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## 1 Impacts of recurrent dry and wet years alter long-term tree growth

# trajectories

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- 23 Abstract
- 24

Climate extremes, such as abnormally dry and wet conditions, generate abrupt shifts in
 tree growth, a situation which is expected to increase under predicted climate conditions.
 Thus, it is crucial to understand factors determining short- and long-term tree
 performance in response to higher frequency and intensity of climate extremes.

We evaluated how three successive droughts and wet years influenced short- and long term growth of six dominant Iberian tree species. Within species variation in growth
 response to repeated dry and wet years was evaluated as a function of individual traits
 related to resource and water use (diameter at breast height (DBH), wood density (WD)
 and specific leaf area (SLA)) and tree-to-tree competition across climatically contrasted
 populations. Furthermore, we assessed how short-term accumulated impacts of the
 repeated dry and wet years influenced long-term growth performance.

3. All species showed strong short-term growth decreases and enhancements due to repeated 36 dry and wet years. However, patterns of accumulated growth decreases (AcGD) and 37 enhancements (AcGE) across climatically contrasting populations were species-specific. 38 Furthermore, individual trait data were weakly associated to either AcGD or AcGE and 39 40 the few relevant associations were found for conifers. Intraspecific variations in tree growth responses to repeated climates extremes were large, and not explained by 41 42 intraspecific variability in SLA and WD. Accumulated impacts of repeated dry and wet years were related to long-term growth trends, showing how the recurrence of climate 43 extremes can determine growth trajectories. The relationships of AcGD and AcGE with 44 45 long-term growth trends were more common in conifers species.

46 4. "Synthesis". Repeated climate extremes do not only cause short-term growth reductions
47 and enhancements, but also determine long-term tree growth trajectories. This result
48 shows how repeated droughts can lead to growth decline. Conifers were more susceptible
49 to the accumulated effects of extreme weather events indicating that in the future, more

50 intense and frequent climate extremes will alter growth performance in forests dominated

51 by these species.

- 53 *Keywords:* Dendroecology, drought, forest, functional traits, growth, Iberian Peninsula,
- 54 wetness.

#### 55 Introduction

Climate models forecast an increase in the frequency and intensity of climate extremes such as 56 abnormally dry and wet years (IPCC 2014). Forest vulnerability to drought has been highlighted 57 58 worldwide (Allen et al., 2010), but the consequences of increasing drought frequency for forest 59 long-term growth dynamics are poorly understood. Most forest responses to drought are studied 60 at short-time interannual scales (Lloret, Keeling & Sala, 2011; Gazol, Camarero, Anderegg & 61 Vicente-Serrano, 2017), and miss the long-term perspective (e.g. decades) needed to understand 62 how forest will respond to climate change (Camarero, Gazol, Sangüesa-Barreda, Oliva & Vicente-63 Serrano, 2015; Camarero et al., 2018; Peltier & Ogle, 2019). Extreme wet years also influence growth, and their occurrence between dry years could mitigate the damage caused by droughts 64 (Jiang et al., 2019). However, the importance of wet years has received relatively little attention 65 and our ability to quantify their lasting impact is still limited. Thus, a better understanding of the 66 67 long-term impact on tree growth caused by repeated dry and wet years should improve our 68 capacity to predict forest vulnerability to climate projections.

69 Tree rings archive past climate influence on tree performance (Fritts, 1976) which makes radial 70 growth a good proxy to study tree responses to climate change (Marchand et al., 2019). For 71 instance, evaluation of tree-ring growth during and after extreme weather events allows us to 72 examine the stability of forest productivity and tree growth across different spatial and temporal 73 scales (Lloret et al., 2011; Gazol et al., 2017a; Cavin & Jump, 2017; Sánchez-Salguero et al., 74 2017a, 2018; Gazol et al., 2018). Furthermore, impacts of drought on tree growth can last for 75 years, resulting in the so called "legacy effects" (Cavin, Mountford, Peterken & Jump, 2013; 76 Anderegg et al., 2015, Peltier, Fell & Ogle, 2016; Kannenberg et al., 2019; Gazol et al., 2020). 77 These legacy effects increase as drought episodes become longer and occur closer in time (Peltier & Ogle, 2019). Moreover, high drought impacts on growth might lead to lower growth resilience 78 79 to the next drought period (Vilà-Cabrera & Jump, 2019). However, Jiang et al., (2019) suggested 80 that growth responses to extreme wet years might compensate for drought legacy effects. 81 Therefore, repeated wet periods between droughts might buffer trees from pervasive growth 82 reductions caused by drought (Matías, González-Díaz & Jump, 2014). Nevertheless, enhanced 83 growth on mesic sites or during periods of resource surplus (i.e. extreme wetness, competition 84 release) might result in a structural overshoot leading to a higher predisposition to damage during 85 periods of water scarcity (Jump et al., 2017). These potentially conflicting responses raise the 86 question of how the recurrence of dry and wet periods determines growth performance across 87 long time scales, i.e. to what extent repeated dry and wet years impact growth.

88 Tree growth response to drought varies across a species geographic range (Cavin & Jump, 2017; 89 Sánchez-Salguero et al., 2018; Vilà-Cabrera & Jump 2019; Sánchez-Salguero et al., 2017a; Gazol, 90 Rivas, Gutierrez & Camarero, 2017b). However, patterns of growth resistance to drought across 91 species biogeographical gradients are not yet clear. While some studies point to higher vulnerability to drought of rear-edge (equatorward) tree populations, particularly in xeric sites 92 (Sánchez-Salguero et al., 2017b; Anderegg, Anderegg, Kerr & Trugman, 2019), others found that 93 94 core populations are more affected than expected (Cavin & Jump, 2017; Lloret & Kitzberger, 95 2018). One explanation to these disparate results may be that in some rear edges, microclimatic 96 refugia allow some populations to avoid droughts, promoting patchy effects across the 97 distribution range limit rather than a widespread growth decline (Vilà-Cabrera, Premoli & Jump, 98 2019; Oldfather, Kilng, Sheth, Emery & Ackerley, 2019).

