where others are looking, studies have consistently shown shorter RTs to cued than to uncued
38 targets (e.g., Driver et al., 1999; Friesen & Kingstone, 1998; Langton & Bruce, 1999). The authors
39 of the original studies demonstrating this gaze cueing effect argued for its reflexive, stimulus-driven
40 nature, a claim supported by more recent evidence suggesting that the effect is immune to

41 interference from a concurrent working memory load (Law, Langton & Logie, 2010; Hayward &
42 Ristic, 2013). The aim of this paper is to revisit this recent evidence, and to investigate whether a
43 more demanding concurrent working memory task will disrupt gaze-cued orienting. Such a result
44 would suggest that, rather than a stimulus-driven reflex, gaze cueing should be better understood as
45 being under a degree of top down control.

46
47 Researchers have drawn a broad distinction between, on the one hand, exogenous, bottom-up,
48 reflexive, or stimulus-driven attention, and on the other, endogenous, top-down, or wilful attention
49 (e.g., Jonides, 1981; Posner, 1980). Several lines of evidence suggest that the gaze-cueing effect is
50 more like the former than the latter. First, it emerges even when participants are explicitly asked to
51 ignore the faces that provide the directional cues (Langton & Bruce, 1999); second, the gaze-cueing
52 effect is observed when participants are aware that gaze cues do not reliably predict the locations of
53 the forthcoming targets (i.e., targets are equally likely to appear in any of the possible target
54 locations following any gaze cue), or even when targets are actually more likely to appear in uncued
55 relative to cued locations (Driver et al., 1999; Kuhn & Kingstone, 2009); third, gaze cueing occurs
56 even when participants know with one hundred per cent certainty that targets will appear in a
57 particular location (Galfano et al., 2012); and finally, gaze cues facilitate attention shifts even when
58 a peripheral target is accompanied by an irrelevant sudden onset distractor in a mirror opposite
59 location (Friesen, Moore & Kingstone, 2005).

60
61 Despite this compelling evidence for the stimulus-driven character of social orienting, some authors
62 suggest that a top-down component is involved in the process (e.g., Koval, Thomas & Everling,
63 2005; Vecera & Rizzo, 2004, 2006). For example, Vecera and Rizzo (2004, 2006) demonstrated
64 that patient EVR who sustained large lesions to orbitofrontal cortex – a part of the brain linked to
65 executive functioning – showed a normal, exogenous orienting of attention in response to sudden
66 onset peripheral cues, but did not show an orienting response to centrally presented gaze cues. This
67 was irrespective of how well the gazes predicted the likely location of the targets (50% and 75%
68 accuracy). As a result of the neurological damage, EVR was also left with certain difficulties in
69 goal directed behavior, such as typical daily activities, or decision making when presented with a
70 problem (Vecera & Rizzo, 2004). The authors therefore argued that gaze-directed orienting is
71 subjected to top-down modulation in a similar way to other behaviors that require sustained and
72 selective attention to socially relevant cues, such as words and arrows. A recent study by Tipples
73 (2008) reported that, indeed, individual differences in self-reported attentional control are linked to
74 orienting cued by arrows and gazes, but not to orienting cued by peripherally presented sudden-
75 onset stimuli.

76
77 Ostensibly, these neuropsychological data do seem to suggest that gaze-cued orienting is rather less
78 like a stimulus-driven reflex and more akin to endogenous, wilful orienting of attention. However,
79 as pointed out by Frischen et al (2007), we should be cautious in over-interpreting these results for
80 it is unclear whether EVR displayed a normal pattern of cueing prior to sustaining the brain lesion.
81 Hietanen, Nummenmaa, Nyman, Parkkola, and Hämäläinen (2006) pointed out that not all
82 individuals display the typical pattern of reflexive orienting to gaze cues and EVR could have been
83 one of them. Nevertheless, Vecera and Rizzo's work certainly hints at top-down involvement in
84 gaze-cued orienting.

85
86 If gaze cued attention is modulated by top-down processes, working memory (WM) is the likely
87 mechanism responsible for the modulation. Indeed, numerous studies have shown that WM is
88 linked to attentional control in the antisaccade task (Kane, Bleckley, Conway, & Engle 2001) and

89 that attention to visual distractors is influenced by the content of WM (Lavie, & de Fockert, 2005;
90 Spinks, Zhang, Fox, Gao, & Tan, 2004). Moreover, working memory content was found to be
91 congruent with what is attended to (Downing, 2000; Olivers, 2009; Olivers, Meijer, & Theeuwes,
92 2006; Pratt & Hommel, 2003; Soto, Hodson, Rothstein, Humphreys, 2008). Working memory is
93 therefore a convincing candidate for a system controlling “endogenous” shifts of attention, which
94 may include those made in response to gazes. However, across two experiments, Law et al. (2010)
95 found no evidence for WM involvement in gaze cueing. While there was overall slowing of RTs to
96 peripheral targets following a gaze cue when participants were engaged in a concurrent high load
97 WM task (retain a five digit sequence during each gaze-cueing trial), rather than a low load WM
98 task (retain a single digit in memory) or no concurrent secondary task, the gaze cueing effect
99 remained intact across all secondary task conditions. A recent study by Hayward and Ristic (2013)
100 yielded similar results: once again, gaze-cued orienting was found to be resilient to a concurrent
101 WM load (retain a five digit sequence); however, the authors went a step further in demonstrating
102 that their concurrent WM task did in fact disrupt endogenous orienting of attention, suggesting that
103 gaze-cued orienting and endogenous orienting are independent processes.

104
105 In summary, although the work of Vecera and Rizzo (2004, 2006) has suggested that top-down
106 factors might be involved in gaze-cued orienting of attention, the effect has remained stubborn to
107 demands imposed by concurrent cognitive tasks (Hayward & Ristic, 2013; Law et al., 2010). The
108 issue about whether gaze-cued orienting can best be described as an exogenous or an endogenous
109 process therefore remains unresolved.

