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## 26 Abstract

There is increased commercial interest in the production of Lumpfish (Cyclopterus 27 lumpus, Linnaeus, 1758) as a biological control for sea lice infections in Atlantic 28 salmon farming. To ensure sustainability, reliable captive breeding is required 29 however; optimal husbandry conditions for broodstock performance remain unknown. 30 31 The present study investigated the effects of holding temperature on natural spawning productivity and gamete quality in captivity reared lumpfish. Sexually mature lumpfish 32 33 (15 month old) were held on three temperature regimes (6°C, 9°C and 14°C) from the onset of first spawning. Holding mature lumpfish at high temperatures (14 °C) resulted 34 in a notable reduction in natural spawning activity with a significant reduction in sperm 35 density (50% reduction compared to pre-treatment levels) and furthermore resulted in 36 the production of non-viable oocytes (0% to eyeing rate). Holding lumpfish at 9°C and 37 6°C did not have a similar negative impact on gamete quality, however the natural 38 spawning window for the 6°C treatment was twice as long as the 9°C treatment. These 39 results indicate that holding temperature for lumpfish broodstock should not reach the 40 14°C degree threshold, with a possible thermal optimum below 10°C. The current 41 findings are the first step in identifying optimal rearing conditions for captive Lumpfish 42 broodstock. 43

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Key Words: Lumpfish, Cleaner fish, Broodstock, Temperature, Vitellogenesis, Gametequality.

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## 49 **1. Introduction**

The Lumpfish (Cyclopterus lumpus, Linnaeus, 1758) a pelagic/semi-demersal fish 50 belonging to the family Cyclopteridae (Davenport, 1985), is considered a sub-Arctic 51 species, which is widely distributed on both sides of the North Atlantic (60°E and 52 90°W). Which is commonly found along the coastlines of Iceland, Norway, the United 53 Kingdom and the East coast of North America, between 41°N and 70°N 54 55 (Davenport, 1985). Until recently, the main commercial focus for the species has been fisheries targeting females to produce the roe (Kennedy et al. 2018). Following 56 57 demonstration that the species can act as an effective biological control to sealice infestations in Atlantic salmon farms (Imsland, et al., 2014) a new aquaculture sector 58 has opened, targeting the supply of juveniles to salmon farms (Tresurer, 1.1, 2018). 59 Being a new species to aquaculture the current supply chain is reliant on wild caught 60 broodstock to meet the egg demand for hatcheries. In Norway and the UK alone, 17.8 61 million juvenile lumpfish were deployed in salmon farms in 2016 (Brooker et al., 2018) 62 with this number suggested to exceed 50 million by 2020 (Powell et al., 2017). While 63 the annual harvest of mature brood fish to meet this demand is low in the context of 64 the roe fishery, circa <0.05% of the ≈15000 tonne annual harvest (Kennedy et al. 65 2018). Key advantages for moving towards closed life cycle management for this 66 species would help to assure biosecurity, guarantee egg supply and open the 67 possibility of genetic selection and improvement of the farmed stocks. The first step in 68 closing the life cycle and securing reliable captive broodstock production is to define 69 the environmental parameters that determine productivity and gamete quality. 70

The lumpfish reaches maturity in the wild after five to six years (Davenport, 1985; Haatuft, 2015b), however captive reared lumpfish have spawned after one to two years (Imsland, *et al.*, 2014; Powell *et al.*, 2017). Females spawn in shallow coastal

waters and have been known to migrate great distances to spawning sites (Mitamura 74 et al., 2007). Males guard the egg masses prior to hatch and commonly maintain 75 several egg batches from different females in a single location (Davenport, 1985). 76 Female lumpfish remain within the spawning area for a short period of time, possibly 77 moving to alternate spawning areas but then proceed to migrate offshore (Mitamura 78 et al., 2007). There remains a lack of clarity in the natural spawning season in this 79 80 species, Davenport (1985) described the lumpfish spawning season as occurring between April and July with no geographic reference. More recently, Kennedy (2018) 81 82 noted that spawning season in Iceland was between January and March, which is the focal window for commercial exploitation. However, fishing for mature lumpfish in 83 central Norway occurs between September and June (Pers. Com, Tor Otterlei 84 Skjerneset Fisk) with the main catch window being October to May. 85

At present there is limited published work on the reproductive physiology of lumpfish, 86 Kennedy (2018) has reported oocyte development at the macroscopic level for the 87 species, describing them as being a determinate batch spawning species with oocyte 88 development taking up to 8 months. However, the authors acknowledge the limitations 89 in their analysis due to the lack of histological descriptions of ovarian development. as 90 Precise histological definitions are key to interpreting the impact of management 91 interventions on reproductive development for a given species in captivity e.g. 92 common Snook (Centropomus undecimalis) (Rhody et al (2013)), Cod (Gadus 93 morhua) (Kjesbu & Kryvi, 1989) and Bass (Dicentrarchus labrax) (Mayer, Shackley, & 94 Ryland, 1988). 95