99 Intraspecific responses to extreme climate events may vary based on individual characteristics 100 such as tree size, competition pressure and functional trait variability. For instance, big and/or 101 low competitive trees are prone to drought damage (Nepstad, Tohver, Ray, Moutinho & Cardinot, 102 2007). Functional traits such as wood density (WD) and specific leaf area (SLA) have been used 103 to describe plant strategies related to resource acquisition gradients (Wright et al., 2004; Chave et 104 al., 2009; Reich, 2014). These traits have been linked, although weakly, to demography (mortality 105 and growth rates) across species (Martínez-Vilalta, Mencuccini, Vayreda & Retana, 2010; 106 Greenwood et al., 2017). However, intraspecific trait variation of functional traits such as SLA and WD is high for some species (Fajardo & Piper, 2011). Whether this intraspecific trait 107 108 variability is related tree radial growth performance during dry and wet years is unclear.

109 Here we evaluate the impact of repeated dry and wet years on tree growth and their relationship 110 with individual functional traits and competitive tree-to-tree interactions. Subsequently, we 111 explore whether such impacts determine long-term growth trends. To this end, we studied growth 112 dynamics in six tree species dominant in Iberian forests across a 26-year period. We selected 113 species growing in contrasting climatic regions, including Eurosiberian (Pinus sylvestris L., Abies 114 alba Mill.) and Mediterranean species (Pinus halepensis Mill., Pinus nigra Arn., Quercus faginea Lam., and *Ouercus ilex* L.). Specifically, we aim to answer the following questions: (i)- Is there 115 116 a geographical variation in the growth response to repeated dry and wet years? (ii)- do individual 117 functional traits and competitive interactions between neighbour trees influence growth responses 118 to repeated dry and wet years? and (iii)- do short-term growth responses to repeated dry and wet 119 years influence individual growth trends in the long-term? We hypothesize that the impacts of 120 repeated dry and wet years on growth are more prevalent on tree populations located in dry sites 121 (xeric rear edges; cf. Anderegg et al., 2019). Furthermore, we expect that drought year impacts 122 will be more prevalent in trees with strong competitive pressure (Bottero et al., 2017). We also 123 expect relationships of WD and SLA with drought resistance at intraspecific level to follow 124 patterns observed at interspecific level (Greenwood et al., 2017). Finally, we expect that the short-125 term growth impacts of repeated dry and wet years will strongly determine growth trajectories 126 across the studied period (see Fig. 1).

127

### 128 Material and methods

#### 129 Species and study sites

We studied six tree species, four gymnosperms of the *Pinaceae* family (*Abies alba* Mill., *Pinus sylvestris* L., *Pinus nigra* Arn., *Pinus halepensis* Mill.) and two angiosperms of the *Fagaceae* family (*Quercus faginea* Lam. and *Quercus ilex* L.). *A. alba* is a montane or subalpine fir widely distributed across Europe, and it reaches its southwestern distribution limit in the Iberian Peninsula, forming abundant populations in the Pyrenees and isolated stands in the Pre-Pyrenees (Supplementary Materials, Fig. S1a). *P. sylvestris*, has a Eurosiberian distribution that reaches its

136 southern distribution at the Iberian Peninsula (Supplementary Materials, Fig. S1b). P. nigra is a pine present across the Mediterranean Basin and Central Europe (Supplementary Materials, Fig. 137 S1c). P. halepensis is a drought-tolerant species distributed across the Mediterranean Basin with 138 139 its biggest populations located in the Iberian Peninsula (Supplementary Materials, Fig. S2d). Q. 140 ilex is an evergreen oak mainly distributed across the Western Mediterranean Basin (Supplementary Materials, Fig. S2f). Q. faginea is a deciduous Mediterranean oak present in the 141 north of Africa and the Iberian Peninsula (Supplementary Materials, Fig. S2e). In Spain, this 142 species forms abundant hybrid populations with *Quercus humilis* Mill., resulting in *Quercus x* 143 144 cerrioides, which does not have clear morphological differentiation from the parental species (See 145 Supplementary Materials). In recognition of the complex taxonomy of these oaks, we take a 146 conservative approach to sampled *Q. faginea* and refer to it here as *Q. faginea/Q. humilis*.

We sampled three populations across the distribution area of each species in the Iberian Peninsula 147 148 (Supplementary Materials, Fig. S1). We selected populations subjected to contrasting climatic conditions for each species and classified them as dry, intermediate and wet sites. A precipitation 149 150 gradient between the dry and wet site was present for all species, albeit the range of precipitation 151 between dry and wet sites varied across species (Supplementary Materials, Fig. S2). For O. ilex 152 and *Q. faginea* /*Q. humilis* wet and intermediate sites precipitation difference was only 35 mm; however, the wet Collserola coastal site presented mild temperatures conditions (Table 1). 153 154 Detailed information of each sampled population can be found in Table 1. In the case of Q. ilex 155 and Q. faginea/Q. humilis, we sampled three sites were both taxa coexisted. For conifers, species 156 composition of the sampled stands ranged from coexistence with several species (both conifers 157 and deciduous) to pure stands (Supplementary Materials, Table S1).