110
111 In this paper we revisit the finding that gaze-cued orienting is unaffected by a concurrent cognitive
112 load. One of the problems with the digit load concurrent task used by both Law et al. (2010,
113 Experiment 1) and Hayward and Ristic (2013) is that it does not necessarily place overly large
114 demands on WM resources. For example, Baddeley and Hitch (1974, cited in Baddeley, 1990)
115 showed that participants could maintain and rehearse out loud sequences of up to eight digits while
116 simultaneously carrying out reasoning, learning and comprehension tasks, with only minimal
117 interference; Law et al. (2010) and Hayward and Ristic (2013) each used just five digit sequences in
118 their high load secondary tasks. Second, there is a growing body of research showing that WM is
119 flexible and can prioritise between competing goals (see Ma, Hussain, & Bays, 2014 for a review).
120 Pertinently, maintenance rehearsal, the resource-demanding aspect of the digit load task employed
121 in the Law et al. (2010) and Hayward and Ristic (2013) studies, could have been suspended during
122 the brief period when participants were performing the gaze-cueing task. To see that this could so,
123 consider the sequence of events on each trial in the relevant experiments reported by Law et al. and
124 Hayward and Ristic. Following the presentation of a fixation cross participants were shown the to-
125 be-retained digit sequence for 1500 ms. The fixation cross then reappeared for 1000 ms prior to the
126 presentation of the gazing face, which was displayed for up to 1000 ms, depending on the stimulus
127 onset asynchrony (SOA) condition. This was followed by the presentation of the target, which
128 demanded either a localisation response (Law et al., 2010), which averaged around 450 ms under
129 digit load conditions, or a target detection response (Hayward & Ristic, 2013), which averaged
130 around 400 ms. Finally, participants were given a working memory prompt - a single digit from the
131 retained sequence - to which they were asked to respond by entering the next digit in the five digit
132 sequence. Participants could therefore have encoded the digit sequence upon its presentation and
133 continued to rehearse this for up to 2500 ms before the gaze cue was presented. Rehearsal could
134 then have been suspended for the duration of the presentation of the gaze cue, and the presentation
135 and response to the target stimulus, which would have amounted to, at most, 1500 ms. During this
136 time WM resources could have been available to initiate an attention shift in the direction of the

137 gaze cue, producing the normal gaze-cueing effect on RTs. Rehearsal of the digit sequence could
138 then be successfully resumed because, as shown by Baddeley (2002), material can be passively
139 stored in WM (i.e., without rehearsal) for up to 2000 ms before decay renders it irretrievable. The
140 sequence would therefore still be available in WM for subsequent rehearsal and response following
141 the presentation of the memory prompt.

142

143 Our argument is therefore that, regardless of whether or not the digit load task places excessively
144 high demands on participants' executive resources, the demands are not necessarily imposed during
145 the period when participants are shifting attention in response to the seen gazes. Clearly what is
146 needed is a secondary task that must genuinely be carried out simultaneously and continuously with
147 the gaze cueing procedure. Law et al. (2010) attempted one such task. In their second experiment
148 participants carried out a sequence of gaze-cueing trials while at the same time listening to an
149 auditory description of a matrix pattern, which they used to build up a mental image of the shape.
150 Participants visualized a 5 x 3 grid of unfilled squares. They were then presented with a 15 word
151 sequence consisting of the words "filled" and "unfilled", which instructed them as to which of the
152 squares on their imaginary should be filled-in, and which should be left blank. The resulting grid of
153 filled and unfilled squares depicted one of the digits 1-9, which participants were then asked to
154 report. This task clearly demands both manipulation and maintenance of visuospatial information,
155 and would seem to require that processing be carried out simultaneously with the gaze cueing tasks.
156 Gaze-cued orienting was nonetheless unaffected by this secondary task, leading the authors to
157 conclude that it is a largely stimulus-driven reflex. However, it is possible that, as with the digit
158 load task, participants could strategically suspend the processing aspect of the secondary task – the
159 mental filling-in of the squares – until after the gaze tasks had been completed. The task could then
160 become one of maintaining in memory a verbal sequence during the gaze-cueing trials.
161 Alternatively, participants could allocate resources to building up the mental image between gaze-
162 cueing trials, briefly suspend this while the gaze cues and targets were presented, and then resume
163 the mental grid filling before the start of the following gaze-cueing trial. Both accounts are
164 consistent with the account of flexible allocation of WM resources depending on the prioritised goal
165 (Ma et al., 2014).

166

167 In the experiments reported in this paper we employed an executively demanding secondary task
168 that must genuinely be completed concurrently with the gaze cueing procedure: random number
169 generation (RNG). Generating random sequences from a well known and well defined set of items,
170 such as the numbers one to nine, or letters of the alphabet, requires participants to generate and run
171 a plan for the retrieval of an item from the appropriate set. They must keep track of the frequency
172 with which they have generated each item, and compare sequences to some conception of
173 randomness. If recent sequences are judged to be insufficiently random, a new strategy must be
174 devised and initiated. In addition, well-learned or stereotypical sequences (e.g., 1-2-3-4, or A-B-C-
175 D) must be inhibited. Random sequence generation therefore seems to draw on a range of executive
176 processes, a claim supported by the work of Miyake, Friedman, Emerson, Witzki, and Howerter
177 (2000) and Jahanshahi et al. (1998). For example, the latter group showed that transcranial magnetic
178 stimulation of the left dorsolateral prefrontal cortex – an area associated with executive functioning
179 – impaired participants' ability to generate random sequences of numbers. Concurrent generation of
180 random sequences has also been shown to have a negative effect on a range of tasks, including the
181 learning of simple contingencies (Dienes, Broadbent & Berry, 1991); performing mental arithmetic
182 (Logie, Gilhooly, & Wynn, 1994); syllogistic reasoning (Gilhooly, Logie, Wetherick, & Wynn,
183 1993); choosing appropriate moves in chess, and remembering the positions of chess pieces
184 (Robbins et al., 1996). Random number or interval generation, unlike reciting equal intervals, was

185 reported to disrupt performance on the Corsi Blocks Task (Vandierendonck, Kemps, Fastame, &
186 Szmalec, 2004) and other tasks tapping into executive components of spatial WM (Towse &
187 Cheshire, 2007).

