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1	The validity and reliability of a novel isotope ratio infrared spectrometer to
2	quantify 13 C enrichment of expired breath samples in exercise
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25 26 27 28	Running title: The validity and reliability of a novel Isotope Ratio Infrared Spectrometer

- 29 Abstract
- 30

31	Rationale . The traditional method to measure ${}^{13}CO_2$ enrichment in breath involves isotope
32	ratio mass spectrometry (IRMS) and has several limitations such as cost, extensive training
33	and large space requirements. Here we present the validity and reliability data of an isotope
34	ratio infrared spectrometer (IRIS) based method developed to combat these limitations.
35	Methods. Eight healthy male runners performed 105 min of continuous running on a
36	motorised treadmill while ingesting various carbohydrate beverages enriched with ¹³ C and
37	expired breath samples obtained every 15 min in triplicate. A total of 213 breath samples
38	were analysed using both methods, while 212 samples were repeated using IRIS to determine
39	test-retest reliability. Bland-Altman analysis was performed to determine systematic and
40	proportional bias, and intraclass correlation coefficient (ICC) and coefficient of variation
41	(CV) to assess level of agreement and magnitude of error.
42	Results. The IRIS method demonstrated a small but significant systematic bias to
43	overestimate δ^{13} CO ₂ (0.18%; <i>p</i> <0.05) compared with IRMS, without any proportional bias or
44	heteroscedasticity and a small CV% (0.5%). There was a small systematic bias during the
45	test-retest of the IRIS method (-0.07‰; p <0.05), no proportional bias, an excellent ICC
46	(1.00) and small CV% (0.4%).
47	Conclusions. The use of the Delta Ray IRIS to determine ¹³ C enrichment in expired breath
48	samples captured during exercise has excellent validity and reliability when compared with
49	the gold standard IRMS.

50

51 New & Noteworthy statement

- 52
- 53 The use of IRIS to determine ¹³C enrichment in expired breath samples captured during
- 54 exercise to determine exogenous glucose oxidation during exercise has excellent validity and
- 55 reliability when compared with the gold standard IRMS.
- 56

57 Introduction

58

Mechanistic studies utilising a metabolic "tracer" (e.g., a substance containing ¹³C or ¹⁴C) are 59 often used to investigate a multitude of physiological mechanisms. Widely used methods 60 61 include those measuring gastric emptying (GE) (3,4), detecting the presence of certain 62 species of bacteria within the gastrointestinal tract (1) and determining the rate at which 63 exogenous carbohydrate (CHO) is oxidised during exercise (15). In such studies, an ingestible source that contains a high abundance of ${}^{13}C$ is selected or, alternatively, a small 64 dose of ¹³C enriched material is added to the ingested beverage/food stuff. Among the 65 available tracers, namely ¹³C and ¹⁴C, the use of ¹³C is most often favoured to minimise the 66 exposure to radiation participants receive through the ingestion of the radioactive ¹⁴C isotope. 67 Specifically, to assess the rate at which an exogenous source of CHO (ExCHO) is oxidised as 68 a substrate for physical work, a CHO source containing ¹³C must be consumed by the athlete 69 70 at regular intervals. As exercise is initiated, both endogenous (i.e., blood glucose and 71 muscle/liver glycogen) and exogenous (i.e., ingested CHO) will be oxidised and CO₂ produced. As the endogenous source of CHO contains mainly ¹²C, any ¹³C that is released is 72 73 derived from the ingested source of CHO. ExCHO oxidation rate can therefore be calculated by measuring the ratio of ${}^{13}CO_2$ and ${}^{12}CO_2$ in expired breath, in addition to CO_2 production 74 75 under steady state metabolic conditions (14). This method has become increasingly popular 76 due to the relatively simple procedures required, minimally invasive nature, and safety of ¹³C-labelled CHO ingestion. Similarly, the measurement of GE requires athletes or patients to 77 ingest a beverage/test meal containing a substrate labelled with ¹³C such as octanoic acid (11) 78 79 or acetate (5). These ingested tracers will empty from the stomach, rapidly absorbed through 80 the intestine, oxidised and the resultant ¹³CO₂, expired in breath. While these study designs are generally straightforward, the quantification of ¹³CO₂ and 81 12 CO₂ in expired breath samples requires the use of an isotope ratio mass spectrometer 82 83 (IRMS). 84 85 Mass spectrometry has been suggested to be the most precise method in detecting the 86 abundance of stable isotopes (21) and is considered the "gold standard" in measuring expired

breath samples for the abundance of 13 CO₂. While the use of mass spectrometers to measure

- 13 CO₂ enrichment in expired breath is accurate, it is associated with expensive procedures,
- 89 namely a high cost of sample carrier gas helium and trained technicians required to operate
- 90 the IRMS. Due to these expenses and lab space required, many departments within

91 Universities and institutions do not own an IRMS and prefer to send samples to an external

92 laboratory. Additionally, analysis of samples using IRMS requires an experimenter to load /

analyse each sample and therefore represents a significant time burden to experimenters

94 whose time could be better spent collecting data.