158 At each site, we randomly selected between 21 and 31 adult, healthy and non supressed 159 individuals and obtained increment cores, functional traits and neighbourhood measures at the 160 individual level as described below.

161 Individual tree level measures

To characterize each individual tree, we measured structural and functional variables. For each tree, we measured the four closest neighbours at each cardinal point. Diameter at Breast Height (DBH), distance and species identity of each neighbour were recorded (Table 1). Then, the following competition index (CI) was calculated to assess potential effects of each neighbour depending on its size and distance to focal tree (Forrester, Kohnle, Albrecht & Bauhus, 2013):

167 
$$CI = \sum_{i}^{n} \frac{DBH \, i}{Distance \, i-j} \tag{1}$$

Where *i* is the neighbour tree and *Distance i-j* is the distance between the neighbour (*i*) and focal
tree (*j*).

170 Furthermore, we measured wood density (WD) and specific leaf area (SLA) for each focal 171 individual (Cornelissen et al., 2003; Supplementary Materials, Fig. S3). To quantify WD, we 172 extracted one wood sample (5 cm long) at 1.3 m using 12- and 5-mm increment borers for pine and oak species, respectively. We measured wood samples fresh volume following the volume 173 replacement method. We oven dried the samples at 100 °C for 48 hours before weighting them at 174 175 0.01g precision. We divided the fresh volume by the dry weight what gave us WD. To quantify 176 SLA, we collected two sun-exposed branches per individual. Once in the laboratory, we separated 177 between 5 and 10 leaves per branch. We measured leaf area in a scanner (Epson Expression 178 10000XL) using the ImageJ software (Schneider, Rasband & Elicieri, 2012). Then, we oven dried 179 leaves at 100 °C for 48 hours and weighted them at 0.01g precision. Finally, we calculated SLA as the fresh leaf area divided by the dry leaf weight. 180

#### 181 Tree-ring width data

We cored each focal tree at 1.3 m height using 5-mm borers. Once in the laboratory, we air dried and mounted the cores on wood supports and sanded them with progressively finer grits until tree rings were clearly recognisable. We performed visual cross dating and measured tree-ring width at 0.01 mm resolution using a LINTAB-TSAP<sup>TM</sup> measuring device (Rinntech, Heidelberg, Germany). We checked the visual cross-dating reliability using the COFECHA software (Holmes, 1983). Due to non-reliable cross-dating, we excluded 16 *Q. ilex* and 4 *Q. faginea/Q. humilis* trees 188 from the following analyses. Common dendrochronological statistics were calculated 189 (Supplementary Materials, Table S2). We transformed tree-ring widths to basal area increment 190 (BAI) to account for geometrical effects of stem enlargement on growth (Biondi & Qeadan, 191 2008). We calculated BAI using the *bai.out* function of the *dplR* package (Bunn et al., 2018). 192 Furthermore, we standardized BAI as follows: BAI/mean(BAI<sub>site</sub>), where mean(BAI<sub>site</sub>) is the 193 mean BAI in each site. This standardization allowed us to eliminate differences in BAI level 194 between sites.

We calculated relative growth decreases (RGD) for drought episodes as the inverse of the ratio of BAI during the drought year ( $BAI_{drought}$ ) by the mean BAI of the four preceding years ( $BAI_{pre}$ . drought):

$$RGD = 1/(BAI_{drought}/BAI_{pre-drought})$$
(2)

Note, that this is the inverse of the resistance index defined by Lloret et al., (2011). Then, we
computed the accumulated growth decrease (AcGD) of all the studied droughts as the sum of the
RGD of each drought period:

$$AcGD = RGD_1 + \dots + RGD_n \tag{3}$$

203

AcGD quantifies the drought impact on tree growth across longer periods of time by reflecting cumulative RGD effects. Furthermore, we also calculated the relative growth enhancement (RGE) for the wet years as the ratio of BAI during the wet ( $BAI_{wet}$ ) and the mean BAI values of the four years preceding the wet year ( $BAI_{pre-wet}$ ):

$$208 RGE = BAI_{wet}/BAI_{pre-wet} (4)$$

209 The accumulated growth enhancement (AcGE) of the recurrent wet years was then calculated as210 the sum of the RGE of each wet period:

$$AcGE = RGE_1 + \dots + RGE_n \tag{5}$$

RGE can be interpreted as a measure of tree capacity to grow when resources are available (in this case high water availability). Both, AcGD and AcGE were calculated at individual tree level. In cases when RGD or RGE for a single event were lower than 1 (i.e. no decrease/increase of growth), we set the value to 0 to account for the lack of response of the tree to that event (Supplementary Materials, Fig. S4). We also calculated AcGD and AcGE using different reference periods (from 1 to 7 years). This was done to evaluate the influence of the reference period in the calculation of RGD and RGE and thus on further results.

#### 219 Selection of dry and wet years

220 To evaluate the effect of recurrent droughts on tree growth performance we focused on the period from 1990 to 2016. We downloaded series of the Standardized Precipitation Evapotranspiration 221 Index (hereafter SPEI) at 1.1-km<sup>2</sup> resolution (Vicente-Serrano et al., 2017) for each studied site. 222 223 The SPEI reflects the cumulative drought stress experienced by vegetation as it is calculated as a 224 function of temperature and precipitation data (Vicente-Serrano, Begueria & Lopéz-Moreno, 225 2010). We selected three common droughts (1994-1995, 2005 and 2012) for all sites. To select 226 these common years, we used the following criterion: in all sites, SPEI had to be below the lower 30% values of the 1990-2016 period for at least one of both, September 6-month scale or 227 228 September 12-month scale. To select wet years, we used the following criterion: in all sites SPEI 229 had to be above the higher 30% values of 1990-2016 period for at least one of both, September 6 230 or September 12-month resolution. The years 1997, 2008 and 2013 were selected as wet years. 231 More detailed information on methods for selection of dry and wet years can be found in 232 Supplementary Materials.