96

97 Rearing broodstock within optimal environmental conditions is essential for the reliable
98 production of good quality gametes and subsequent offspring in any commercial

hatchery (Migaud et al., 2013). In most marine species, it is apparent that both the 99 seasonally changing day length as well as temperature play important roles in the 100 regulation of reproductive development, determination of spawning season, 101 productivity and quality (Brooks, Tyler, & Sumpter, 1997). Imsland et al. (2018) 102 suggest that timing of spawning can be influenced by photoperiod, with the simulation 103 of long day to short day returning to long day photoperiod appearing to trigger 104 maturation of lumpfish broodstock, however clearer definitions are required. In 105 contrast, there is no information with respect to the impact of temperature on 106 107 reproductive development and gamete quality for lumpfish. Based on past work in temperate marine species there are two key regulatory aspects of temperature in the 108 context of reproductive development and spawning. During gametogenesis, 109 temperatures manipulations can influence the pace of development and timing of 110 subsequent spawning windows as demonstrated in the common Wolfish (Anarhichas 111 *lupus*) (Tveiten & Johnsen, 1999) and to a lesser degree influence fecundity (Kraus, 112 Müller, Trella, & Köster, 2000) as well as subsequent gamete quality, as demonstrated 113 in Cod (Rideout, Burton, & Rose, 2000). During the spawning season itself 114 temperature plays a stronger regulatory role, determining spawning windows (Kjesbu, 115 1994), ovulation cycles (Brown, Shields, & Bromage, 2006) and most importantly 116 gamete guality (Migaud et al., 2013). While wild survey data suggest that lumpfish 117 occupy a thermal range between 4°C and 15°C, mature adults tend to be associated 118 with the lower end of this range (Mitamura et al 2007). 119

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121 This current study was designed to explore the effects of temperature during the 122 spawning season on broodstock performance in captive lumpfish. It aimed to define 123 the environmental conditions which are required to assure reliable and good quality captive spawning in the species. This study fundamentally aimed to describe the effects of rearing temperature on timing and productivity of spawning, as well as gamete quality. A secondary objective was to histologically describe oocyte development for the species. Such work would address knowledge gaps in the species while also providing clear guidance for optimal rearing of captive broodstock, which is key in realising the industries aspirations to work with closed life cycle management.

#### 132 **2. Materials & Methods**

# 133 2.1 Animals and experimental design

All fish used in the experiment were captive stock reared from wild eggs caught by 134 Skjerneset Fisk at Averøy, Norway and maintained at NOFIMA, AS, Sunndalsora. 135 Prior to the start of the experiment, fish had been maintained at ambient temperature 136 regimes for Sunndalsora, Norway ranging between 5°C in the winter to 13°C in the 137 138 summer. They were maintained on a low intensity, 24hr light photoperiod and the fish were fed on Skretting Silk (Skretting, Nutreco N.V, Netherlands) pellets at the 139 140 appropriate size range during grow out. Individual morphometric (weight (±0.1g) and total length  $(\pm 1 \text{ mm})$ , gender and stage of maturity were recorded (*n.b.* all individuals 141 had previously been tagged using a passive integrated transponder (PIT) tag) on the 142 1<sup>st</sup> of May 2017 when the stock (n = 513) were approximately 15 months old. Fish 143 were randomly assigned to one of three treatment groups (n = 166 - 169 per treatment) 144 with the sex ratio being balanced at 13:2. 145

The three stocks were held in three 7000L tanks, fed to satiation using a commercial 146 formulated feed (Silk 4.5mm, Skretting, Nutreco N.V, Netherlands) at 7.6 ±0.3°C under 147 24hr low intensity lighting conditions. Following monitoring of the ovarian development 148 of females (combination of subjective assessment of the observed severity of swelling 149 in females and ultrasound scanning of a random selection of individuals) water 150 151 temperatures were changed to experimental levels between the 1<sup>st</sup> and 7<sup>th</sup> of June at a rate of  $1 \pm 0.5$  °C/day. Thereafter temperature ranges remained stable throughout 152 the experimental period being  $5.9 \pm 0.3$  °C,  $9.2 \pm 0.7$  °C and  $14.3 \pm 0.2$  °C, for the low, 153 medium and high temperature treatments respectively (Figure 1). 154