188

189 The evidence that RNG taps executive processes, particularly those involved in spatial WM tasks,
190 and the fact that it can be performed continuously, make it a good candidate for a secondary task
191 with which to investigate the impact of WM on the gaze-cueing effect. In each of the experiments
192 reported here, participants performed blocks of standard gaze-cueing trials with target localization
193 (Experiment 1) and target identification (Experiment 2) responses. In easy secondary task
194 conditions, participants repeatedly recited aloud the digits 1 to 9 in sequence at the rate of one digit
195 per second while performing the gaze cueing trials. In the hard secondary task conditions,
196 participants generated random numbers, again at the rate of one per second, from the same set of
197 digits. Counting numbers aloud, in order, is a stereotyped response, which should not be demanding
198 of executive resources. Gaze cued orienting, whether stimulus-driven or involving a volitional
199 component, ought to be observed under these conditions. However, if attention shifts in response to
200 seen gazes share executive processes with RNG, we would expect the effect to be reduced, or
201 absent when participants are engaged in the hard secondary task.

202

203 **Experiment 1**

204

205 **Method**

206

207 *Participants*

208 University of Stirling students and visitors (17 women, 7 men, with a mean age of 23.71 years, and
209 range of 18 – 40 years) were recruited through the online sign-up system and online advertising.
210 Psychology students were awarded experimental credits for their participation and the remaining
211 volunteers participated on an entirely voluntary basis. All participants had self-reported normal or
212 corrected-to-normal vision. All experimental procedures have been approved by the University of
213 Stirling Research Ethics Committee and adhere to the principles of the 1964 Helsinki Declaration.
214 Written informed consent was obtained from all participants.

215

216 *Materials and apparatus*

217 *Primary gaze cueing task.* A colour photograph of a male face with neutral facial expression
218 cropped of all external features subtending $5.7^\circ \times 3.7^\circ$ of visual angle was used in the experiment.
219 The face stimuli were prepared using Adobe Photoshop 7.0. A cross was used as a fixation point at
220 the beginning of each trial, subtending 0.3° . The stimulus employed as the target was a white
221 asterisk subtending 0.3° and located at the same level as the eyes 5 cm (4.1°) from the midpoint of
222 the photograph to the left or right.

223

224 *Secondary Task.* In the secondary tasks participants were required to produce random sequences of
225 numbers from 1 to 9 in the hard condition, or, in the easy condition, recite out loud the digits from 1
226 to 9 in sequence at the rate of 1 digit per second. The pace was indicated by a JOYO JM-65
227 metronome. Sequences were recorded using Olympus VN-5500 Digital Voice Recorder to ensure
228 that participants were, indeed, performing the relevant secondary task.

229

230 All stimuli were presented against black background on a 17-inch monitor set to 1152 x 864 pixels
231 and refreshing at the rate of 75MHz using E-Prime software (Psychology Software Tools,

232 Pittsburgh, PA). Reaction times and responses to targets were registered using a Serial Response
233 Box (Psychology Software Tools, Pittsburgh, PA).

234

235 ***Design***

236 The experiment employed a within-subjects design with three independent variables: cue validity
237 (cued, uncued), secondary task (hard, easy), and stimulus onset asynchrony (SOA, 300 ms, 1000
238 ms). The dependent variable was RT in response to targets.

239

240 ***Procedure***

241 All participants were seated 70 cm away from the computer screen in a dimly lit room. Participants
242 performed the secondary tasks concurrently with the gaze trials. In the hard secondary task
243 condition, participants were asked to imagine an infinite number of numbers from one to nine in a
244 hat and pulling them out one at a time, replacing each after it has been read. They were asked to
245 generate the numbers out loud at a rate of one per second indicated by the sound of a metronome
246 and informed that their voice was to be recorded for the purpose of further analysis. In the easy
247 secondary task participants were instructed to recite the digit sequence from 1 to 9 repeatedly at a
248 rate of one digit per second. Again, participants were asked to keep pace with the metronome, and
249 informed about the active recording of their voice.

250

251 An example of a gaze cueing trial is illustrated in Figure 1. All trials began with a fixation cross
252 displayed on the screen for 1000 ms. This was followed by a directly gazing face for 750 ms after
253 which the gaze shifted to the left or right. The gaze cue was displayed for either 300 ms or 1000 ms
254 before the onset of the target stimulus (i.e., the SOA). The gaze cue was non-predictive of the
255 location (i.e., 50% cued and 50% uncued trials). Both the cue and the target remained on screen
256 until response. Participants were asked to press the right foremost button on the serial box for
257 targets appearing on the right side of the face and the left foremost button for targets appearing on
258 the left.

259

260 Participants completed a set of four blocks of 32 trials under each of the secondary task conditions.
261 These comprised 16 repetitions of the factorial combinations of cue validity (cued, uncued), SOA
262 (300 ms, 1000 ms), and gaze direction (left, right). Whether participants began with a set of four
263 blocks of trials under easy or hard secondary task conditions was counterbalanced between
264 participants. Prior to starting each set of four blocks, participants completed a block of 16 practice
265 trials. Blocks in each set of four consisted of trials drawn randomly, without replacement from the
266 pool of 128 trials. Participants were given five seconds before the first trial in each block to begin
267 reciting the appropriate digit sequence (i.e., random or sequential).

268

269 Volunteers were informed that the gaze direction of the displayed face did not reliably predict the
270 future localization of the target stimulus and advised that both tasks were of equal importance and
271 that they should aim to maximize performance on each of the tasks.