233

#### 234 Statistical analyses

To evaluate the effects of recurrent dry and wet years on tree growth performance during the 1990-2016 period we used the following analyses. First, to evaluate tree structural and functional characteristics and site effects on growth response to repeated dry and wet years we fitted a set of species-specific generalized linear models. For each species, AcGD and AcGE were modelled as
function of site, DBH, CI, SLA and WD (all variables were log transformed prior to the analyses)
using the following formulae:

241 
$$AcGD = \beta_0 + \beta_1 * site + \beta_2 * \log(DBH) + \beta_3 * \log(CI) + \beta_4 * \log(SLA) + \beta_5 * \log(WD) + \varepsilon$$
 (6)

242 
$$AcGE = \beta_0 + \beta_1 * site + \beta_2 * \log(DBH) + \beta_3 * \log(CI) + \beta_4 * \log(SLA) + \beta_5 * \log(WD) + \varepsilon$$
 (7)

243 Where  $\beta_0$  represents the overall intercept and  $\beta_1$  to  $\beta_5$  the parameters adjusting each predictor 244 variable and  $\epsilon$  the error term.

Then, to evaluate the effect of recurrent dry and wet years on growth performance across longer period we used linear mixed-effect models. Log-transformed BAI for the 1990-2016 was modelled as a function of calendar year, AcGD, AcGE (as proxies of cumulative dry and wet year impact) and the interaction of calendar year with AcGD and AcGE.

249 
$$\log(BAI_i) = \beta_0 + \alpha_{0i} + \beta_1 * Year_i + \beta_2 * AcGD_i + \beta_3 * AcGE_i + \beta_4 * (Year_i * AcGD_i) + \beta_5 * (Year_i * 250)$$

$$AcGE_i) + \varepsilon_i$$
(8)

Where *i* represents individual tree identity,  $\beta_0$  the overall intercept and  $\beta_1$  to  $\beta_5$  the parameters 251 252 adjusting fixed effects,  $\alpha_0$  the random effects on the intercept associated with tree. An error term  $\varepsilon_i$ 253 with a first-order temporal autocorrelation [AR(1)] was also included in the model. Log 254 transformation was applied to standardized BAI to achieve a normal distribution. The inclusion 255 of the factor "Year" allows to determine growth trajectories across the studied period. The 256 inclusion of AcGD and AcGE was used to detect the cumulative effects of recurrent dry and wet years on tree growth trajectories (interaction Year \* AcGD/AcGE). We applied this model to each 257 258 species (6 species) and at population level (18 models, one per species and site). Mixed models were fitted using the package nlme (Pinheiro et al., 2019). Finally, the marginal R<sup>2</sup> (R<sup>2</sup>m, variance 259 explained by fixed effects) and conditional R<sup>2</sup> (R<sup>2</sup>c, variance explained by fixed and random 260 261 effects) were calculated following Nakagawa et al., (2017). Furthermore, we evaluated the fit of the models by graphical inspection of the residuals and the fitted values. We performed all 262 analyses in R environment (R Project Team, 2018). 263

All models presented here use a reference period of 4 years to calculate AcGD and AcGE, results
using other reference period (from 1 to 7 years) are presented in the Supplementary Materials,
Figs. S5 and S6. To perform the abovementioned analyses some trees had to be discarded due to
their young age (5 *Q. ilex*; 7 *Q. faginea/Q. humilis*; 13 *P.halpensis*; 3 *P. nigra*;13 *P. sylvestris* and
9 *A. alba*) which left us with a total sample of 468 trees (71 *Q. ilex*, 79 *Q. faginea/Q. humilis*, 77 *P. halpensis*, 87 *P. nigra*, 77 *P. sylvestris* and 77 *A. alba*).

270

271 Results

#### 272 Growth impacts

Accumulated growth decreases (AcGD) and enhancements (AcGE) due to recurrent dry and wet 273 274 years, occurred for all tree species (Fig. 2). Site differences in AcGD and AcGE were evident in 275 all species except AcGE in P. nigra (Table 2, Fig. 2). However, AcGD/AcGE differences across 276 populations with contrasting climate conditions were species-specific (Fig. 2). The impact of recurrent dry years on growth was higher in dry populations of P. sylvestris and P. halepensis, 277 278 the intermediate population of P. nigra, the wet population of Q. ilex, both dry and wet 279 populations of *Q. faginea/Q. humilis*, and intermediate population of *A. alba* (Fig. 2). The impact 280 of recurrent wet years on growth was also species specific. For example, Q. ilex presented the 281 higher growth enhancement in intermediate sites (Fig. 2) whilst this was observed for P. sylvestris, Q. faginea/Q. humilis and A. alba on the dry site and P. halepensis at both dry and intermediate 282 sites (Fig. 2). 283

The influence of functional traits and competition on AcGD and AcGE was weak and species-specific (Table 2). Conifer species were affected by structural and functional variables (Table 2.). AcGD of *P. nigra* was higher in big trees suffering high competition (Table 2). AcGE of *A. alba* was negatively related to CI and DBH (Table 2). AcGE of *P. sylvestris* and *A. alba* was higher in trees with low SLA. AcGD of *A. alba* was higher in trees with high SLA.