Following the alteration in temperature all fish were visually inspected every two weeks, when morphometrics were recorded and maturation was assessed both

visually and using ultrasound imaging (6.5mHz, Log.Q book XP vet, GE medical 157 systems, USA) of the body cavity. With respect to the ultrasound imaging, female 158 ovarian development was classified using a subjective five point scale; 1.) Immature: 159 Individual with no visible gonads, 2.) Immature: Small gonads, both ovarian lobes are 160 apparent within the image, 3.) Maturing: Significantly enlarged gonads, single ovarian 161 lobe fills image, at later stages of development individual hydrating oocytes may 162 163 become apparent within the ovarian tissue (classified 3.5), 4.) Spawning: Significantly enlarged gonads, single ovarian lobe fills image, free hydrated oocytes apparent on 164 165 the dorsal region of the ovarian lobe. Following first spawning open regions filled with ovarian fluid become apparent within the lobe (classified 4.5), 5.) Spent: Ovarian lobes 166 collapsed with small proportion of ovarian tissue left, no free eggs apparent. At the 167 point of inspection if gametes were being freely released this was recorded, In 168 addition, daily inspections of the tanks allowed the recording of natural spawning 169 events with egg masses being removed and weight recorded (±0.1g). 170

Following temperature change, on four subsequent separate occasions (weeks 4, 6, 171 10 & 13 following temperature change), 17 individuals from each treatment, 10 172 females and 7 males were sacrificed, with females in the late maturing/spawning 173 category being selected based on the maturation assessment. Following euthanasia, 174 individual weight and total length were recorded and the gonads as well as livers were 175 176 dissected and weighed. Samples of the ovaries were preserved in 10% buffered formalin for later image analysis of oocyte size and histological confirmation of oocyte 177 development. For all males, milt samples were placed on ice for subsequent 178 spectrophotometric assessment of sperm density. 179

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181 2.2 Histological characterisation of oocyte development

In order to develop a histological scale of oocyte development for the species a total 182 of 28 ovarian samples previously preserved in 10 % neutral buffered formalin were 183 analysed. All fish came from the study population and included samples taken from 184 prior to the study being initiated as well as individuals from the first two sample dates. 185 This pool of individuals had a range of GSI's from 0.95% to 37.4% to capture the 186 diversity of ovarian development. Fixed ovarian samples were embedded in paraffin 187 with 5µm sections were then mounted and stained using haematoxylin (Shannon) and 188 Eosin. Slides were digitised using Axio Scan.Z1 slide scanner (Zeiss, Oberkochen, 189 190 Germany), and photographs were then analysed using digital image analysis (Image Pro Plus, Media Cybernetics, USA). 191

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Oocyte development was classified in accordance with Rhody et al (2013) and were 193 as follows: Primary Growth- The primary growth oocyte stage is characterised by 4 194 stages; one nucleolus (PGon); multiple nucleoli (PGmn); perinucleolar (PGpn); and oil 195 droplets (PGod). Secondary Growth- Secondary growth includes three steps: early 196 (SGe), late (SGI), and full-grown (SGfg). Oocyte maturation (OM) includes three steps: 197 eccentric germinal vesicle (OMegv), germinal vesicle migration (OMgvm), and 198 preovulatory (OMpov). A minimum of 50 individual oocytes within a minimum of 10 199 individuals were identified for each developmental stage. Oocyte diameter was 200 201 measured by digital image analysis where oocyte diameter was calculated as the average of two diameters perpendicular to each other measured through the nucleus. 202

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204 2.3 Oocyte size distribution analysis

To measure the oocyte size distribution (OSD) a protocol similar to Kjesbu & Kryvi, (1989) was followed. Briefly, a digital image (Nikon 1, NIKON, Japan) was taken of

dissociated oocytes, with individual oocyte diameter (n =100 oocytes per individual) 207 being measured by digital image analysis (Image Proplus, Media Cybernetics, USA). 208 Population G1 oocyte diameter was calculated based on the mean of the largest 10 209 oocytes following initial imaging and sorting of population size data (Thorsen & Kjesbu 210 2001). To confirm uniform ovarian development within the species prior to subsequent 211 analysis, OSD was measured in 4 discrete samples taken from the two ovarian lobes 212 213 within six independent females. Both G1 oocyte diameter and full oocyte size frequency distributions were compared within each individual. 214

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## 216 2.4 Fecundity estimates

The combined gravimetric and automated particle counting method (Murua et al., 217 2003) was used to estimate individual total fecundity from a random selection of 10 218 individuals from pre-treatment sampled females. Briefly, for each individual, three 219 weighed samples of ovarian tissue were preserved in 10% neutrally buffered formalin, 220 a digital image (Nikon 1, NIKON, Japan) was taken of dissociated oocytes, with the total 221 number of vitellogenic oocytes (oocytes > 370µm as determined by previous 222 histological examination of oocyte development) being determined by digital image 223 analysis (Image Proplus, Media Cybernetics, USA). 224

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226 2.5 Egg quality assessment