272

273 ***Results***

274 Gaze cueing trials with errors were removed from analysis, resulting in the loss of 1.47% of the
275 data. From the remaining data, median RTs were computed for each participant in each condition of
276 the experiment. The interparticipant means of these RTs are recorded in the top row of Table 1. The
277 data clearly violated the homogeneity of variance assumption (Hartley's $F_{max} = 8.77, p < .01$). A
278 transformation of the data was therefore performed by computing the reciprocal of each
279 participant's median RT in each condition of the experiment. This transformation was found to

280 stabilize the variances (Hartley's $F_{max} = 2.10$, $p > .05$ following the transformation), as can also be
 281 seen in Table 1. This table shows the means and standard deviations of the transformed data
 282 (middle row), and the corresponding means after conversion back to the original scale (bottom
 283 row). All inferential statistics were conducted on the reciprocally transformed data.

TABLE 1. Means and standard deviations (in parentheses) of responses in each condition of Experiment 1. The units on the original scale are milliseconds. Units on the transformed scale are milliseconds⁻¹. The table also shows percentage of correct responses in each condition.

	300 ms				1000 ms			
	Easy		Hard		Easy		Hard	
	Cued	Uncued	Cued	Uncued	Cued	Uncued	Cued	Uncued
Original data	384 (57)	400 (49)	540 (146)	527 (111)	371 (54)	373 (51)	514 (110)	500 (99)
Transformed data	.002665 (.00041)	.002538 (.00034)	.001973 (.00049)	.001985 (.00045)	.002755 (.00041)	.002735 (.00039)	.002036 (.00046)	.002083 (.00044)
Transformed data (original scale)	375	394	507	504	363	366	491	480
% correct	99.5	99.6	97.3	97.8	99.8	99.8	97.5	97.4

284
 285 The transformed data were subjected to an analysis of variance (ANOVA) with cue validity,
 286 secondary task and SOA as repeated measures factors. There was a significant main effect of
 287 secondary task $F(1, 23) = 72.89$, $p < .001$, $\eta_p^2 = .76$ reflected by overall slowing of reaction times
 288 under the hard secondary task condition ($M = 495$ ms) in comparison with the easy task ($M = 374$
 289 ms). There was also a significant main effect of SOA, $F(1, 23) = 18.86$, $p < .001$, $\eta_p^2 = .45$ with
 290 faster reaction times to targets appearing 1000 ms after the onset of the gaze cue ($M = 416$ ms) than
 291 after 300 ms ($M = 436$ ms). The effect of cue validity factor did not reach significance, $F(1, 23) =$
 292 2.06 , $p = .17$, $\eta_p^2 = .08$, showing that, overall, participants responded no faster to cued targets ($M =$
 293 424 ms) than uncued targets ($M = 428$ ms). However, the main effects were qualified by a
 294 significant interaction between task and cue validity, $F(1, 23) = 6.85$, $p < .05$, $\eta_p^2 = .23$, confirming
 295 that there was a modulation of the gaze cueing effect by the secondary task demands. Simple main
 296 effects analyses revealed that, under easy secondary task conditions, cued targets ($M = 369$ ms)
 297 were located faster than uncued targets ($M = 379$ ms), $F(1, 46) = 8.69$, $p < .01$, but that under hard
 298 secondary task conditions, performance for cued targets ($M = 499$ ms) was equivalent to that of
 299 uncued targets ($M = 492$ ms), $F(1, 46) = 1.42$, $p = .24$.

300
 301 Finally, the ANOVA revealed a marginally significant interaction between cue validity and SOA,
 302 $F(1, 23) = 3.79$, $p = .06$, reflecting the observation that at the 300 ms SOA cued targets ($M = 431$
 303 ms) were responded to faster than uncued targets ($M = 442$ ms), but at the 1000 ms SOA, the trend

304 was in the opposite direction, with slightly faster location of uncued targets ($M = 415$ ms) than cued
305 targets ($M = 418$ ms). No other interactions reached significance ($ps > .13$)¹.

306

307 The percentages of correct responses are also shown in Table 1. It is clear from these data that
308 participants were able to perform the target localization task very well indeed, making errors on just
309 1.4% of trials. Moreover there is no evidence of a trade off between speed and accuracy that would
310 compromise interpretation of the RT data. As performance was essentially at ceiling level in all
311 conditions, no further analyses were conducted on these data.

312

313 Discussion

314 The overall pattern of the data indicated a cueing effect under easy dual task conditions, which
315 disappeared when participants were engaged in an executively demanding secondary task.
316 Participants were also slower and somewhat less accurate at target localization under hard relative
317 to easy secondary task conditions, which suggests that generating random number sequences is
318 indeed a more demanding task than reciting ordered sequences of digits. However, although
319 participants' accuracy was slightly lower under hard secondary task conditions, it was still very
320 high indeed, suggesting that participants did not simply abandon the target localization task, or
321 avert their gazes from the screen when performing the demanding secondary task. One possibility,
322 however, is that participants may have maintained relatively high accuracy at target localization
323 under difficult secondary task conditions by compromising their performance in generating random
324 numbers. For example, they might have waived from the requirement to generate numbers at the
325 rate of one per second, or they may not have maintained an acceptable level of randomness. As we
326 did not analyze these data we cannot address this possibility directly. The available data do suggest,
327 however, that the RNG task had a detrimental effect on gaze-cued orienting. So, whether or not
328 participants strayed from the maximum demands of the RNG task, it was still sufficient to disrupt
329 gaze-cued orienting relative to performance in the easy secondary task condition.