#### 290 AcGD, AcGE and growth trends

291 Successive dry and wet years determined growth trends across the study period (Fig. 3a, b). In all species but Q. ilex, trees suffering higher cumulative drought impact (i.e. higher AcGD) showed 292 293 more negative growth trends (negative significant interaction year \* AcGD, Table 3, Fig. 3a). In 294 all species, trees that grew more during wet years presented the most positive growth trends (positive significant interaction year \* AcGE, Table 3, Fig. 3b). For P. halepensis and P. 295 296 sylvestris, the AcGD-growth trend relationship was influenced by the dry population showing 297 extreme growth reductions (Fig. 2) while, for the other species, populations with higher AcGD 298 did not present the more negative growth trends (Fig. 2).

Differences in AcGD and AcGE also resulted in different growth trends at the withinpopulation (Table 3, Fig. 4). Recurrent dry years resulted in negative growth trends (significant negative year \* AcGD interaction; Table 3, Fig 4) in all conifer populations except at the dry site of *P. sylvestris* (Table 3, Fig. 4). The effect of successive wet years on growth trends was present (significant year \* AcGE interaction; Table 3) in two or more populations of all species (Table 3, Fig. 4).

305

#### 306 Discussion

Our results show how successive extreme dry and wet conditions strongly influence tree growth. Widespread accumulated growth decreases (AcGD) and enhancements (AcGE) after three successive dry and wet years were present for all species. These AcGD and AcGE were related to long term growth trajectories (Fig. 1). As a consequence, the three drought and wet years studied determined the trajectory of tree performance throughout the 1990-2016 period. This pattern was more prevalent for conifers, although with variation between and within populations (Fig. 2, 3 and 4).

#### 314 Geographical variation of AcGD and AcGE

315 Geographical variation in growth responses to extreme weather events depends on several factors, 316 which result in species-specific responses across the studied climatic and biogeographic gradients. 317 First, topographical complexity generates microclimatic conditions that can decouple tree 318 response and macroclimatic weather events (Adams, Barnard & Loomis, 2014). Non-climatic 319 environmental conditions (e.g. soil texture and nutrients) may exacerbate this disparity between 320 macroclimatic conditions and growth response to dry and wet years (Lévesque, Walthert & Weber, 2016). For instance, here we only found two species, P. sylvestris and P. halepensis that 321 presented higher AcGD on the dry sites (Fig. 2). Besides, differences in biotic conditions and 322 species-specific traits can result in different performance across species growing under the same 323 324 regional climate conditions (Battipaglia, Saurer, Cherubini, Siegwolf & Cortufo, 2009; Friedrichs 325 et al., 2009). For example, different growth phenology may result in contrasted responses during 326 dry and wet years. In this sense, O. ilex and O. faginea/O. humilis coexist in the same sites but 327 showed different responses to repeated dry years in the dry site and to repeated wet years in all sites (Fig. 2). *Q. ilex* is able to grow in early-summer and fall, whereas most of the *Q*. 328 329 faginea/O.humilis radial growth occurs in spring and summer (Montserrat-Martí et al., 2009) 330 suggesting higher drought vulnerability to summer water shortage in the latter. Furthermore, 331 different strategies related to water use result in different responses to drought under the same 332 conditions (Anderegg & HilleRisLambers, 2016). In this study, P. halepensis populations which 333 were sampled in the same or nearby Q. ilex and Q. faginea/Q. humilis sites presented different 334 responses to repeated dry and wet years which could be explained by differences in water use 335 (Fig. 2). Therefore, site, population and even tree specific characteristics (i.e, population density, age, community compositions...) can be related to different response to dry and wet years, 336 337 exacerbating or mitigating predictions solely made by macroclimatic conditions. Furthermore, precipitation variability between dry and wet sites differed across tree species, which may 338 339 contribute to the lack of common geographical pattern of AcGD/AcGE across species. This 340 suggests that wider gradients across the species distribution range should be used.

#### 342 Effects of structural and functional characteristics on AcGD and AcGE

343 Empirical evidence indicates that the occurrence of drought years strongly reduces radial growth 344 (Zang, Hartl-Meier, Dittmar, Rothe & Menzel, 2014; Martínez-Vilalta, López, Loepfe & Lloret, 345 2012; Gazol et al., 2017a; Cavin & Jump, 2017; Serra-Maluquer, Mencuccini & Martínez-Vilalta, 346 2018; Kannenberg et al., 2019). However, which individual traits and stand-level abiotic and 347 biotic factors are related to these growth reductions is less clear (Kannenberg, Schwalm & Anderegg 2020). We found weak, species-specific relationships between functional traits (SLA) 348 349 and competition intensity and/or tree dominance (competition index and tree size) with AcGD 350 and AcGE of successive dry and wet years. Our expectations based on tree size and competition 351 (higher response to water scarcity in bigger trees and higher growth reductions but lower enhancements under highly competitive pressure) were only found on AcGD for P. nigra and 352 353 AcGE for A. alba (Table 2). High resource acquisitive strategies (high SLA, low WD) were only 354 related to AcGD and AcGE in two species (P. sylvestris and A. alba). However, only the patterns 355 observed for A. alba supported the idea that more resource acquisitive strategy (high SLA) would lead to higher drought damage (Table 2, Greenwood et al., 2017). Recent studies suggest that 356 357 functional traits have low potential to predict variability in resource use strategy at the withinspecies scale (Anderegg et al., 2018; Rosas et al., 2019). For instance, Fajardo (2016, 2019) found 358 359 no relationship between WD and growth rates or competition ability in Nothofagus pumilio. Our results agree with these studies, and indicate that variability of WD and SLA are poorly related 360 361 to growth responses to extreme events at the intraspecific level, although they can determine to 362 some degree growth seasonality (Camarero, 2019). It is also possible that the absence of 363 relationships between CI, tree size and the measured functional traits with AcGD and AcGE are 364 due to differences in the temporal resolution of the data. We evaluate present information (CI and 365 traits) and changes in this data through the study period (i.e. differences in stand structure) may 366 influence lack of patterns in our results. Furthermore, hydraulic traits may be more informative 367 than WD or SLA, however, such data is difficult to obtain in a sample size as ours, but further 368 research is needed in this direction (Hartmann et al., 2018).