Following approximately four weeks of temperature treatments a gamete quality assessment study was performed. Eggs were stripped from six females from each of the three treatments, with females being selected based on ultrasound assessment of ovarian development to assure they were ready to be stripped and had not previously spawned. For each individual, the egg batch volume was recorded and then eggs were

held in chilled storage covered in ovarian fluid prior to the quality test. Males (6 from 232 each treatment) were killed using an overdose of MS222 and the whole testis was 233 dissected, ground and mixed in a 1:1 ratio with a commercial sperm extender solution 234 (Sperm Coat<sup>™</sup>, Cryogenetics, Norway). Sperm density was assessed by 235 spectrophotometry and activation in response to seawater was confirmed in an aliquot 236 under a light field microscope. In addition, milt from wild mature male lumpfish (n = 3)237 238 was obtained from Skjerneset Fisk at Averøy and processed in the same manner. The gamete quality test was performed in manner to allow both individual egg viability as 239 240 well as individual milt viability to be tested. To test individual egg batch viability, each of the six egg batches from the three treatments (n= 18 total egg batches) were tested 241 in triplicate against a pool of milt (n = 4 milt pools, representing high, medium, low 242 temperature treatments and wild) which contained equal contributions of milt from the 243 6 individual males from each respective treatment group. To test individual milt sample 244 viability, each of the six milt samples from the three treatments (n= 18 total milt 245 samples) were tested in triplicate against a pool of eggs (n = 3 egg pools, representing 246 high, medium and low temperature treatments) which contained equal contributions of 247 eggs from the 6 individual females from each respective treatment group. For each 248 test replicate, 1ml of eggs (circa 100 eggs) were wet fertilised with 600,000 sperm per 249 egg in a petri dish using 20ml of 0.2µm-filtered seawater. Following fertilisation 20ml 250 251 of water was exchanged with the further addition of penicillin/streptomycin (Sigma, USA) at 100 units per ml of penicillin and 0.1mg per ml of streptomycin within 252 the petri dish and these were maintained in a temperature controlled room at 8°C 253 thereafter. Every two days water was exchanged within the petri dishes, with the eggs 254 being maintained to the eyed stage and final eyeing measurements being conducted 255 at 150-degree days at which point the proportion of eyed eggs was recorded. 256

#### 257 2.6 Sperm density assessments

Sperm density was assessed just prior to temperature treatment being applied and at each sampling point thereafter. Gonads were excised from 6 sampled males at each time point, ground and sieved to produce milt. This milt was diluted 1:400 in a physiological solution (Munibs medium, 100mMKHCO3 and 125mMSucrose) in a cuvette and measured under 546nm in a spectrophotometer (SDM6, Cyrogenetics, Norway) values were given in sperm per ml.

264

265 2.7 Statistics

All statistical analysis was conducted using Minitab 18 software. A Kolemegov-Smirnov test for normality was performed on all data sets to assess for normality of distributions. A General Linear model was used to assess the effects of the treatment and time period for the milt density assessment and, the effects of treatment on egg quality. A Tukey's T test and ANOVA was used to assess the differences in gonadal development, oocyte histogram populations and G1 oocyte population differences.

272 Significance was given at p<0.05 unless stated otherwise.

### 273 3. **Results**

3.1 Oocyte & ovarian development and fecundity estimates.

Oocyte development was typical of a marine teleost. Histological analysis confirmed 275 the primary growth oocytes ranged in size between 82 µm and 216 µm (as defined by 276 population mean diameters) dependent on developmental stage, while secondary 277 growth oocytes ranged between 370 µm and 529 µm while oocyte maturation occurred 278 279 in oocytes as they progressed from 624  $\mu$ m to 1398  $\mu$ m (Table 1, Figure 2). To aid the interpretation of subsequent oocyte size distribution analysis the following arbitrary 280 281 thresholds were set: Primary growth oocytes  $\leq$  216µm; Secondary growth & oocyte maturation:  $\geq$ 370 to  $\leq$  1616 (*n.b.* as oocyte development is continuous in this phase 282 there was no clear segregation that could be applied based on size alone) and 283 hydrated oocytes ≥ 1616 µm. OSD analysis of four independent samples extracted 284 from discrete locations across the ovary of six pre-treatment females confirmed no 285 difference in oocyte development across the gonad as a whole, when G1 oocytes and 286 whole section oocyte distribution was compared across an individual's ovary (data not 287 shown). When a minimum threshold of 370µm was applied (*i.e.* all oocytes in 288 secondary growth or greater) the mean total fecundity was estimated to be 40440 ± 289 12434 oocytes per Kg body weight. 290

All females sacrificed during the study were selected based on ultrasound screening to ensure there were no immature females nor previously spawned or spent individuals. These samples do not inform on treatment effects but rather provide a snap shot of oocyte development in final oocyte maturation. For all female samples (n= 90) G1 oocyte diameters ranged from 708 ±4.6 to 2310 ± 7.6 indicating that all individuals were in the final stage of oocyte maturation or more advanced. The majority of individuals (92%) had a leading cohort in final oocyte maturation (OMgvm, OMpov)

(15%) or free hydrated oocytes (77%), with there being no apparent difference in 298 overall oocyte size distribution or G1 oocyte diameter in relation to time or treatment. 299 Assessment of oocyte diameter frequency histograms for each individual showed that, 300 from the cold and mid temperature treatments, 50% and 50% respectively of 301 individuals had a bimodal oocyte distribution as opposed to a unimodal distribution 302 (Figure 4). Typically, this bimodal distribution included one population in the hydrated 303 304 oocyte stage and one population in the Oocyte maturation stage (OMgvm, OMpov) stage. Within the high temperature treatment, the proportion of individuals with 305 306 bimodal distribution was apparently lower at 18% of individuals sampled.