95

96 The Thermo Scientific[™] Delta Ray[™] Isotope Ratio Infrared Spectrometer with the

97 Universal Reference Interface Connect (Delta Ray IRIS) has been recently developed as a

98 more economical and portable instrument to assess isotope ratios and concentrations of CO₂

99 in air. Delta Ray IRIS is a laser-based instrument and has recently been used in various

applications like monitoring of the atmospheric ${}^{13}CO_2$: ${}^{12}CO_2$ within caves (19), around

101 volcanic sites (6), studying biosphere-atmosphere CO₂ exchange processes in the beech forest

102 (4) and monitoring of the coral reef metabolism (16). While promising, its validity and

103 reliability measuring isotope ratios in expired breath in humans is unknown.

104

105 Therefore, the aim of the present study was to determine the validity and reliability of the

106 Delta Ray IRIS analytical technique when compared to the gold standard IRMS analysis

107 method (i.e., gas chromatography isotope ratio mass spectrometer) to assess ¹³CO₂

108 enrichment of breath samples obtained during steady state treadmill running exercise in

109 trained athletes.

110

111 Methods

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113 This present investigation is a companion study to a larger project investigating the use of

114 CHO beverages during prolonged running, with the full details of methods available

115 elsewhere (18).

116

117 *Participants*

118 Eight well-trained male runners were recruited for this study (age; 28 ± 9 yr, height; 178 ± 7

119 cm, body mass; 69.0 ± 9.1 kg, maximal oxygen consumption [VO₂max]; 69.9 ± 8.1 mL kg

120 ¹·min⁻¹). Written informed consent was collected prior to initiation of data collection and this

121 study was approved by the University of Stirling ethics committee.

122

123 Experimental trials

124 Following VO₂max tests and familiarisation with the testing procedures, all participants 125 performed four experimental trials. Each trial consisted of 105 min of prolonged running at 126 71±4% VO₂max while ingesting 175 mL of one of four experimental beverages every 15 min. Two beverages contained 10% CHO (70 g hr⁻¹ CHO), with one containing an additional 127 0.2% sodium alginate and pectin (70 g hr^{-1} encapsulated CHO). One beverage included a 26% 128 CHO (180 g hr⁻¹ encapsulated CHO) beverage with an additional 0.2% sodium alginate and 129 130 pectin, and the final beverage was distilled water. The addition of sodium alginate and pectin 131 has been shown to form a pH-sensitive hydrogel and encapsulate CHO within a hydrogel in 132 the stomach (9) and assessing its potential impact on ExCHO is the primary aim of the 133 current investigation's companion study (18). The addition of sodium alginate and pectin to 134 CHO beverages has been described and reviewed in detail elsewhere (7, 17). All CHO 135 beverages contained maltodextrin and fructose in a ratio of 1:0.7, with both the CHO naturally enriched with ¹³C. To further increase the enrichment of each CHO beverage, an 136 additional 50 mg L^{-1} of D-glucose-¹³C₆ tracer was added to each CHO beverage, with 137 resulting drink enrichments of 28.15±1.23 ‰ Vienna PeeDee Belemnite (VPDB) (70 g hr⁻¹ 138 CHO), $26.90\pm1.54 \text{ }$ % VPDB (70 g hr⁻¹ encapsulated CHO) and $4.04\pm0.32 \text{ }$ % VPDB (180 139 140 g'hr⁻¹ encapsulated CHO). It is important to note that in this experiment the tracer and tracee 141 were not perfectly matched, in all likelihood resulting in the tracer not following the tracee 142 exactly and as a result exogenous glucose oxidation was not computed from the results provided. However, the comparison of ${}^{13}C$ enrichment (${}^{13}CO_2$) between the methods of 143 144 measurement remains valid.

145

Every 15 min over the 105 min run, an end-tidal expired breath sample was collected into a
750 mL discard bag, with the initial 400 mL of the breath removed through a discard bag. A
10 mL sample was then drawn into a syringe and injected into a 10 mL Exetainer tube (Labco

- 149 Ltd, High Wycombe, UK) in triplicate.
- 150

151

152 Analysis

153 All breath samples were analysed for ${}^{13}CO_2$: ${}^{12}CO_2$ carbon isotope ratio using a gas

154 chromatography isotope ratio mass spectrometer (GC-IRMS, Europa Scientific, Crew, UK).