330

331 The results of Experiment 1 imply that those mechanisms that are involved in the generation of
332 random number sequences are also involved in the generation of an attention shift in response to a
333 seen gaze. A key assumption underlying this interpretation of the data is that the difference in RTs
334 for the localization of uncued versus cued targets is caused by the allocation of visual attention in
335 response to the gaze cue. However, an alternative interpretation is that the RT difference between
336 uncued and cued conditions could actually reflect a difference in the degree of stimulus-response
337 compatibility between these cases. The argument is as follows. First, there is evidence that gazes
338 and other social cues automatically trigger the generation of spatial codes (Langton, O'Malley &
339 Bruce, 1996; Langton & Bruce, 2000; Langton, 2000). It is reasonable to assume, therefore, that the
340 gaze cues in the present experiment also trigger the generation of such codes. On cued trials, the
341 gazes would result in the generation of spatial codes which are the same as those required for the
342 keypress responses (e.g., gaze right, target right); under uncued conditions, these codes would be
343 different (e.g., gaze right, target left). The RT difference between uncued and cued conditions could
344 therefore be the result of difficulties in response selection, for example, rather than any shifting of
345 visuo-spatial attention. The interaction effect that we have observed in Experiment 1 might

¹ In order to examine whether the source of the interference effect of RNG on gaze cued orienting might be an incompatibility between the spatial code generated by the appearance of the target and one that might be associated with the generation of random numbers (e.g., producing number sequences from left to right in visual imagery), we also performed an ANOVA with target location (left vs. right) as an additional repeated measures factor. However, target location was found to interact with neither of the other two factors, and nor did the predicted interaction between target location, secondary task and cue validity reach statistical significance ($p = .84$).

346 therefore reflect the influence of RNG on response selection processes, rather than on gaze-cued
347 orienting of attention. This problem was addressed in Experiment 2.

348

349 **Experiment 2**

350 In order to eliminate a response selection account for the cueing effect observed in Experiment 1, in
351 Experiment 2 we used a target identification, rather than a target localization task. Additionally, we
352 also included a condition that ought to be immune from a demanding secondary task – one where
353 the identity of a target is assessed as a function of whether or not its location has been indicated by
354 a peripheral luminance change.

355

356 **Method**

357

358 *Participants*

359 Undergraduates from the University of Stirling ($N = 32$, 14 female, 18 male) were recruited for this
360 experiment. They received course credit for participation. The mean age was 21.59 years (range: 18
361 – 44 years).

362

363 *Materials and apparatus*

364 These were identical to those used in Experiment 1 in all but the following respects. The target
365 stimuli for both the gaze cueing and peripheral cueing tasks comprised the letters T and F in 18
366 point Arial font. In the peripheral cueing task, two grey boxes appeared centered 4.1° to the left and
367 right of the central fixation cross. The lines of these boxes were 1 pixel thick and the boxes
368 measured 1.6° in height and 1.4° in width. The spatial cue in this condition was rendered by
369 replacing one of the grey placeholder boxes with an identically sized white box, the lines of which
370 were 6 pixels thick.

371

372 *Design*

373 The experiment had a $2 \times 2 \times 2$ design with cue type (gaze cue, peripheral cue) as a between-
374 subjects independent variable and cue validity (cued, uncued), and task type (hard, easy) as within-
375 subjects variables. SOA was not manipulated in this experiment and was instead fixed at 300 ms for
376 both cue types. This SOA produced the largest magnitude of gaze-cueing in Experiment 1, and is
377 also short enough to elicit a cueing effect from peripheral onsets (Müller & Rabbitt, 1989).

378

379 *Procedure*

380 The easy and hard secondary tasks were identical to those used in Experiment 1. The procedure for
381 gaze-cueing trials was identical to that of Experiment 1, save for the facts that the SOA was fixed at
382 300 ms for all trials, targets comprised the letters T and F, and participants were asked to identify
383 the target letter on each trial by pressing the topmost button on the response box for the letter T and
384 the bottom button for the letter F.

385

386 Trials in the peripheral cue condition began with a 2000 ms presentation of the display comprising
387 the fixation cross and placeholders. One of the placeholder boxes was then replaced by the white
388 cue box. The target letter (T or F) appeared centred in either the cued box, or the uncued box 300
389 ms after the onset of the cue, and remained on the screen until the participant had responded.

390

391 Participants completed 64 trials under each secondary task condition, divided into two blocks of 32
392 trials. A block of 16 practice trials preceded each pair of experimental blocks. The order in which
393 participants completed each pair of easy and hard secondary task blocks was counterbalanced

394 across participants, and participants were randomly allocated to either the gaze-cueing or peripheral
 395 cueing task, with the constraint that an equal number took part in each task.

396

397 Results

398 Participants made errors on 4% of all gaze-cueing trials in Experiment 2 and these responses were
 399 removed from subsequent analyses of the RT data. Median RTs were then computed as in
 400 Experiment 1, and the interparticipant means and standard deviations of these data are presented in
 401 Table 2. Once again, because of the heterogeneity of variance evident in the data (Hartley's $F_{max} =$
 402 18.84 , $p < .01$), RTs were subjected to a reciprocal transform, which was found to stabilize the
 403 variances across experimental conditions (Hartley's $F_{max} = 1.93$, $p > .05$). The means and standard
 404 deviations of these transformed data are also presented in Table 2, along with the corresponding
 405 untransformed means. As in Experiment 1, all inferential statistics were conducted on the
 406 reciprocally transformed data.

407

TABLE 2. Means and standard deviations (in parentheses) of responses in each condition of Experiment 2. The units on the original scale are milliseconds. Units on the transformed scale are milliseconds⁻¹. The table also shows percentage of correct responses in each condition.