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308 3.2 Temperature effects on female maturation and spawning

309 Stocks were not significantly different in weight, length, or maturation score before the 310 start of the trial with an approximately equal sex ratio (as determined by ultrasound 311 assessment at the beginning of the trial).

First spawning was recorded on 3<sup>rd</sup> June with the last ovulating female being recorded 312 on 14th August in the 6°C treatment. Overall, the length of the natural spawning season 313 appeared to be inversely related to holding temperature lasting 11, 28 and 72 days for 314 the high, medium and low temperature treatments respectively (Figure 3). Total 315 productivity in terms of the number of naturally spawned batches was comparable in 316 the low and medium temperature treatments (n = 25 and 20 respectively) but noteably 317 reduced at the high temperature (n = 3) (Figure 3). Furthermore, mean batch weight 318 was comparable in the low (144  $\pm$  81g) and medium temperature treatment (165  $\pm$ 319 105g) but significantly reduced (>50% reduction) in the high temperature treatment 320  $(65 \pm 15g).$ 321

Using the ultrasound scores three groups of individuals were identified, females which 322 have spawned (4.5 or 5 score), females which exhibit progression towards maturation 323 but did not spawn during the season (scores of 3-4), and non-maturing females (score 324 of 1-2). In all treatments fish identified as not investing in maturation made up 20% of 325 the experimental population. In the 6°C and 9°C treatments spawning individuals made 326 up 80% of the total population. Wheras in the 14°C treatment group 12% of females 327 exhibited spawning with the remaining 68% having exhibitied signs of maturation. At 328 the point of tagging (31st march 2017), there was a significant difference in weight and 329 length between those populations who invested in maturation and those which did not 330 invest during the 2017 spawning season (Data not shown). 331

332

333 3.3 Temperature effects on egg quality

There was a significant treatment effect on egg quality; no egg batches (either as 334 individuals or as pools) from the high temperature treatment reached the eyed stage 335 336 of development (Table 2 & 3). With respect to the individual egg batch test there was 337 a high level of variation within and between individual females. However eyeing rate was comparable in all treatment groups  $(31.85\% \pm 9.95\%)$  with the exception of low 338 temperature treatment eggs and milt pool where eyeing was significantly reduced by 339 almost 90% in comparison to all other milt pool crosses (Table 2). With respect to the 340 individual milt quality tests, as stated previously, no eggs derived from the high 341 temperature treatment were viable, thereafter eyeing rate in all other tests were 342 statistically comparable ranging from 22.1 % ± 15.8 % (low temp milt vs low temp 343 eggs) to  $43.0 \% \pm 9.6 \%$  (med temp milt vs med temp eggs) (Table 3). 344

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346 3.4 Temperature effects on sperm density

347 Sperm density was assessed just prior to temperature treatment being applied and at four times thereafter, up to 13 weeks post temperature change. Prior to the initiation 348 of temperature treatment sperm density was comparable between the populations 349 (4.94-4.59 ×10<sup>12</sup> sperm.ml<sup>-1</sup>). Following four weeks of thermal treatment, and for the 350 remainder of the study thereafter, sperm density was significantly reduced (circa 50%) 351 of the pre-treatment value) in the high temperature treatment compared to the pre-352 treatment level (Figure 5). Furthermore, sperm density was also significantly lower in 353 the high temperature treatment than that measured for the mid and low temperature 354 355 treatments after one month on the temperature treatment (Figure 5). There was no significant impact of the low or medium temperature treatments on sperm density with 356 respect pre-treatment levels. 357