- 155 Specifically, each sample was flushed into a packed column gas chromatograph which was
- 156 held at 60 °C, with the resulting chromatographic peak passed into the GC-IRMS (Hydra
- 157 2020 IRMS, Europa Scientific, Crewe, England) where isotopomers at 44, 45 and 46 m/z for

158 CO₂ were measured and the δ^{13} C value determined. Samples of the international standard IA-

159 CO₂-7 were measured prior and during sample measurement to ensure correct calibration,

160 this standard has δ^{13} C value of -38.48 ‰ vs VPDB. The reference material used during the

161 δ^{13} C analysis was IA-R005 (beet sugar), with an δ^{13} C of -26.03 ‰ VPDB. In order to ensure

162 quality control, check samples of IA-R005, IA-R006 (cane sugar, $\delta^{13}C = -11.64 \text{ \low VPDB}$)

and IA-R071 (sugar, $\delta^{13}C = -19.26 \text{ }$ % VPDB) were analyzed during batch analysis of the

164 samples. Both the international standard and references were supplied by the International

165 Atomic Energy Agency, Vienna.

166

167 The method by which the Delta Ray IRIS measures the abundance of ${}^{12}C$ and ${}^{13}C$ is

168 fundamentally different to an IRMS. While IRMS is based on mass separation of charged

169 ionic species, the Delta Ray IRIS is laser-based absorption spectrometer that employs a mid-

170 infrared laser with a power of approximately 2 μ W and operates at 4.3 μ m. The laser scans

171 the spectral region containing four CO₂ absorption lines: ${}^{12}C^{16}O^{18}O$ (λ =4.3286µm), ${}^{13}C^{16}O^{16}O$

172 (λ =4.3283µm), ¹²C¹⁶O¹⁶O - CO₂ (1) (λ =4.3280µm) and ¹²C¹⁶O¹⁶O - CO₂ (2) (λ =4.3277 µm),

173 with a scanning frequency of 500 Hz. The concentration and isotopic composition of the gas

174 sample is simultaneously measured by direct laser absorption through temperature and

175 pressure controlled multiple-pass absorption cell. To correct for linearity, the reference CO₂

176 gas is adjusted to match the sample gas concentration in addition to an interface which

177 determines the nonlinearity by diluting the reference gas with CO₂-free synthetic air. A two-

178 point calibration is used based on gas samples with higher ("Ambient" $\delta^{13}C = -9.86$ ‰

179 VPDB) and lower ("Bio" $\delta^{13}C = -25.5$ % VPDB) isotopic values, both gases were supplied

180 by Thermo Fisher Scientific, (Bremen, Germany). A full description of the Delta Ray IRIS

181 functioning is described elsewhere (20). To determine the validity of the Delta Ray IRIS, the

182 results assessed through the Delta Ray IRIS were compared to those determined by a GC-

183 IRMS. To determine the test-retest reliability assessment of the Delta Ray IRIS, a second

184 analysis was conducted using the third exetainer seven days after the first Delta Ray IRIS

185 analysis, with these two samples being compared with each other.

186

187 Statistical analysis

188 Bland-Altman plots were performed to evaluate the systematic bias and random errors for ¹³C

189 enrichment as assessed by the Delta Ray IRIS, with IRMS used as the reference method or

190 "gold standard". Proportional biases were assessed by linear regression models between the

¹³C enrichment mean and difference between systems, indicating the potential presence of