369 When within-species results are compared across species, the relationships of AcGD and AcGE 370 with structural and functional variables are only present in gymnosperms (Table 2). Hence, our 371 expectations of the relationships between growth responses to extreme events and structural and 372 functional characteristics were only present in conifers. In the Iberian Peninsula variability in tree 373 growth response to drought (Gazol et al., 2018), growth responses to climate and competition (Gómez-Aparicio, García-Valdés, Ruiz-Benito & Zavala, 2011) and functional traits (Poorter, 374 375 Lianes, Moreno de las Heras & Zavala, 2012; Carnicer, Barbeta, Sperlich, Coll, & Peñuelas, 2013; Vilà-Cabrera, Martínez-Vilalta & Retana, 2015) reflect differences between Pinaceae and 376 Fagaceae species, mainly pine and oaks. As a result, differences in the incidence of structural and 377 378 functional variables on growth response to extreme events between these groups was expectable.

#### 379 Relationships between AcGD, AcGE and growth trends

380 Extreme weather impacts on tree growth can last for several years causing legacies (Anderegg et 381 al., 2015, Jiang et al., 2019), and if they occur several times, their effects might accumulate 382 affecting long-term tree performance. For instance, Peltier & Ogle (2019) showed how successive 383 droughts resulted in larger legacy effects on tree-ring growth of *Pinus ponderosa* than a single 384 drought. Our results agree with this previous evidence, i.e. accumulated growth reductions of 385 successive droughts resulted in negative long-term growth trends (Figs. 3 and 4). The fact that 386 successive droughts ended up reducing the growth trends of populations with overall positive, 387 negative, and neutral growth (Figs. 2 and 4) indicates that recurrent drought impacts affect tree 388 performance independently of the mean population growth trends. This finding suggests 389 widespread vulnerability to growth decline, which has been identified as an indicator of reduced 390 health and increased probability of tree death (Camarero et al., 2015; Cailleret et al., 2017). Furthermore, the accumulated effects of repeated droughts could also generate a decrease in 391 growth resistance across time, a pattern already seen in some pine species in the studied area 392 393 (Serra-Maluquer et al., 2018, Gazol et al., 2018). Moreover, repeated wet years may result in positive or stable growth trends (Figs. 2 and 3), which in fact could potentially reverse negative 394 395 trends caused by repeated dry years (Jiang et al., 2019). However, caution must be taken as growth

enhancement due to water surplus may be overestimated due to competition releases after
drought-induced tree death, a process observed in the study area (Galiano, Martínez-Vilalta &
Lloret ,2010; Camarero et al., 2015). Finally, the effects of repeated dry and wet years on growth
trends were predominant in conifers, which is in accordance with studies reporting higher legacy
effects in these species compared to angiosperms (e.g. oaks) after both dry and wet years
(Anderegg et al., 2015; Jiang et al., 2019).

Low growth rates and declining growth trends are observed in dead individuals when comparing them to living conspecifics in the same populations (Hereş et al., 2012, Camarero et al., 2015, Cailleret et al., 2017). Recently, De Soto et al., (2020) reported that dead trees presented lower resilience (short-term impact) to past drought events than their surviving neighbours. Here we show that these two growth responses potentially leading to tree death, i.e. short-term growth reductions and long-term negative growth trends, are related and that the accumulation of successive droughts impacts may increase the declining growth trends.

409 Climate projections indicate that the frequency and intensity of extreme climate events will 410 increase. These will cause higher impacts on tree growth and as a consequence determine their 411 future growth trajectories. If the frequency and severity of extreme drought events increase, their 412 accumulated impacts will be higher, which will lead to increasingly widespread growth decline, 413 dieback and, potentially, tree death. The intraspecific growth and trait variability presented here 414 suggests that, to accurately predict when and where the effects of successive extremes climate 415 events will be more pervasive, better knowledge on which site and individual characteristics 416 prevent drought vulnerability is needed.

417

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431

#### 432 *Author's contribution*

433 XSM, EG, JJC and AG designed the study. XSM, EG, JJC, AG, RSS, GSB and JBI collected and

434 processed the data. XSM, AVC & ASJ analysed and interpreted the data. XSM lead the writing

435 of the manuscript with significant contributions of all authors.

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436 Data availability
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437 Data available from the Dryad Digital Repository: <u>https://doi.org/10.5061/dryad.dfn2z350f</u>
438 (Serra-Maluquer et al., 2020)

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668 Tables

**Table 1.** Description of the sampled sites. Coordinates, altitude, mean annual temperature (MAT), mean maximum (MATmax) and minimum (MATmin) temperatures, and mean annual precipitation (MAP) are given. Temperature and precipitation data were extracted from the Climatic Atlas of the Iberian Peninsula (Ninyerola, Pons & Roure 2005). Position in the sampled climatic gradient is indicated by the site type variable as WET, INT (intermediate) and DRY. Name of the sampled localities are given as sites. Tree data variables are presented as means  $\pm$ SE (standard error).