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## 360 4. **Discussion**

Closed life cycle management is an important milestone for the production of lumpfish 361 for biological control of sealice. If this milestone is to be realised at commercial scale, 362 it is essential to determine the optimal environmental conditions that will assure 363 reliable production of good quality gametes. In numerous temperate and cold water 364 marine species, photoperiod has been shown to influence the wider seasonality of 365 spawning, while temperature has been shown to play a key role regulating the 366 spawning windows, ovulatory cycles and gamete guality (Migaud et al., 2013). 367 368 However sub-optimal holding temperatures can have a detrimental effect on broodstock performance with temperate and cold-water species being particularly 369 affected by higher holding temperatures (Migaud et al., 2013). Thus determining 370 optimal rearing conditions for captive broodstock is an important stage in broodstock 371 management and securing a sustainable supply of juveniles. This current work aimed 372 to determine the effects of holding temperature during the spawning window on the 373 length of the spawning season and egg quality within captive reared lumpfish 374 broodstock. The study demonstrated that higher holding temperature (*circa* 14 °C) 375 has a significant negative effect on egg quality in lumpfish. This higher holding 376 temperature also saw a significant reduction in milt density, although did not appear 377 to reduce sperm viability. The natural spawning season was also inversely associated 378 with holding temperatures. As such, this work provides definitions for thermal 379 management of the species during the spawning window, which will play an important 380 role in assuring reliable closed life cycle management for the species. 381

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As some fish ovulated and spawned in all treatment groups at the onset of temperature treatments it is suggestive that all fish were at the same stage of the reproductive cycle

(late stage vitellogenesis). This is also backed by the ultrasound assessments 385 conducted and OSD work. However, the lack of spawning activity in the higher 386 temperature treatment suggests these final stages of gonadal development are 387 temperature sensitive. This reflects the findings in other cold water marine species 388 such as common wolfish (Tveiten, et al 2001), where higher temperature treatments 389 displayed reduced spawning activity, although no cessation of this activity was 390 391 observed in that study. The overall productivity of the spawning season for the higher temperature treatment was reduced in both spawning events and mass of eggs 392 393 produced in both this study and the above mentioned in wolfish.

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In terms of the impact of temperature treatment of gamete viability, clear effects were 395 observed. There was a significant detrimental effect on egg quality in relation to the 396 14°C treatment, with all egg batches tested being non-viable. When considered in 397 context with the significantly reduced natural spawning activity and the reduced 398 proportion of observed spawning females it implies that this elevated temperature led 399 to a rapid regression of oocyte development following the elevation of temperature. 400 This follows a similar trend to that found in common wolfish where significant 401 reductions in egg quality was present (Tveiten et al., 2001). They saw no effect on 402 fertilisation rates (not assessed in this study), and developmental abnormalities and 403 404 reduced egg survival was found later in development, data from the milt quality assessments suggests this could be a possible mechanism for reduced quality in this 405 study. This effect appears to be similar in other temperate marine species, Van Der 406 Meeren and Ivannikov (2006) also saw a slight decrease in fertilisation rates with 407 higher temperature, with more significant egg mortality and reduction in egg 408

development in Cod. Higher holding temperatures were also detrimental to egg qualityin Halibut (Brown et al., 2006).

In terms of the impact of temperature treatments on sperm, quality the impact of raised 411 temperatures was not as evident. While the high temperature significantly reduced milt 412 density over the treatment period, there was no negative impact on measured viability 413 when sperm density was standardised during the gamete quality assessment. 414 415 Environmental factors such as temperature have been shown to have an effect on milt volume produced (Kowalski and Cejko, 2019) and overall fertilisation success (Brown 416 417 et al., 2006) as well as other parental factors (Ottesen and Babiak, 2007). There are very few studies which document sperm density in broodstock, however exposure to 418 repeat stressors in Rainbow trout (Oncorhynchus mykiss) broodstock showed reduced 419 sperm density (Alavi and Cosson, 2005). And temperature treatments in Common 420 Wolfish saw reduction in spermatocrit values with increased temperature (Tveiten and 421 Johnsen, 1999). For Wolfish this was suggested to be a seasonal effect where sperm 422 production peaks but is produced throughout the year. At this stage, it is not thought 423 to be the case for Lumpfish. Males spend more time in shallow coastal waters during 424 the spawning season (Davenport, 1985; Goulet et al., 1986), potentially subjecting 425 them to more extreme temperature fluctuations during maturation and spawning. This 426 could suggest that they are able to function at a higher holding temperature than 427 females, but still follow a colder water optimum, which appears to be the trend for this 428 species. 429

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This study found a significant difference in the low temperature treatment gamete cross (6°C females against a pool of 6°C milt). This suggests that there is a lower thermal limit, which can also be detrimental to egg quality. This can also be inferred from Imsland et al (2019) where warmer (8°C) incubating eggs have lower mortality
than cold (4°C) incubations. However wild data (Davenport, 1985; Mitamura et al.,
2007) suggests that spawning occurs at lower temperatures and further work will be
needed to confirm this.