193 to determine bias for ¹³C enrichment between the two measurements determined using the 194 Delta Ray IRIS. Additionally, the intraclass correlation coefficient (ICC) was assessed for the 195 reliability test. ICC lower than 0.5 indicated a poor reliability, values between 0.5 and 0.75 196 indicate a moderate reliability, values between 0.75 and 0.9 show a good reliability and 197 values above 0.9 indicate an excellent reliability (8). The coefficient of variation (CV) was 198 also determined to measure the degree of variation from both the validity the test-retest 199 reliability, considering an acceptable CV in sports science has been described as 10% or less 200 (2). A Pearson's correlation was performed to determine the correlation between the Delta Ray IRIS and IRMS breath ¹³C enrichment measurement. 201 202 203 Results 204 205 A total set of 213 breath samples were collected during the exercise trials and analysed for ¹³C enrichment using the Delta Ray IRIS and GC-IRMS. No significant differences were 206 observed between 13 C enrichment as assessed by GC-IRMS and Delta Ray IRIS (-19.56 \pm 207 208 5.71 % and -19.74 \pm 5.71 %, p>0.05, respectively), which is reflected in the distribution of 209 the data in Figure 1. 210 211 Fig 1 here 212 213 Bland Altman analysis revealed a significant systematic bias (0.18 %, p \leq 0.05, Fig 1), but no 214 significant proportional bias (p>0.05), indicating that the Delta Ray IRIS slightly 215 overestimates breath ¹³C enrichment. The CV observed between IRMS and the Delta Ray data was 0.5 %, with an ICC of 1.00. A very strong positive correlation was found between 216 the breath ¹³C enrichment ($R^2 = 0.99, p < 0.01$, Fig 2). 217 218 Fig 2 here 219 220 221 A total of 212 breath samples were measured a second time using the Delta Ray IRIS. The 222 test-retest reliability assessment for the Delta Ray IRIS revealed a significant systematic bias 223 with the second measurement (-0.07 ‰; $p \le 0.05$), with no significant proportional bias. The 224 CV and ICC were 0.4 % and 1.00, respectively. 225

heteroscedasticity (2). The Bland Altman method was also used for the test-retest reliability

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228 Discussion

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230	The increasing use of stable isotopes in applied physiology and exercise science demands the
231	development of new methods to measure breath ¹³ C that are affordable, and available to
232	laboratories unable to access to a "traditional" IRMS system, while also demonstrating good
233	validity and reliability is essential. This is the first study to systematically determine both the
234	validity and reliability of Delta Ray IRIS compared to the "gold-standard" IRMS. It was
235	found that the Delta Ray IRIS is both a valid and reliable instrument to measure breath ${}^{13}C$
236	enrichment, showing slight significant systematic biases for both validity and reliability tests
237	(i.e., 0.18 ‰ and -0.07 ‰ respectively), with no proportional biases (i.e., no
238	heteroscedasticity). Since the tracer and tracee were not perfectly matched in this experiment,
239	exogenous glucose oxidation was not computed from the results. However, the comparison of
240	13 C enrichment (13 CO ₂) between the two methods being investigated, is valid.
241	
242	The CV in the measured breath ¹³ C enrichment between the Delta Ray IRIS and IRMS was
243	good, at 0.5%. This finding is in agreement with that of van Geldern et al (20), who reported
244	differences in δ^{13} C ranging from 0.04 to 1 ‰ in atmospheric ¹³ C when comparing the Delta
245	Ray IRIS with a traditional IRMS. Notably, in their study, when comparing nine atmospheric
246	samples collected at the test site, the Delta Ray IRIS on average, measured the delta $\delta^{13}C$ as
247	0.25 ‰ higher than IRMS (-22.50±2.36 ‰ vs -22.75±2.28 ‰, respectively). This reported
248	bias is very similar to the 0.18 ‰ systematic bias reported in the present study, reiterating
249	that the Delta Ray IRIS overestimates 13 C enrichment by ~0.2 ‰ when compared with the
250	gold standard IRMS. The Delta Ray IRIS demonstrated a test-retest CV of 0.4 %, which is
251	within the typical precision requirement for exercise science research. The CV% for
252	analytical techniques used in exercise science varies widely, however, the measurement
253	techniques for assessment of blood metabolites are typically considered acceptable when CV
254	is ≤3%.
255	

- 256 Despite revealing a systematic bias of 0.18‰, there was no proportional bias, indicating a
- 257 consistent deviation from the gold standard IRMS through a range of breath ¹³C enrichment
- values (i.e., from approximately -27 to -6.5 ‰). This is of particular importance considering
- 259 the breath ¹³C enrichment in exercise trials will typically increase during the exercise period

- 260 due to changes in enrichment and release of ¹³C from the bicarbonate buffering pool,
- 261 followed by a plateau, with the magnitude of the increase dependent on several factors such
- as the enrichment of the ingested beverage, oxidation rate of the ingested CHO, and time
- 263 required to saturate the blood bicarbonate pool. Thus, if there was the presence of
- heteroscedasticity, the use of the Delta Ray IRIS within an exercise science setting would be
- 265 questionable, or adjustments to equations used would be required to enable a consistent
- 266 measurement of breath 13 C enrichment.
- 267

The need for validation of this platform is ever rising, with recently published research assessing different CHO beverages and their effect on ExCHO oxidation rate using the Delta Ray IRIS (12). Since the current investigation has demonstrated the reliability and validity of this platform, the aforementioned study (12) and future studies using the Delta Ray IRIS can be confident in their results to accurately reflect changes breath ¹³C enrichment and therefore