676 Table 2. Results of the generalized linear models evaluating intraspecific effects of site, diameter at breast height (DBH), competition Index (CI), Specific leaf area (SLA) and wood density (WD) 677 on accumulated growth decreases (AcGD) and accumulated growth enhancements (AcGE) 678 caused by recurrent dry and wet years, respectively. One model per species is presented. For site, 679 680 results of post-hoc analyses between the different sites are reported, + means that at least two sampled sites differ in values of AcGE or AcGD. For continuous variables, variable estimates are 681 reported once effects are significant (p < 0.05). ns indicates non-significant effect. Significance 682 levels: \*, p < 0.05; \*\*, p < 0.01, \*\*\*, p < 0.001. + indicate differences among site levels. 683

**Table 3.** Mixed models of intraspecific (All) and intra-population (Dry, Int, Wet) effects of accumulated growth decreases (AcGD) and enhancements (AcGE), year and year\*AcGD/AcGE interaction on log-transformed basal area increment (BAI). Estimates are given for significant or marginally significant variables. Marginal (R<sup>2</sup>m) and conditional R<sup>2</sup> (R<sup>2</sup>c) values for each model are also given. Significant levels: +, p < 0.1; \*, p < 0.05; \*\*, p < 0.01; \*\*\*, p < 0.001.

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### 691 Table 1.

Species	Site	Site type	Coordinate s	Altitude (m a.s.l.)	MAT (C°)′ (MATmin- MATmax)	MAP (mm)	Mean ± SE DBH (cm)	Mean ± SE neighbour DBH (cm)	Mean ± SE neighbo ur distance (m)
Quercus ilex	A 1 1	DBV	410 402 172201	(25	12 (( 10)	405	15 4 + 1	155105	52.02
	Alcubierre	DRY	41° 48° 17° N 0° 30' 37'' W	035	13 (0-19)	405	$13.4 \pm 1$	$15.5 \pm 0.5$	$5.3 \pm 0.2$
	Sansoain	INT	42° 33' 15''N 1° 35' 22''W	742	11 (6-17)	657	$14.3\pm0.8$	$13.5\pm0.6$	$3.1\pm0.2$
	Collserola	WET	41° 25' 34''N 2° 4' 17''E	300	15 (10-19)	692	$18\pm0.9$	$18.9\pm0.8$	$4.8\pm0.2$
Quercus faginea/humilis									
juginea naminis	Alcubierre	DRY	41° 48' 17''N	635	13.0 (6-19)	405	$18.5\pm1.2$	$15.4\pm0.5$	$4.6\pm0.2$
	Sansoain	INT	0° 30° 37° W 42° 33' 15''N	742	11 (6-17)	657	$16.5\pm0.9$	$13.2\pm0.5$	$3.9\pm 0.2$
	Collserola	WET	1° 35' 22''W 41° 25' 34''N 2° 4' 17''E	300	15 (10-19)	692	$18.5\pm1.2$	$19.3\pm0.8$	$4.7\pm0.1$
Pinus halanansis									
nuiepensis	Peñaflor	DRY	41° 47' 11''	284	14 (8-21)	403	$33.9 \pm 1.5$	$13.3\pm0.9$	$5.4\pm0.2$
	Carcastillo	INT	N 0° 43' 21'' W 42° 21' 26'' N	374	13 (7-19)	480	35.7 ± 1.1	$32 \pm 1.2$	$6.4\pm0.2$
	Collserola	WET	1° 26' 51'' W 41° 25' 34''N 2° 4' 17''E	300	15 (10-19)	692	$34.8 \pm 1.5$	$18.6\pm0.9$	$4.2\pm0.2$
Pinus nigra		DBV	270 401 5211		10 (5.10)	116	05.0 + 1.1	15 . 0 5	5.2 . 0.2
	Sterra Maria	DRY	37° 40° 52 N	1421	12 (3-18)	440	$25.5 \pm 1.1$	$15 \pm 0.7$	$5.2 \pm 0.3$
	Corbalán	INT	2° 13' 19'' W 40° 25' 06'' N	1400	11 (5-17)	483	$22.15\pm1.1$	$16\pm0.7$	$3.1\pm0.1$
	Villalangua	WET	0° 59' 13'' W 42° 25' 06''		12 (6-18)	806	$28.4 \pm 1.9$	$20.3\pm0.8$	$5.2\pm0.2$
			0° 48' 18'' W	700					
Pinus sylvestris	Corbalán	DRY	40° 25' 06''N	1202	11 (5-17)	483	$26.2\pm1.5$	$22\pm0.9$	$3\pm0.1$
	Pico del	INT	0° 59' 13'' W 42° 18' 19''N	1/3/	9 (3-15)	811	$34.2\pm2.8$	$33.7\pm1.6$	$6.8\pm0.3$
	Águila Las Eras	WET	0° 24' 18''W 42° 52' 42''	1757	8 (2-14)	1527	$39.7 \pm 3.6$	33.4 ± 1.6	$5.5 \pm 0.3$
			N 0° 48' 17'' W	1299					
Abies alba	La Betosa	DRY	42° 18' 02''	1200	8 (1-14)	1022	$32.9\pm3.1$	23.9 ± 1.1	$5.3\pm0.2$
			0° 11' 56'' W	1399					
	Paco Ezpela	INT	42° 45' 05'' N 0° 50' 33'' W	1152	9 (3-15)	1240	$30.8\pm2.1$	23.9 ± 1	$3.3\pm0.1$
	Las Eras	WET	42° 52' 42'' N	1299	8 (2-14)	1527	$32.7\pm2.8$	$28.6\pm0.9$	$3.9\pm 0.1$
			0° 48' 17'' W	//					