	Gaze Cues				Peripheral Cues			
	Easy		Hard		Easy		Hard	
	Cued	Uncued	Cued	Uncued	Cued	Uncued	Cued	Uncued
Original data	467 (74)	489 (78)	619 (181)	634 (224)	438 (52)	533 (63)	566 (131)	648 (159)
Transformed data	.002182 (.00027)	.002086 (.00027)	.001737 (.00045)	.001718 (.00045)	.002311 (.00025)	.001901 (.00024)	.001847 (.00038)	.001631 (.00045)
Transformed data (original scale)	458	479	576	582	433	526	541	613
% correct	96.1	96.4	94.9	95.6	97.9	95.1	96.3	94.2

408

409 An ANOVA was conducted on the reciprocally transformed RT data, with secondary task (easy vs.
 410 hard), and cue validity (cued vs. uncued) as repeated measures factors, and cue-type (gaze vs.
 411 peripheral) as a between-subjects factor. This analysis yielded a main effect of secondary task, $F(1,$
 412 $30) = 62.03$, $p < .001$, $\eta_p^2 = .67$, with faster identification of targets under easy secondary task
 413 conditions ($M = 472$ ms) than hard secondary task conditions ($M = 577$ ms). There was also a main
 414 effect of cue validity, $F(1, 30) = 62.17$, $p < .001$, $\eta_p^2 = .68$, reflecting faster performance for cued
 415 targets ($M = 495$ ms) than uncued targets ($M = 545$ ms). However, these main effects were qualified
 416 by interactions between secondary task and cue validity, $F(1, 30) = 24.66$, $p < .001$, $\eta_p^2 = .45$, cue
 417 validity and cue-type, $F(1, 30) = 29.74$, $p < .001$, $\eta_p^2 = .50$, and by all three factors, $F(1, 30) = 4.62$,
 418 $p < .05$, $\eta_p^2 = .13$.

419

420 In order to explore the significant 3-way interaction, separate repeated measures ANOVAs were
 421 conducted on the RT data from the group who performed the gaze-cueing primary task and those
 422 who performed the peripheral cueing task, each with cue validity and secondary task as factors.

423

424 *Gaze-Cueing Task.* For the group performing the gaze cueing trials, the ANOVA yielded significant
 425 main effects of secondary task, $F(1, 15) = 26.17, p < .01, \eta_p^2 = .64$, and cue validity, $F(1, 15) =$
 426 $6.74, p < .05, \eta_p^2 = .31$, and a significant interaction between these factors, $F(1, 15) = 4.54, p = .05,$
 427 $\eta_p^2 = .23$. Simple main effects analyses indicated that under easy secondary task conditions,
 428 participants were faster to identify cued targets ($M = 458$ ms) than uncued targets ($M = 479$ ms),
 429 $F(1, 30) = 11.28, p < .01$; however, there was no such cueing effect under hard secondary task
 430 conditions (cued targets: $M = 576$ ms; uncued targets: $M = 582$ ms), $F(1, 30) = 0.44, p = .51$.

431

432 *Peripheral Cueing Task.* The equivalent analysis conducted on the data from participants who
 433 performed the peripheral cueing trials yielded main effects of secondary task, $F(1, 15) = 40.39, p <$
 434 $.001, \eta_p^2 = .73$, and cue validity, $F(1, 15) = 56.98, p < .001, \eta_p^2 = .79$, and a significant interaction
 435 between these factors, $F(1, 15) = 22.48, p < .001, \eta_p^2 = .60$. Subsequent simple main effects
 436 analyses confirmed that the effects of cue validity were reliable under both easy secondary task
 437 conditions (cued targets: $M = 433$ ms; uncued targets: $M = 526$ ms), $F(1, 30) = 78.60, p < .001$, and
 438 hard secondary task conditions (cued targets: $M = 541$ ms; uncued targets: $M = 613$ ms), with the
 439 interaction presumably arising because the magnitude of the cueing effect was larger under the
 440 former (93 ms) than the latter (72 ms)².

441

442 The percentage of correct responses are also shown in Table 2. Participants were clearly performing
 443 at a high level of accuracy and there is no evidence of a trade off between speed and accuracy that
 444 would compromise interpretation of the RT data. No further analyses were conducted on these data.

445

446 Discussion

447 In Experiment 2 all participants performed a target identification task instead of the target
 448 localization task used in Experiment 1. For half of the participants, spatial cues were provided by a
 449 gaze shift, as in Experiment 1, whereas peripheral luminance transients formed the cues for the
 450 remaining participants. Once again, participants carried out the gaze-cueing task, or peripheral
 451 orienting task while simultaneously performing an easy secondary task in some blocks of trials, and
 452 a hard secondary task (RNG) in others. Results indicated significant cueing effects under the easy
 453 secondary task conditions for both types of cue; however, the gaze cueing effect, but not the
 454 peripheral cueing effect, was eliminated when participants simultaneously performed the
 455 executively demanding RNG task. This finding supports the conclusion from Experiment 1 that
 456 gaze-cued orienting of attention and random number generation involve at least some of the same
 457 cognitive mechanisms.

458

459 One curious aspect of the data is the observation that the peripheral cueing effect was actually
 460 reduced, though not eliminated, under hard secondary task conditions. Peripheral luminance
 461 changes are thought to capture attention in a purely stimulus-driven fashion (e.g., Franconeri,
 462 Hollingworth & Simons, 2005; Jonides & Yantis, 1988; Yantis & Jonides, 1999), so why should the
 463 cueing effect have been influenced at all by an executively demanding secondary task? One
 464 possibility is that under the easy secondary task conditions, the procedure allowed peripheral cues

² As with Experiment 1, we also performed an ANOVA including target location (left vs. right) as an additional repeated measures factor, but again this analysis failed to yield any significant effects involving this factor ($ps > .14$).

465 to trigger both an exogenous and an endogenous orienting of attention. Studies investigating the
466 time courses of the two types of orienting suggest that each have distinct but overlapping time
467 courses: orienting based on peripheral cues occurs rapidly and is strongest between 100 and 300 ms
468 after cue onset, with a peak at around 150 ms; endogenous orienting is rather slower and reaches its
469 peak at around 300 ms (e.g., Müller & Rabbitt, 1989; Cheal & Lyon, 1991). Thus, at the SOA of
470 300 ms used in Experiment 2, we might expect both kinds of attention to be deployed towards the
471 target location, producing additive effects on RT under easy secondary task conditions. If RNG
472 disrupts only endogenous orienting, this will still leave some facilitation caused by the rapid
473 exogenous orienting of attention under the more difficult secondary task, as was observed.