- estimated ExCHO oxidation rate.
- 274

275 An important advantage of this instrument, besides the reduced cost and its portability, is that 276 it also can monitor changes in the isotopic composition of expired breath data in real time, a technique used previously to measure changes in atmospheric ¹³C enrichment (20). This 277 278 could be applied to exercise science, allowing for the determination of breath-by-breath 279 ExCHO oxidation in real time in the exercising athlete. This will aid in the advance of 280 research into CHO ingestion during exercise and will allow the identification of potential perturbations in ExCHO in the periods between CHO ingestion boluses (typically every 15-281 282 20 min). This technology will also allow for the individualisation of CHO intake strategies to 283 elevate and maintain a high ExCHO oxidation rate with optimal precision and accuracy. For 284 example, recent research has suggested that a higher ExCHO oxidation rate is achieved when 285 beverages are provided every 20 min in a larger bolus (200 mL) rather than with repeated 286 smaller boluses every 5 min (50 mL; (10)). Similarly, this function could be applied in a 287 clinical setting when an investigation of gastric emptying using an isotopic tracer is required. 288 Currently, samples are taken every ~10 min in order to closely capture the emptying 289 characteristics of the ingested test meal/beverage (i.e. (5)) which requires a researcher present 290 to collect and transition the sample into a exetainer. If this process was automated, with the 291 patient wearing a face mask, the Delta Ray IRIS could collect and analyse expired breath 292 samples continually for the study duration, providing instantaneous feedback to researchers.

293 This will also represent a saving to both the cost and time required as the consumable cost of 294 such studies is greatly reduced and the analysis of gas samples instantaneously, without the 295 need to send samples to a laboratory and wait for the results. While not validated within the present study, the analysis of ambient air for ¹³C enrichment has been explored elsewhere 296 297 (20) and has shown the Delta Ray IRIS suitable for continuous measurement of ambient air, 298 which has applications in environmental monitoring, such as within the plume gas from volcanos (13). While the Delta Ray IRIS has true potential to increase the accessibility of ¹³C 299 300 measurement, the main obstacle remains the high upfront equipment purchase cost that is 301 significantly lower than IRMS but may remain too high for most laboratories. Finally, future 302 research should also consider investigating the use of the Delta Ray IRIS to determine if the 303 results presented within the present study in highly active, males are applicable over a wider 304 range of populations.

305

306 Conclusions

307

308 In the present study, it was found that the Delta Ray IRIS is a valid and reliable method for 309 the measurement of ${}^{13}C{}^{12}C$ in breath. Specifically, the Delta Ray IRIS showed a slight

310 overestimation of breath ¹³C compared with the gold standard, IRMS. The slight

311 overestimation is likely to have a negligible effect on the estimation of ExCHO oxidation rate

and thus can be used with confidence for this application. Additionally, there was no

313 presence of heteroscedasticity and demonstrated an excellent ICC and test-retest CV% of

314 1.00 and 0.4%, respectively, far exceeding typical analytical CV% observed for some

analytical procedures used in the exercise sciences. Further applications of the Delta Ray

316 IRIS must be explored, such as the ability to measure mixed expired ¹³C breath samples

317 continuously during exercise, which may confer a significant time and money saving benefit.

- 318 Legends:
- 319

Figure 1. Box plot of ¹³C breath enrichment values collected during exercise and analysed 320 321 using either the "traditional" isotope ratio mass spectrophotometer (IRMS) or The Thermo ScientificTM Delta RayTM Isotope Ratio Infrared Spectrometer (Delta Ray IRIS) (A). Bland-322 323 Altman plot illustrating the agreement between the IRMS and Delta Ray IRIS (B), indicating 324 a significant systematic bias (0.18 ‰, p<0.05) but no proportional bias (p>0.05). Pearson's 325 correlation between the IRMS and Delta Ray IRIS, demonstrating a significant, strong positive correlation ($r^2 = 0.99, p < 0.05$). n = 213. 326 327 Figure 2. Breath ¹³C enrichment during the four exercise trials measured using the 328 "traditional" isotope ratio mass spectrometer (IRMS) or The Thermo Scientific[™] Delta 329 330 RayTM Isotope Ratio Infrared Spectrometer (Delta Ray IRIS). Participants provided breath samples every 15 min during exercise while ingesting 70 g hr⁻¹ CHO (A), 70 g hr⁻¹ CHO and 331 sodium alginate and pectin (B), 180 g⁻¹ CHO and sodium alginate and pectin (C) or water 332 333 (D). n=8.

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