	Species	Variable	Site	DBH	CI	SLA	WD
	Quercus ilex	AcGD	+	ns	ns	ns	ns
		AcGE	+	ns	ns	ns	ns
	Quercus faginea/humilis	AcGD	+	ns	ns	ns	ns
	<b>Pinus</b> halanansis	AcGE	+	ns	ns	ns	ns
	I mus naiepensis	AcGE	+	ns	ns	ns	ns
	Pinus nigra	AcGD	+	0.27*	0.22**	ns	ns
	5	AcGE	ns	ns	ns	ns	ns
	Pinus sylvestris	AcGD	+	ns	ns	ns	ns
		AcGE	+	ns	ns	-1.56*	ns
	Abies alba	AcGD	+	ns 0.56*	ns 0.46*	1.52*	ns
696		ACGE	+	-0.30	-0.40	-2.57	115
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## **Table 2.**

713 Table 3.

Species	Site	Year	AcGD	AcGE	Year*AcGD	Year *	R <sup>2</sup> m	R <sup>2</sup> c
						AcGE		
Quercus ilex	All	-0.010*		-16.06***		0.008***	0.03	0.41
-	Dry	-					0.009	0.48
	Int			-18.833***		0.009***	0.10	0.47
	Wet		7.655*	-14.003**	-0.003**	0.006 **	0.06	0.38
Quercus faginea/humilis	All	0.010**	3.086*	-3.151*	-0.002*	0.002*	0.03	0.64
	Dry		9.839*	-8.364*	-0.005*	0.004**	0.05	0.74
	Int	-0.013+		-18.284**	-	0.009**	0.05	0.70
	Wet	-					0.16	0.54
Pinus halepensis	All	0.028***	17.500***	-9.050***	-0.009***	0.005***	0.09	0.52
-	Dry	-0.064***	11.397***	-35.537***	-0.006***	0.018***	0.25	0.59
	Int		14.060***	-16.830***	-0.007***	0.008***	0.04	0.48
	Wet	0.026**	12.179**	-9.363*	-0.006***	0.005**	0.02	0.58
Pinus nigra	All	-0.040***	6.612***	-25.558***	-0.003***	0.013***	0.11	0.57
C	Dry	-0.039**	16.635***	-36.768***	-0.008***	0.019***	0.38	0.65
	Int		5.046**	-11.849*	-0.003**	0.006*	0.07	0.47
	Wet		23.692***	-15.310***	-0.012***	0.008***	0.14	0.77
Pinus sylvestris	All	-0.026***	5.274***	-21.040***	-0.003***	0.011***	0.08	0.60
-	Dry	-0.025*					0.12	0.54
	Int		14.633***	-15.337***	-0.007***	0.008***	0.03	0.66
	Wet	-0.073***	16.226***	-70.961***	-0.008***	0.036***	0.41	0.81
Abies alba	All		21.045***	-33.614***	-0.011***	0.017***	0.12	0.79
	Dry	0.009*	30.595***	-31.928***	-0.015***	0.016***	0.24	0.92
	Int		10.197 +	-34.479***	-0.005+	0.017***	0.13	0.69
	Wet	0.016*	31.043**	-24.798***	-0.016***	0.012***	0.16	0.82

Figure 1. Theoretical effects of consecutive dry and wet years on radial growth trends. Two successive dry and wet years (vertical red and blue rectangles, respectively) cause low and high growth (red and blue arrows respectively). The magnitude of these low and high growth years (AcGD, accumulated growth decreases; AcGE, and accumulated growth enhancements) could end up determining the overall tree performance within a specific period (i. e. different growth trends, represented by grey dashed lines).

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Figure 2. Growth trajectories represented as linear regressions of log-transformed Standardized 725 Basal Area Increment (BAI) against calendar year (from 1990 to 2016) for each studied tree 726 population. Boxplots of accumulated growth decreases (AcGD) and accumulated growth 727 enhancements (AcGE) are shown for each population. Colour defines population classification 728 729 being red, dry populations (DRY), green, intermediate populations (INT), and blue, wet 730 populations (WET). In the boxplots, different letters indicate significant (p < 0.05) differences in 731 AcGD or AcGE between populations following post hoc tests of the generalized linear models 732 (Table 2.)

733

**Figure 3.** Changes in growth trends during 1990-2016 period depending on (a) accumulated growth decrease (AcGD) and (b) accumulated growth enhancement (AcGE) at intraspecific level. Individual trees irrespective of their population are classified in three categories depending on the intensity of AcGD or AcGE: L, low AcGD and AcGE = 1 - 32%, I, intermediate AcGD and AcGE = 33 - 65%; H, high AcGD and AcGE = 66-100%. Significance levels (*p*) from the interaction term of Year \* AcGD/AcGE in the intraspecific models are given (Table 3). ns = nonsignificant.

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Figure 4. Changes in growth trends during 1990-2016 period depending on (a) accumulated growth decrease (AcGD) (b) accumulated growth enhancement (AcGE) at intra-population level. Individual trees within populations are classified in three categories depending on the intensity of AcGD or AcGE: L, low AcGD and AcGE = 1 - 32%, I, intermediate AcGD and AcGE = 33 - 32%

746	65%; H, high AcGD and AcGE = 66-100%. Significance $(p)$ levels from the interaction term of					
747	Year * AcGD/AcGE in the intra-population models are given (Table 3). ns = non-significant.					
748	Colour defines population classification being red, dry populations (DRY), green, intermediate					
749	populations (INT), and blue, wet populations (WET).					
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#### Figure 1



### 786 Figure 2



792 Figure 3