474
475 A similar argument might be made for gaze-cued orienting. At an SOA of 300 ms the advantage for
476 target identification at cued versus uncued locations could involve both an exogenous and an
477 endogenous deployment of attention, with RNG disrupting only the latter. However, as we have
478 observed, there is no residual cueing effect under difficult dual task conditions that could be
479 attributed to exogenous factors. Therefore, the gaze-cueing effect observed under easy secondary
480 task conditions is likely to be driven by some of the same endogenous mechanisms that are
481 involved in RNG.

482

483 **General Discussion**

484 The two experiments reported here investigated the extent to which gaze-cued orienting of attention
485 is under top-down control. In each experiment, we assessed RT to targets whose location was cued
486 by a gaze shift, relative to targets that appeared in a location opposite to that indicated by the
487 direction of gaze. In order to assess the involvement of voluntary control in gaze cueing,
488 performance was assessed while participants simultaneously completed an easy secondary task, and
489 compared with performance while executing a demanding secondary task. With both a target
490 localization (Experiment 1) and a target identification (Experiment 2) decision, a gaze cueing effect
491 was observed when participants were simultaneously executing the undemanding secondary task –
492 repeatedly reciting the digits 1-9 in sequence; however, gaze cueing was disrupted when
493 participants were simultaneously generating random numbers. Random number generation (RNG)
494 is argued to place high demands on working memory resources (e.g. Vandierendonck et al., 2004;
495 Towse & Cheshire, 2007). The conclusion is therefore that these same resources are involved in the
496 orienting of attention made on the basis of an observed shift in someone's gaze. In other words,
497 gaze cued attention is not a strongly automatic process and is instead under a degree of top-down
498 control.

499

500 The results obtained in these experiments contradict those of Law and colleagues (2010) and
501 Hayward and Ristic (2013) who found that gaze-cued orienting was resistant to a secondary task
502 load. However, as argued above, it may be that the secondary tasks used in these studies could be
503 temporarily suspended while participants performed the gaze-cueing trials. Our data show that a
504 WM task that runs fully in parallel with gaze cueing trials (i.e., it is not suspended at any point
505 during the gaze cueing trials) does, indeed, disrupt the gaze cueing effect.

506

507 Should we therefore understand gaze-cued orienting to be simply another manifestation of
508 volitional, endogenous orienting of attention - in other words, the deliberate allocation of attentional
509 resources in response to current goals? The answer seems to be no. While our data suggest that
510 gaze-cued orienting shares resources with whatever control processes are used in RNG, plenty of
511 other data point to it being much more like a stimulus-driven effect – the allocation of resources
512 based on factors external to the observer; for example, it is observed even when gazes are known to

513 be uninformative or even counter-informative of the likely location of an upcoming target (see
514 Frischen et al., 2007). Indeed, at least two studies have shown that attention can be deployed
515 volitionally toward a location opposite to that indicated by a gaze cue, at the same time as being
516 deployed in the direction indicated by the direction of gaze (Friesen, Ristic and Kingstone, 2004;
517 Hayward & Ristic, 2013). These data suggest that gaze-cued attention and volitional orienting are
518 independent of one another.

519
520 So, gaze-cued attention should not be thought of as another example of a purely volitional process
521 (i.e., endogenous orienting), but then neither can it be described as a stimulus-driven reflex (i.e.,
522 exogenous orienting). Stimulus-driven processes occur whenever their triggering stimuli are
523 present, and are resistant to concurrent load manipulations. The data reported here suggest that, in
524 contrast, gaze-cued orienting *is* influenced by a concurrent WM load. Gaze-cued attention therefore
525 clearly bears a resemblance to exogenous orienting as well as to endogenous forms of orienting.
526 The difficulty, then, is generating a theory that can account for these seemingly contradictory
527 observations.

528
529 Ristic and Kingstone's (2012) solution to the dilemma is that gazes, arrows and words with spatial
530 meaning engage a unique mechanism called *automated symbolic orienting*, which occurs without
531 intention, and arises as a result of the overlearning of associations between cues and target events.
532 Our proposal is different in that it acknowledges a specific role for a top down mode of control in
533 gaze-cued orienting. We suggest that orienting to gazes occurs as a result of an internally generated
534 goal that is maintained by top-down signals from the WM. This goal might be characterised by the
535 rule "look where others look" and may arise through, for example, learning about contingencies
536 between gazes and rewarding target events, a suggestion originally made by Langton and Bruce
537 (1999) and Driver et al. (1999) to explain their observations of gaze-cued orienting.

538
539 The key idea is that "look where others look" is a goal state that is almost permanently maintained
540 by top-down signals that activate mechanisms involved in detecting and responding to the
541 appropriate environmental trigger (a gaze shift, for example). This top-down activation is what
542 gives gaze-cued orienting its resemblance to endogenous attentional control. However, because of
543 this top-down activation, any stimulus that meets the relevant criteria (e.g., moving eyes or eye-like
544 stimuli) will trigger the associated behavior (an attention shift). This attention shift occurs as long
545 as the default goal state remains undisrupted by other, highly demanding attentional goals that
546 engage WM concomitantly.

547
548 Notably, the gaze-cued orienting effect will persist even in the face of concurrent task demands, as
549 long as the concurrent task does not recruit the same top-down mechanisms that are involved in
550 maintaining the "look where others look" goal state. Repeatedly counting from 1 to 9 is a well
551 practiced routine, which does not require the generation and maintenance of complex stimulus-
552 response mappings, establishment of novel module-to-module couplings, iterative monitoring and
553 modification of performance and so on. Maintaining a digit load in WM may be similarly untaxing,
554 as it relies on a dedicated component of working memory (e.g., the phonological loop in the WM
555 model, see Baddeley, 2000) and it is unclear whether it is performed in parallel with the gaze cueing
556 trials. Random number generation, on the other hand, requires much more in the way of controlled
557 processing. One must first generate a strategy in order to produce the desired output; representations
558 of the possible response alternatives must be activated and maintained in WM so that they are
559 available for selection; the output must be monitored in relation to some internally generated
560 concept of randomness; and it is likely that inhibitory processes act to suppress the generation of

561 overlearned sequences (Towse & Cheshire, 2007). These might be thought of as a number of sub-
562 goals that must be generated and maintained in order to satisfy the main task goal of generating the
563 random sequence. We suggest that it is this requirement that swamps the ability to maintain the goal
564 of looking where others look (cf. Duncan, Emslie, Williams, Johnson and Freer, 1996).