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In the present study there was an negative effect of the higher holding temperature on 439 440 spawning window, number of natural spawning events and gamete quality but equally there was a negative impact of low temperature treatment on apparent embryo 441 442 viability. Combined these data suggest an optimal thermal window (>6°C and < 14 °C) for holding lumpfish during the spawning season to assure reliable and good quality 443 productivity. The upper threshold of this limit at least is supported by anecdotal 444 evidence from wild lumpfish fisheries where capture of mature lumpfish ceases when 445 sea temperatures reach 14°C (Pers com. David Patterson OFSF), as well as published 446 data which suggests lumpfish associate with colder waters in both Newfoundland 447 (Stevenson and Baird, 1988) and the Barent sea (Kaltenberg and Benoit-Bird, 2013). 448 In the latter study, 70% of adults were associated with 4-7°C water. Similarly, in captive 449 studies such as those conducted by Nytro (Nytrø et al., 2014) and Hvas (Hvas, et al, 450 2018) there is a clear reduction in temperature preferences for optimal growth and 451 survival in association with increasing size. Nytro (2014) displayed a clear reduction in 452 optimal temperatures for growth with increased fish size. Fish at 120g-200g performed 453 better at a temperature of 8.9°C, suggesting that broodstock at over 1Kg would 454 continue to favour the colder holding temperatures. More work needs to be conducted 455 to determine the optimal holding temperatures for maturing lumpfish, however this 456 study provides an important ground work for further investigations. 457

In addition to defining thermal windows for optimal broodstock performance, this study 459 has provided data on important elements of basic reproductive physiology for the 460 species. While oocyte development typically follows a common cellular development 461 process, species-specific definitions of size at stage of development are very helpful 462 in interpreting ovarian development using methods like oocyte size distribution (Kjesbu 463 and Kryvi, 1989). Such detail is lacking for lumpfish with Kennedy, (2018) 464 acknowledging that interpretation of oocyte size distribution in the species was 465 "...hindered by the lack of histological examination of the ovaries...". The current study 466 467 addresses this knowledge gap by providing the relevant histological information to be able to define size classes for developmental stages for the species. Classification of 468 oocyte development is variable throughout the literature, meaning that direct 469 470 comparisons between species can be difficult (Brown-Peterson et al., 2011; Rhody et al., 2013). However, size ranges for primary, secondary and Oocyte maturation were 471 comparable to those published in Cod (Kryvi, 1989, Kjesbu and Kryvi, 1989). The 472 current work and histological data, reinforces the viteliogenic size ranges suggested 473 in Kennedy, (2018) and provides a key which can be applied to future reproductive 474 work in this species. 475

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Davenport (1985) reported that lumpfish lay 2-3 egg batches over a period of two weeks. Kennedy (2018) supported this with oocyte size histograms displaying two clear cohorts, however this was only present in some females with the assumption that unimodal females had spawned previously. During the current study, the proportion of individuals with bimodal oocyte development ranged from 18% to 50% with the lower abundance being evident in the high temperature treatment. As previously discussed the suboptimal elevated temperature driving ovarian regression 484 could explain the reduced abundance if bimodal oocyte development in this treatment.
485 Notwithstanding this fact, these findings bring in to question as to whether lumpfish
486 are truly a determinate batch spawning species.

487

Kennedy (Kennedy, 2018) suggested developmental size ranges for lumpfish where 488 1800µm is a threshold before hydration of oocytes, and that cohorts of oocytes are 489 present between 1400 µm and 1600 µm at a "holding range" which makes up a 490 potential second cohort. This fits with the current studies work where the final stages 491 of maturation are present within the 1400 µm and 1600 µm ranges and free hydrated 492 oocytes making up the G1 cohort. Within this study, the NSG of oocytes appeared 493 to tail the secondary cohort of oocytes and in the 1400 to 1600 range once the 494 second cohort of oocytes progressed. 495

This study is the first to give an estimate for total fecundity in Lumpfish, which has 496 been lacking to date. It has to be acknowledged that there was notable individual 497 variation in the fecundity values leading to a large variance in the estimate i.e. 40440 498 ± 12434 oocytes per Kg body weight. OSD analysis of these individuals confirmed 499 there was no apparent difference between bimodal and unimodal females. Davenport 500 (1985) suggested that batch fecundity for most females would average 100,000 eggs 501 possibly reaching 400,000 eggs per batch. The fish in this study were first time 502 503 Spawners, and significantly smaller than most wild caught broodstock, upon which these numbers are based, the value determined from this study appears to corroborate 504 for an average 4Kg wild broodstock (140,000 eggs per female). 505

506 At present, the management of lumpfish broodstock is in its infancy, with little 507 published guidelines on best management practices. This study provides some detail 508 on the required holding temperatures for effective broodstock spawning and suggest

a possible lower and upper temperature threshold. Although this study is limited in 509 scope, it can provide a guideline for temperature management for captive broodstock. 510 Future work on this Lumpfish should focus on optimising holding temperatures during 511 early gametogenesis, this has been identified as important in determining egg quality 512 in other species such as Halibut (Brown et al., 2006), and Common Wolfish (Tveiten 513 and Johnsen, 1999). As well as a focus on reconditioning environmental conditions, 514 identified as an important step in (Powell et al., 2017). The developmental key 515 identified in this study will be able to inform future stock management strategies within 516 517 the Lumpfish industry.