565

566 This theory suggests that it is the number of simultaneously active sub-goals required of RNG that
567 disrupts the orienting of attention to seen gazes; however, it is of course possible that the source of
568 interference is one or more of the component processes themselves. Further research will be
569 required to explore this possibility. The theory also presents a solution to another puzzle: if gaze-
570 cued orienting were truly a stimulus-driven process, it ought to occur every time a gaze shift is
571 viewed, and would likely be accompanied by an overt shift in gaze as covert and overt orienting
572 usually, but not inevitably, occur in tandem (see Findlay & Gilchrist, 2003); yet automatic *overt*
573 attention shifts in response to others' gazes patently do not occur outside the confines of the
574 laboratory. How is it that averted gazes that when seen in the laboratory readily trigger covert
575 attention shifts do not seem to trigger overt shifts in more naturalistic situations? The answer may
576 be that gazes seen in natural situations simply do not tend to trigger covert shifts of attention due to
577 high cognitive demand imposed by social situations in which these gazes occur. Indeed, covert
578 gaze-cueing might be observed in the laboratory where participants' concurrently active goals are
579 reduced to the generation and maintenance of relatively straightforward stimulus-response
580 mappings (e.g., press the top button for a letter T, the bottom button for a letter F); however, the
581 effect may vanish in many normal interactions in which participants tend to have multiple,
582 continuously changing concurrent goals. Pertinently, in their recent study, Gregory and colleagues
583 (2015) showed that when viewing a "live" scene with socially engaged actors, overt attention to
584 gazes and heads is reduced (cf. Freeth, Foulsham, & Kingstone, 2013). The authors explain their
585 findings in terms of a cognitive load that is required for processing bodies, and making higher
586 cognitive judgements about the presented social scene. This load disrupts "reflexive" shifts of
587 attention present in viewing gazes passively such as in a laboratory environment. It is possible that
588 the secondary task used in our studies produced similarly high cognitive demands for the WM
589 system to stop prioritising gazes.

590

591 An alternative explanation for our data is that rather than imposing high general cognitive demands,
592 RNG exerts its effects on gaze cued orienting specifically through disrupting the spatial processing
593 involved in extracting gaze direction from the eyes and executing an attention shift in the computed
594 direction. In support of this suggestion, it is well known that the mental representations of numbers
595 are associated with spatial codes (e.g. Zorzi, Priftis, & Ulmitra, 2002), with low numbers associated
596 with the left side of space and high numbers with the right side of space (Dehaene, Bossini, &
597 Giraux, 1993). Pertinently, there is also a large body of research showing that parietal cortex is
598 involved in numerical representations in humans and primates (see Nieder, 2004 for a review) and
599 that gaze cued attentional orienting is also mediated by lateral parietal regions of the brain (see
600 Carlin & Calder, 2013 for a review).

601

602 The proposal is, then, that the same spatial processing resources may be involved in gaze-cued
603 orienting and RNG. This is an intriguing suggestion as it could account for why RNG disrupts gaze
604 cued orienting, whereas other high load tasks do not. It is not immediately obvious, however, why
605 the generation of numbers in an ordered sequence in our easy secondary tasks would not also
606 involve the same spatial resources as does generating the same digits in a random order. Indeed, one
607 might argue that spatial coding is actually stronger in the case of ordered number generation as one
608 can readily imagine the ordered sequence in a number line from left to right. On this view it seems

609 likely that any spatial coding induced by the generation of numbers is controlled across the
 610 secondary tasks used in our experiments. In support of a spatial account, it could be argued that
 611 RNG draws more heavily on spatial resources than does ordered number generation, for the latter
 612 simply involves reading off a stereotyped verbal sequence, which might not involve the activation
 613 of individual spatial representations to the same extent as RNG. Indeed, numbers are likely
 614 associated with different kinds of representations – verbal as well as visuo-spatial – with different
 615 representations deployed according to the nature of the number-involving task (e.g., van Dijck,
 616 Gevers, & Fias, 2009). Given this, it is of course possible that neither secondary task involves the
 617 activation of spatial codes; both random and ordered number generation may involve verbal rather
 618 than spatial coding of numbers. According to this account, neither task would impact upon gaze-
 619 cued orienting through drawing upon a limited spatial resource.

620
 621 Our data do not allow us to tease apart these possibilities directly, although the fact that the spatial
 622 location of the target interacted with neither secondary task nor cue validity hints that spatial coding
 623 may not be a crucial factor^{1,2}. Nevertheless, the suggestion that RNG exerts its effects on gazed-
 624 cued orienting through a spatial mechanism is clearly one that warrants further research.

625
 626 In summary, in two experiments, we assessed the effects of a concurrent WM demand on social
 627 orienting. Our main finding was that social attention was disrupted by the RNG task. Data from this
 628 study stands in contrast to previous laboratory-based findings in suggesting that attention cued by
 629 gazes is, indeed, dependent on top-down control.

630

631 **Conflict of interest statement**

632 The authors declare that the research was conducted in the absence of any commercial or financial
 633 relationships that could be construed as a potential conflict of interest.

634

635 **Acknowledgements**

636 This research was supported by the Economic and Social Research Council [grant number
 637 ES/1034803/1]. The authors thank Graeme Lavery and Amy Walker who collected the data for
 638 Experiment 2, and two anonymous reviewers for their helpful comments on an earlier draft of the
 639 manuscript

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833 **Figure Legend**

834 Figure 1. Example trial sequence from Experiment 1 (not drawn to scale).

Figure 1.JPEG