518

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- 623

Table 1: Oocyte size range in relation to developmental stage for lumpfish. Staging is

in accordance with Rhody et al. (2013), values represent mean  $\pm$  SD of a minimum

of 10 individuals in which 50 oocytes were measured for a given developmental

628 stage.

Step	Diameter (µm)	Number of
		fish
Multiple nucleoli	82.2 ± 7.6	11
(PGmn)		
Perinucleolar (PGpn)	119.9 ± 29.2	12
Oil droplets (PGod)	216.5 ± 15.2	14
Early (SGe)	370.4 ± 6.2	10
Late (SGI)	467.8 ± 60.2	10
Final (SGfg)	528.5 ± 39.4	10
Eccentric germinal	623.7 ± 39.0	12
vesicle (OMegv)		
Germinal vesicle	740.5 ± 88.9	15
migration (OMgvm)		
Preovulatory	1398.2 ± 87.3	15
(OMpov)		
	Multiple nucleoli (PGmn) Perinucleolar (PGpn) Oil droplets (PGod) Early (SGe) Late (SGI) Final (SGfg) Eccentric germinal vesicle (OMegv) Germinal vesicle migration (OMgvm) Preovulatory (OMpov)	StepDiameter (µm)Multiple nucleoli82.2 ± 7.6(PGmn)119.9 ± 29.2Perinucleolar (PGpn)119.9 ± 29.2Oil droplets (PGod)216.5 ± 15.2Early (SGe)370.4 ± 6.2Late (SGl)467.8 ± 60.2Final (SGfg)528.5 ± 39.4Eccentric germinal623.7 ± 39.0vesicle (OMegv)740.5 ± 88.9migration (OMgvm)1398.2 ± 87.3Preovulatory1398.2 ± 87.3

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Table 2: Proportion (%) of eyed embryos in individual egg batch assessments (n= 6
individuals per treatment) compared to pools of milt derived from treatment groups
and wild mature lumpfish (Each pool contained an equal contribution from 6 males).
Superscripts denote significant differences

		14 degree mil	9 degree milt	6 degree milt	Wild milt
	14 degree	0 ±0.0 <sup>a</sup>	0 ±0.0ª	0 ±0.0ª	0 ±0.0ª
	eggs				
	9 degree eggs	33.3 ±29.6 <sup>b</sup>	30.3 ±29.1 <sup>b</sup>	41.8 ±27.9 <sup>b</sup>	40.8 ±31.5 <sup>b</sup>
	6 degree eggs	33.3 ±33.7 <sup>b</sup>	39.3 ±30.4 <sup>b</sup>	4.3 ±7.0 <sup>a</sup>	37.4 ±33.2 <sup>b</sup>
636					

Table 3, Proportion (%) of eyed embryos in individual milt assessments (n= 6
individuals per treatment) compared to pools of eggs derived from treatment groups
(Each pool contained an equal contribution from 6 females). Superscripts denote
significant differences.

		14 degree eggs	9 degree eggs	6 degree eggs
	14 degree milt	0 ±0.0 <sup>a</sup>	39.5 ±8.6 <sup>b</sup>	30.9 ±13.0 <sup>b</sup>
	9 degree milt	0 ±0.0 <sup>a</sup>	43.0 ±9.6 <sup>b</sup>	30.2 ±17.8 <sup>b</sup>
	6 degree milt	0 ±0.0 <sup>a</sup>	30.9 ±14.1 <sup>b</sup>	22.1 ±15.8 <sup>b</sup>
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657 List of Figures

Figure 1: Daily temperature (°C) recorded within the three treatment groups Leadingup to and over the trial period.

Figure 2: Box and whisker plot displaying the diversity in oocyte size (n = >10

- 661 individuals per stage) observed within developmental stages (PGmn: ..., PGpn:..., ).
- Box represents 25<sup>th</sup> and 75<sup>th</sup> percentiles while whiskers represent 5<sup>th</sup> and 95%
- percentiles, with mean value is indicated as the vertical line within the box. Individual
   values out with these range are indicated as •.
- Figure 3: Frequency of natural spawning events during the treatment period for each
   treatment group. Bars represent total mass of eggs released during that day (g) for the
- 667 treatment.
- Figure 4: Oocyte size distribution histograms showing typical example of females with
  either unimodal (top) or bimodal (bottom) development. Graphs Indicate stages of
  development with Hydrated oocytes, OMpov, Oocyte maturation (OM), and Late
  Secondary Growth phase (SGI).
- Figure 5: Mean sperm density ( $\times 10^{12}$  sperm.ml<sup>-1</sup>) ± SD for males (n = 7 per sample)
- maintained under either low (6 °C), medium (9°C) or high (14°C) temperature
- treatments. Lettered Superscripts denotes significance
- 675





680 Figure 2



682 Figure 3





688 Figure 5

